

## 4xx Control 2 - Rate equations: switches and stability

One of the simplest control circuits is a single negative-feedback loop, where the presence of a particular protein (which we give the label  $R$ ) inhibits its own production. An example of how this might work in the cell is the situation where a protein can bind to its own gene. When the concentration of  $R$  is low, then its small number of copies in the cell does little to inhibit the transcription of its mRNA. In contrast, when  $R$  is abundant, transcription is blocked by the binding of  $R$  to its gene. Thus,  $R$  builds to a certain critical concentration and then is held fixed at that level through negative feedback. In this situation, the production rate of  $R$  might be described by a single differential equation with a form like  $du/dt = -u + u_{ss}$ , where  $u_{ss}$  is the steady-state value of  $u$ .

A more general form of a regulatory system involves the variation of two quantities, which we denote by  $u$  and  $v$ , with a time dependence governed by the coupled equations

$$du/dt = -u + \alpha / (1 + v^n) \quad (1a)$$

$$dv/dt = -v + \alpha / (1 + u^n). \quad (1b)$$

Here,  $u$  and  $v$  might be concentrations of proteins in dimensionless units. For our applications,  $n > 1$ . If the parameter  $\alpha = 0$ , then the equations decouple and their solution is just exponential decay of  $u$  and  $v$  with time. Within the cell, this might be the case if a protein starts at a fixed concentration and decreases with time. More interesting behavior arises when  $\alpha \neq 0$ .

To understand the generic properties of Eq. (1), we start with the solution under steady state conditions where the time derivatives on the left-hand side of the equations vanish. Unlike the  $\alpha = 0$  case, now the equations remain coupled in  $u$  and  $v$ :

$$u_{ss} = \alpha / (1 + v_{ss}^n) \quad (2a)$$

$$v_{ss} = \alpha / (1 + u_{ss}^n) \quad (2b)$$

These equations resemble the Hill functions (Sec. 9.5, *Mechanics of the Cell*). The  $ss$  subscripts identify  $u_{ss}$  and  $v_{ss}$  as steady state solutions.

First, we consider the situation when  $\alpha \gg 1$ . There are three distinct functional regimes present:

**Case 1** Assuming  $u_{ss}$  is small, then Eq. (2b) gives the form  $v_{ss} = \alpha$ , which can be substituted into Eq. (2a) to yield a consistent solution for  $u_{ss}$ . Thus,

$$u_{ss} = \alpha^{1-n} \quad v_{ss} = \alpha. \quad (3)$$

**Case 2** Next, assume  $v_{ss}$  is small and follow the same steps as Case 1 to obtain

$$u_{ss} = \alpha \quad v_{ss} = \alpha^{1-n}. \quad (4)$$

*Case 3* Now,  $u_{ss}$  and  $v_{ss}$  cannot be small simultaneously if  $\alpha \gg 1$  as inspection of Eq. (2) confirms. Thus, the only other possibility left is that they are both large simultaneously; solving Eq. (2) for this situation yields

$$u_{ss} = v_{ss} = \alpha^{1/(1+n)}. \quad (5)$$

Next, consider the opposite range of  $\alpha$ , where  $\alpha \ll 1$ . Again, we start by assuming  $u_{ss}$  is small, so that Eq. (2b) yields  $v_{ss} = \alpha$ , from which  $u_{ss} = \alpha$  according to Eq. (2a). Thus, one possible solution is

$$u_{ss} = v_{ss} = \alpha. \quad (6)$$

However, proposing that one of  $u_{ss}$  or  $v_{ss}$  is large does not yield a consistent solution upon substitution into Eq. (2). So, the regime with  $\alpha \ll 1$  has only one solution, Eq. (6), not the three solutions present in Eqs (3) - (5) when  $\alpha \gg 1$ .

Eqs. (3) to (6) are the asymptotic steady state solutions to Eq. (1) in two limits of the parameter  $\alpha$ : there is one solution at small  $\alpha$  and three solutions at large  $\alpha$ . The next step is to find which solutions are stable. A mathematical test of the stability of solutions to a potential energy function  $V(x)$  is that its second derivative must be positive:  $d^2V/dx^2 > 0$  for stability. Graphically, a positive second derivative means that the shape of the potential energy curve around the solution is concave up and therefore stable. In Eq. (1), we are interested in the time-dependence of  $u$  and  $v$  around the values of  $u_{ss}$  and  $v_{ss}$ : if  $u$  is displaced slightly from the steady-state value, does it oscillate around  $u_{ss}$  indicating stability? Or does  $u$  move away from  $u_{ss}$  indicating instability? By introducing the small quantities  $\delta_u(t)$  and  $\delta_v(t)$  *via* the equations

$$u(t) = u_{ss} + \delta_u(t) \quad (7a)$$

$$v(t) = v_{ss} + \delta_v(t), \quad (7b)$$

the time dependence of the perturbations can be corralled into a set of equations for  $\delta_u(t)$  and  $\delta_v(t)$ . To simplify the notation, Eq. (1) is rewritten as

$$du/dt = -u + g(v) \quad (8a)$$

$$dv/dt = -v + g(u), \quad (8b)$$

with

$$g(x) = \alpha / (1 + x^n), \quad (9)$$

so that the steady-state solutions obey  $u_{ss} = g(v_{ss})$  and  $v_{ss} = g(u_{ss})$ . Combining Eqs. (8) with the series expansion  $g(u) = g(u_{ss}) + g'(u_{ss})\delta_u$  [with a similar form for  $g(v)$ ], yields

$$d\delta_u/dt = -\delta_u + g'(v_{ss})\delta_v \quad (10a)$$

$$d\delta_v/dt = -\delta_v + g'(u_{ss})\delta_u, \quad (10b)$$

where  $g'(x)$  is the derivative of  $g(x)$  with respect to  $x$ .

Assuming that unstable states diverge from their steady state solutions exponentially with time, we assign  $\delta_u(t)$  and  $\delta_v(t)$  the functional forms

$$\delta_u(t) = \delta_{u0} \exp(\lambda t) \quad (11a)$$

$$\delta_v(t) = \delta_{v0} \exp(\lambda t), \quad (11b)$$

where  $\delta_{u0}$  and  $\delta_{v0}$  are constants and  $\lambda$  is a rate constant. If  $\lambda > 0$ , the perturbation grows with time (unstable) whereas if  $\lambda < 0$ , it decays with time (stable); we have assumed that the same rate constant applies to  $u$  and  $v$ . Substituting Eq. (11) into Eq. (10) gives the set of coupled equations

$$(1 + \lambda) \delta_{u0} = g'(v_{ss}) \delta_{v0} \quad (12a)$$

$$(1 + \lambda) \delta_{v0} = g'(u_{ss}) \delta_{u0}, \quad (12b)$$

which can be combined to yield

$$1 + \lambda = \pm [g'(u_{ss})g'(v_{ss})]^{1/2}. \quad (13)$$

The stability condition  $\lambda < 0$  then imposes the requirement

$$g'(u_{ss})g'(v_{ss}) < 1, \quad \text{stable solutions} \quad (14)$$

and that  $g'(u_{ss})g'(v_{ss})$  be positive.

We now apply this stability analysis to the steady state solutions in Eqs. (3) - (6). Considering first the regime  $\alpha \ll 1$ , the solutions in Eq. (6) give  $g'(u_{ss})g'(v_{ss}) = n^2 \alpha^{2n}$ . For small  $\alpha$  and  $n$  greater than unity,  $\alpha^{2n}$  must be much less than 1, so the single symmetric solution ( $u_{ss} = v_{ss}$ ) is stable. However, in the regime  $\alpha \gg 1$ , the solution in Case 3 leads to  $g'(u_{ss})g'(v_{ss}) = n^2$ , which must be larger than unity because  $n > 1$ . Thus, the symmetric solution  $u_{ss} = v_{ss}$  is *unstable* at  $\alpha \gg 1$  even though the symmetric solution is stable at  $\alpha \ll 1$ . However, the remaining two solutions at  $\alpha \gg 1$  are both stable. The overall behavior of the stable solutions to Eqs. (2) is that there is a single, symmetric solution at small values of the parameter  $\alpha$ , and two asymmetric solutions at large values of  $\alpha$ . The large- $\alpha$  solutions have the properties of a switch, and the transition from a single solution regime to the switch regime occurs at a value of  $\alpha$  that depends on  $n$ .