

SOME ERGATIVE PHENOMENA IN HALKOMELEM*

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The terms subject and object are often referred to in grammars of Salish languages. In this paper, I suggest that the terms ergative and absolutive are also relevant in the grammar of Halkomelem, a Salish language spoken in southwestern British Columbia.¹

First, drawing upon data from Island Halkomelem, I show four rules that are best formulated in terms of ergative and absolutive -- 3rd person agreement marking, one-nominal interpretation, quantifier assignment, and possessor extraction. I point out the interaction of the latter two rules with the antipassive.

Second, I discuss split ergativity. Of three common types of split ergativity -- based on person, clause-type, and aspect-- Halkomelem exhibits the first two types.

The following definitions are used in this paper:

- a) Transitive: a clause with both a subject and an object.
- b) Intransitive: a clause which is not transitive.
- c) Ergative: the subject of a transitive clause.
- d) Absolutive: the subject of an intransitive clause
and the object of a transitive clause.

The relationship among these terms can be summarized in the following diagram:

1)

INTRANS:

SBJ

(ABSOLUTIVE)

TRANS:

SBJ

OBJ

(ERGATIVE)

In Halkomelem, verbs in transitive clauses are suffixed with one of a set of transitive markers.² Throughout this paper, transitive verbs are suffixed with -t, the marker of controlled transitivity. The sentences in 2-4) are examples of transitive sentences:

		ERGATIVE		ABSOLUTIVE
2)	ni q'wélətəs	θə sʔéniʔ	t ^{θə}	scé·tən
	aux bake-tr-erg	det woman	det	salmon
	'The woman baked the salmon.'			
3)	ni q'wáqwətəs	t ^{θə} swéyʔqeʔ	t ^{θə}	spéʔəθ
	aux club-tr-erg	det man	det	bear
	'The man clubbed the bear.'			
4)	ni ʔíc'ətəs	ʔə sʔéniʔ	k ^w θə	səplíl
	aux cut in two-tr-erg	det woman	det	bread
	'The woman cut the bread.'			

The subjects of the above transitive sentences are ergatives while the objects are absolutes, as labelled above the nominals in 2).

Sentences 5-7) are examples of intransitive sentences:

ABSOLUTIVE

- 5) ni ?íməš ɬə sʰéni?
 aux walk det woman
 'The woman walked.'
- 6) ni ?éɬtən kʷθə sqʷəméy?
 aux eat det dog
 'The dog ate.'
- 7) ni q'wəl kʷθə scé.ɬtən
 aux bake det salmon
 'The salmon baked.'

In 5-7), there is a subject nominal but no object. The subject nominal is the absolutive, as labelled above the nominal in 5).

1. Rules referring to ergative/absolutive.

In this section, I discuss four rules of Halkomelem which are stated most simply by making reference to the terms ergative and absolutive rather than to subject and object. These rules are: 3rd person agreement marking, one-nominal interpretation, quantifier assignment, and possessor extraction. The data on which the first two rules are formulated have been discussed by Hukari (1976) (and others) although reference was not made to ergative and absolutive in these discussions. The data concerning quantifiers have been discussed in Gerdtz (1980).

1.1 3rd person agreement marking.

There is an important contrast between 2-4) and 5-7) above-- while the verbs in 2-4) are suffixed with -əs, the 3rd person

agreement marker, the verbs in 5-7) lack this suffix.

Here, I discuss the formulation of the rule for 3rd person agreement marking.

The suffix -əs in 2-4) is signalling the presence of a 3rd person subject. Notice in 8-9) below, the object is 1st person sg. and pl. respectively while the subject is 3rd person. Notice the presence of the suffix -əs.

8) ni kwənəθám?šəs kwəθə swéy?qe?
 aux grab-tr-l-obj-erg det man
 'The man grabbed me.'

9) ni kwənətál?xwəs kwəθə swéy?qe?
 aux grab-tr-l-pl-obj-erg det man
 'The man grabbed us.'

Thus, whenever the subject of a transitive clause is 3rd person, the verb is suffixed with -əs.

In contrast, verbs in intransitive clauses, e.g. 5-7), with 3rd person subjects do not have the suffix -əs. Furthermore, as can be seen in 10-11), this suffix does not occur in sentences in which the only 3rd person is object.

10) ni cən kwénət t^θə swéy?qe?
 aux 1-sbj grab-tr det man
 'I grabbed the man.'

11) ni č kwénət t^θə swéy?qe?
 aux 2-sbj grab-tr det man
 'You grabbed the man.'

These data concerning the occurrence versus the absence of the suffix -əs, the 3rd person agreement marker, can be summarized in 12).

- 15) ni q'wáq'ətəs t^θ ə swéy'qe?
 aux club-tr-erg det man
 'He clubbed the man.'
 *'The man clubbed him.'
- 16) ni kwánətəs t̩ə s̩éni?
 aux grab-tr-erg det woman
 'He grabbed the woman.'
 *'The woman grabbed him.'

In each example, only one 3rd person nominal is expressed.

In each case, the nominal is unambiguously interpreted as the object.

In intransitive sentences, e.g. 5-7), only one interpretation is available for the nominal, i.e. subject. A generalization can be made concerning the interpretation of 3rd person nominals. In transitive sentences, the 3rd person nominal is interpreted as object; in intransitive sentences, it is interpreted as subject. Thus, in the absence of other person marking, a single 3rd person nominal is interpreted as absolutive.

1.3 Quantifier Assignment.

One way of expressing quantification in Halkomelem involves the quantifier mák'w 'all' as a higher predicate followed by a complement clause. In the examples in 17-19), the clause following the quantifier is intransitive and the quantifier refers to the subject of the intransitive clause.

- 17) mék'w niw ʃwələnčénəm kʷθə sʰəl'íqəʃ
 all aux-comp run-pl det children
 'All the children ran.'
- 18) mék'w niw wəwáʔəs kʷθə sqwəmqʷeméyʔ
 all aux-comp bark det dogs
 'All the dogs barked.'
- 19) mék'w niw ʔéʃtən t^θə sʃənʃéniʔ
 all aux-comp eat det women
 'All the women ate.'

In the sentences in 20-22), the clause following the quantifier is transitive. Note in 20-22) that the quantifier unambiguously refers to the object of the transitive clause.

- 20) mék'w niw q'wələtəs t^θə sʰəl'íqəʃ kʷθə səplíʃ
 all aux-comp bake-tr-erg det children det bread
 'The children baked all the bread.'
 *'All the children baked the bread.'
- 21) mék'w niw qáʔqaʔtəs kʷθə səwwáyʔqəʔ kʷθə qáʔ
 all aux-comp drink-tr-erg det men det water
 'The men drank all the water.'
 *'All the men drank the water.'
- 22) mék'w niw ʃáyʃtəs t^θə sʃənʃéniʔ kʷθə scéʃtən
 all aux-comp drink-tr-erg det women det salmon
 'The women ate all the salmon.'
 *'All the women ate the salmon.'

In formulating a rule for quantifier assignment the relevant notion is absolute, i.e. subject of intransitive and object of transitive. When the quantifier mék'w 'all' is a higher predicate, it refers to the absolute of the complement clause.

1.4 Possessor Extraction.

A fourth rule in Halkomelem where the ergative/absolutive distinction is relevant is possessor extraction. I am using extraction as a cover term for three parallel processes: relativization, **clefting**, and focus. An example of each process follows:

- 23) ni kwénətəs kwθə swéyʔqeʔ t̩ə s̩éniʔ
 aux grab-tr-erg det man det woman
 'The man grabbed the woman.'
- 24) Relativization:
 státəlstəxʷ cən t̩ə s̩éniʔ ni kwénətəs kwθə swéyʔqeʔ
 know l-sbj det woman aux grab-tr-3- det man
 'I know the woman who the man grabbed.' ssbj
- 25) Clefting:
 ní t̩ə s̩éniʔ ni kwénətəs t̩^θə swéyʔqeʔ
 be-3 det woman aux grab-tr-3- det man
 ssbj
 'It's the woman who the man grabbed.'
- 26) Focus:
 s̩éniʔ t̩ə ni kwénətəs kwθə swéyʔqeʔ
 woman det aux grab-tr-3- det man
 ssbj
 'A woman is who the man grabbed.'

In each of the above examples, the object is extracted; that is, it is the head of the relative clause, cleft, or focus construction. An exposition of the above processes is beyond the scope of this paper; relevant to this discussion is the condition placed on the extraction of possessors.

In certain cases it is possible for a possessor to be extracted. Observe the following sentences; in the a) sentences, the possessive phrase is the subject of an intransitive clause; in the b) sentences, the possessor is extracted.

As is often the case in languages where the ergative/ absolutive distinction is relevant, Halkomelem has a process whereby a logically transitive sentence (i.e. a sentence with an 'agent' and a 'patient') can be expressed in a grammatically intransitive construction--called the antipassive or middle [cf Gerdts (1980) and references therein]. In Halkomelem, the logical object (if it appears) is expressed by an oblique phrase, introduced by the oblique marker ?ə. Examples of transitive sentences (37-38a) and their antipassive counterparts (37a-b) follow:

- 37 a) ni q'wələtəs ɬə sɬéni? kʷθə scé·ɬtən
 aux bake-tr-erg det woman det salmon
 'The woman baked the salmon.'
- b) ni q'wələm ɬə sɬéni? ?ə kʷθə scé·ɬtən
 aux bake-intr det woman obl det salmon
 'The woman baked the salmon.'
- 38 a) ni qá?qa?təs ɬə sɬéni? kʷθə qá?
 aux drink-tr-erg det woman det water
 'The woman drank the water.'
- b) ni qá?qa? ɬə sɬéni? ?ə kʷθə qá?
 aux drink det woman obl det water
 'The woman drank the water.'

Because the antipassive is an intransitive construction, the subject nominal is not an ergative but rather an absolutive. I suggest here that antipassive in Halkomelem has the function of making subjects accessible to rules which apply only to absolutives. This function is clearly seen in the interaction of antipassive with two of the rules discussed above--quantifier assignment and possessor extraction.

As discussed in §1.3 above, when the quantifier mék'w is a higher predicate, it refers to the absolutive of the complement clause. In sentences like 20-22) where the complement clause is transitive, the quantifier refers to the object and not the subject. Example 20) is repeated here as 39).

- 39) mék'w niw q'wólətəs t^θə sʌ'əl'íqəʔ kʷθə səplíl
 all aux-comp bake-tr- det children det bread
 erg
 'The children baked all the bread.'
 *'All the children baked the bread.'

In contrast, the complement clause in 40) is an antipassive; in this case the quantifier refers to the subject, which is the absolutive of the clause. (cf. 21-22)

- 40) mék'w niw q'wéləm t^θə sʌ'əl'íqəʔ ?ə kʷθə səplíl
 all aux-comp bake-intr det children obl det bread
 'All the children baked the bread.'
 *'The children baked all the bread.'

Additional examples of quantification of the subject of an antipassive complement are given below:

- 41) mék'w niw qá'qa? kʷθə səwwéy'qe? ?ə kʷθə qá?
 all aux-comp drink det men obl det water
 'All the men drank the water.'
 *'The men drank all the water.'
- 42) mék'w niw ?éʔtən t^θə sʌnʔéni? ?ə kʷθə scé'itən
 all aux-comp eat det women obl det salmon
 'All the women ate the salmon.'
 *'The women ate all the salmon.'

A function of the antipassive, then, is to make subjects accessible to quantifier assignment.

1.6 Summary.

In this section, I have shown four rules that are best formulated in terms of ergative and absolutive-- 3rd person agreement marking, one-nominal interpretation, quantifier assignment, and possessor extraction. The presence of such phenomena suggests that the terms ergative and absolutive are relevant in the grammar of Halkomelem.

In the latter three rules, the rule makes reference to absolutes to the exclusion of ergatives. This suggests that absolutes are more accessible to grammatical processes than ergatives are. I have briefly discussed the interaction of the latter two rules with antipassive. Because the antipassive is an intransitive construction, the subject of an antipassive is an absolute. Thus, antipassive has the function of making subjects accessible to rules referring to absolutes.

2. Split Ergativity in Halkomelem.

In the linguistic literature, it is common to divide languages into two types: nominative/accusative and ergative.³ The assignment of a language to one type or the other is based on person agreement, pronominal forms, and/or nominal case marking.

In English, a nominative/accusative language, pronouns are chosen according to the distinction subject/object. Subjects of transitives and subjects of intransitives are expressed by the same pronominal set. Objects of transitives, however, are expressed by a distinct set of object pronouns. Observe the following English sentences:

46) I ran.

47) I hit John.

48) John hit me.

The subject form of the 1st person pronoun is I while the object form is me .

subject	object
I	me

In contrast, in Quiche, an ergative language, person marking is chosen according to the distinction ergative/ absolutive. Subjects of intransitive sentences and objects of transitives, i.e. absolutives, are expressed by the same person marker.

Subjects of transitives, i.e. ergatives, are expressed by a distinct person marker. Observe the following Quiche sentences:⁴

50) K - ox - kan - ik.
 aspect-1 Pl. -die-punctual
 'We die.'

51) K - ox - a cuku - x.
 aspect- 1 Pl.- 2 Sg.-seek- actual
 'You seek us.'

52) K - at - ka - cuku - x.
 aspect- 2 Sg.- 1 Pl. - seek -actual
 'We seek you.'

The absolute form of the 1st person plural agreement marker is ox while the ergative form is ka.

53)	ergative	absolute
1st person pl.	ka	ox

Thus, the crucial difference between a nominative/accusative and an ergative agreement system is in the patterning of the subject of intransitives. As is seen in the chart in 54), in a nominative/accusative system, the subject of an intransitive patterns with the subject of a transitive; in an ergative system, the subject of an intransitive is the same as the object of a transitive.

54)

	subj of trans	subj of intrans	obj of trans
nominative/accusative:	X	X	Y
ergative:	X	Y	Y
	ERGATIVE	ABSOLUTIVE	ABSOLUTIVE

Some languages, referred to as split ergative, do not fall consistently into one of the two types--nominative/accusative or ergative. In split ergative languages, some person marking, pronominal forms, and/or nominal case marking may refer to subject/object while others refer to ergative/absolutive. There are three common types of split ergative systems--based on person, clause-type, and aspect. Below, I discuss each of these with respect to Halkomelem.

2.1 Person.

In §1.1 above, I pointed out that 3rd person marking is formulated in terms of ergative/absolutive; -əs marks 3rd person ergative, and \emptyset marks 3rd person absolutive. Interestingly, 1st and 2nd persons do not distinguish ergative/absolutive but rather subject/object. Observe the following sentences; 55-56) are transitive while 57-58) are transitive:

55) ni cən ɬéyʃt kʷθə scé·tən
 aux 1-sbj eat-tr det salmon
 'I ate the salmon.'

- 56) ni cən kʷənət
aux 1-sbj grab-tr
'I grabbed it.'
- 57) ni cən ?íməš
aux 1-sbj walk
'I walked.'
- 58) ni cən t'íləm
aux 1-sbj sing
'I sang.'

In each case the 1st person subject is expressed by the subject clitic cən. In contrast, the 1st person object of a transitive is expressed by an object suffix, as seen in 59-60):

- 59) ni ?ə č q'wəqʷəθám?š
aux int 2-sbj club-tr-1-obj
'Did you club me?'
- 60) ni č kʷənəθám?š
aux 2-sbj grab-tr-1-obj
'You grabbed me.'

Thus, the relevant distinction for 1st person is subject/object and not ergative/absolute. As can be seen in 61-62), which are intransitive, and 59-60) above, which are transitive, the 2nd person subject is also expressed by a subject clitic, while a 2nd person object, as in 63-64), is expressed by an object suffix.

- 61) ni ?ə č ?íməš
aux ni 2-sbj walk
'Did you walk?'
- 62) ni č t'íləm
aux 2-sbj sing
'You sang.'

- 63) ni cən q'wàq'wəθámə
 aux 1-sbj club-tr-2-obj
 'I clubbed you.'
- 64) ni cən kwənəθámə
 aux 1-sbj grab-tr-2-obj
 'I grabbed you.'

Thus, 1st and 2nd persons distinguish subject/object, while 3rd persons distinguish ergative/absolutive, as summarized in the following chart:

65)	subj of trans	subj of intrans	obj of trans
1st person	cən	cən	-θamʔš
2nd person	č	č	-θam
3rd person	-əs	∅ ∅	
	ergative	absolutive	absolutive

Because the person system is neither completely nominative/accusative nor ergative, we must recognize Halkomelem as a split ergative language.

2.2 Clause Type.

In the data involving person marking discussed above, all examples were taken from main clauses. In these examples, we have seen that 3rd persons distinguish ergative/absolutive while 1st and 2nd persons distinguish subject/object. In subordinate clauses, however, this is not the case. All persons distinguish subject/object, regardless of the transitivity of the clause.

Observe the following sentences; the subordinate clauses in 66-67) are intransitive while they are transitive in 68-69):

- 66) 1é?1əm? ?ə č ce? ?u xčénəm?əs
look-cont int2-sbj fut lnk run-3-ssbj
'Will you be watching when/if he runs?'
- 67) 1é?1əm? ?ə č ce? ?u t'í1əm?əs
look-cont int 2-sbj fut lnk sing-3ssbj
'Will you be watching when/if he sings?'
- 68) 1é?1əm? ?ə č ce? ?u q'waq^wəθám?šəs
look-cont int 2-sbj fut lnk club-tr-l-obj-3-ssbj
'Will you be watching when/if he clubs me?'
- 69) 1é?1əm? ?ə č ce? ?u k^wənəθám?šəs
look-cont int 2-sbj fut lnk grab-tr-l-obj-3-ssbj
'Will you be watching when/if he grabs me?'

The 3rd person marked is -əs in each case. In contrast, 3rd person objects in subordinate clauses are unmarked, as can be seen in 70-71):

- 70) 1e?1əm? ?ə č ce? ?u q'wàqwət?é.n?
look-cont int 2-sbj fut lnk club-tr-l-ssbj
'Will you be watching when/if I club him?'
- 71) 1é?1əm? ?ə č ce? ?u k^wənət?é.n?
look-cont int 2-sbj fut lnk grab-tr-l-ssbj
'Will you be watching when/if I grab him?'

Thus, in subordinate clauses, 3rd person marking distinguishes subject/object.

The contrast between 3rd person marking in main clauses and in subordinate clauses is summarized in the chart in 72):

72) 3rd person marking

	subj of trans	subj of intrans	obj of trans
main clause:	-əs	∅	∅
subordinate clause:	-əs	-əs	∅
	ergative	absolutive	absolutive

Thus, Halkomelem exhibits a 2nd type of split ergativity. All subjects of subordinate clauses pattern alike regardless of person or transitivity.

2.3 Aspect.

In a third type of split ergativity, the choice of ergative/absolute versus subject/object is affected by aspect or tense. It seems that Halkomelem lacks this type of split ergativity. In 73-74), the a) sentences are in the completive aspect while the b) sentences are in the continuative.

- 73 a) ni ɬáyχtəs ɬə sɬéni? kʷθə scé'ɬtən
 aux eat-tr-erg det woman det salmon
 'The woman ate the salmon.'
- b) ?i ɬáyχtəs θə sɬéni? t^θə scé'ɬtən
 aux eat-cont- det woman det salmon
 tr-erg
 'The woman is eating the salmon.'
- 74 a) ni ?éɬtən ɬə sɬéni?
 aux eat det woman
 'The woman ate.'
- b) ?i ?í'ɬtən θə sɬéni?
 aux eat-cont det woman
 'The woman is eating.'

In the transitive sentences in 73) the third person agreement marker occurs. It does not occur in the intransitive sentences in 74).

We can conclude from such examples that the completive/continuative aspectual distinction has no affect on the patterning of the ergative/absolute distinction in main clauses in Halkomelem.

2.4 Summary.

One of the ergative phenomena discussed in §1 was person agreement. Because person agreement serves as a diagnostic for nominative/accusative versus ergative languages, I have discussed it further in §2. Looking at person marking in terms of person, clause-type, and aspect, I have pointed out that the ergative/absolute distinction is relevant only to 3rd persons in main clauses. It appears that aspect does not affect 3rd person marking in Halkomelem.

Because person marking in Halkomelem does not behave consistently as nominative/accusative or ergative, we can conclude that Halkomelem is a split ergative language.

3. Conclusion.

In this paper, I have shown four rules that are best formulated in terms of ergative and absolute-- 3rd person agreement marking, one-nominal interpretation, quantifier assignment, and possessor extraction. Person agreement was discussed in terms of split ergativity.

The presence of such phenomena suggests that the terms ergative and absolute are relevant in the grammar of Halkomelem. I am not implying that the description of all phenomena in the language should refer to the ergative/

absolute distinction. There are certainly a few phenomena that should be described in terms of subject and object (e.g. 1st and 2nd person pronominal forms, c.f. §2.1). In addition, there are some phenomena in the language where subjects and objects are alike to the exclusion of other nominals (e.g. nominal case marking, c.f. §1.2) and other distinctions are, of course, possible.

However, there appear to be more ergative phenomena than I had suspected. Perhaps this explains in part the apparent paucity of rules referring to subject and object. Certainly, the presence of ergative phenomena in Halkomelem leads to interesting speculation concerning the frequency of ergative phenomena in earlier stages of this and other Salish languages.

*These data on Halkomelem are from Arnold Guerin of the Musqueam Reserve, Vancouver, B.C. Mr. Guerin, who is a teacher and researcher of the Halkomelem language, speaks a dialect from Kuper Island, B.C. I sincerely thank him for his patience and understanding. I would also like to thank Wayne Suttles for discussing these data with me and for making many useful comments on an earlier version of this paper.

Responsibility for any mistakes in data or analysis is my own.

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These abbreviations are used in the glosses of the Halkomelem:

aux	auxiliary
comp	complementizer
cont	continuative
det	determiner
erg	ergative
intr	intransitive
lnk	linker
obj	objective pronominal suffixes
obl	oblique marker
pos	possessive pronominal affixes
pl	plural
sbj	subjective pronominal clitics
ssbj	subordinate subject suffixes
tr	transitive

1	1st person
2	2nd person
3	3rd person

¹At least a couple of other people have made mention of the term ergative in discussing Salish languages--Davis (1974) and Kuipers (1967, p. 173).

²The transitive marker -t is probably best described as the unmarked form. Some of the other transitive markers are: -nəx^w, -nəs, and -š. Data with these suffixes parallels the data discussed here.

³For discussion of ergativity, cf. Dixon (1979), Silverstein (1976), and the sources therein.

⁴These data are from Dixon (1979).

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