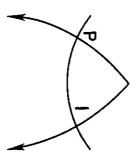
### DONNA B. GERDTS

### SIMON FRASER UNIVERSITY

adopted this viewpoint. Evidence for this proposal has been given in a shaw (1987) and Rosen (1984). wide variety of languages, much of which has been summarized in Grimstra 1984), and several other theories (as Pullum 1988 points out) have 1978 and Rosen 1984), Government/Binding (e.g., Burzio 1986 and Hoektwo classes of verbs, besides having different semantic structures, also have different syntactic structures. Relational Grammar (e.g., Perlmutter in the development of current syntactic theory, where it is claimed that the Filmore 1968, and Sapir 1917). This distinction has played a crucial role transitive verbs, agent-oriented and patient-oriented (e.g., Chafe 1970) 1. Introduction. Many linguists have distinguished two classes of in-

syntax. These are represented in the stratal diagrams in (1a) and (1b)actions or states, involve only an object nominal at the initial level of voluntary bodily processes, involve only a subject nominal at the initial Unergative predicates, which denote willful, volitional actions and inlevel of syntax. UNACCUSATIVE predicates, which denote nonvolitional tive Hypothesis (Perlmutter 1978). Two types of predicates are posited. Within Relational Grammar, this claim is referred to as the Unaccusa-

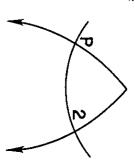
(la) Unergative



Columbia, who was a speaker of Island Halkomelem. I gratefully acknowledge his contri-Canadian Studies Faculty Research Grant; the Canadian Ethnology Service, Nationa analysis are my own responsibility. Our research on Halkomelem was supported by a bution to my research over the decade we worked together. However, errors in data or <sup>1</sup> These data are from Arnold Guerin of the Musqueam Reserve, Vancouver, British

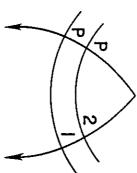
[IIAL, vol. 57, no. 2, April 1991, pp. 230-50]
• 1991 by The University of Chicago. All rights reserved.
0020-7071/91/5702-0004501.00

(1b) Unaccusative



subject by means of Unaccusative Advancement, as represented in: The initial object of an Unaccusative predicate can advance to final

(2) Unaccusative Advancement



ultimately be responsible for its class assignment. semantics may serve to suggest the class of a verb, syntactic evidence will of motion) are Unergative while others (generally those expressing direcexample, some motion verbs in Italian (generally those expressing manner tionality or result of motion) are Unaccusative. Therefore, while lexical shows that there may be differentiation within a semantic class; for tive but Choctaw laksha 'sweat' is initially Unaccusative. Rosen also example, syntactic tests show that Italian sudare 'sweat' is initially Unergadisagreement among languages about which verbs fall into each class. For this assumption into question, showing that there is a small amount of the basis of semantics. A cross-linguistic study by Rosen (1984) has called which are Unergative versus Unaccusative can be universally predicted on Furthermore, Perlmutter (1978) makes the claim that the class of verbs

and Unergatives plays a role in the organization of the grammar of Halkomelem, a Salish language of southwestern British Columbia. I This paper presents evidence that the distinction between Unaccusatives

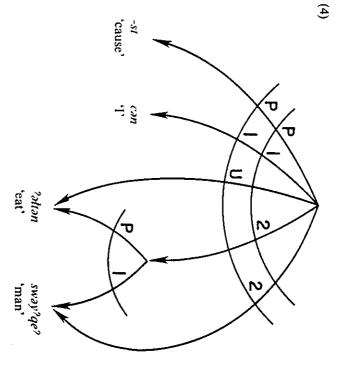
briefly show two constructions—Causatives and Desideratives—that are sensitive to the Unergative/Unaccusative distinction. Many verbs fall into one class with respect to both of these constructions. However, other verbs are MISMATCHED, that is, they behave like Unergatives in Causatives but like Unaccusatives in Desideratives or vice versa. All of these problematic verbs would be considered to be Unaccusative on semantic grounds. Thus, there are three subclasses of Unaccusative verbs in Halkomelem. One group forms Causatives, one forms Desideratives, and one forms neither. Moreover, I show that a further semantic distinction (Process vs. State) can characterize the first two subclasses. These results yield a more complicated intransitive verb system than expected given the two-way distinction predicted by the Unaccusative Hypothesis. The Halkomelem data nevertheless support this hypothesis since verbs which would be claimed to be Unergative on semantic grounds are never mismatched.

- 2. Diagnostics for Unaccusativity. This section discusses two Halkomelem constructions—Causatives and Desideratives—that distinguish Unergatives from Unaccusatives, at least in the basic cases.
- 2.1. Causatives. Morphological Causatives are formed by the causative suffix  $-st(ax^w)$ , as seen in:

'I made the man eat/walk/sit down'.

According to Gerdts (1988a), such sentences may be analyzed as Clause Union Causatives that involve the revaluation of the downstairs subject as the upstairs object, as represented in:

- 2 The causative is -stax" with a third-person object and -st- elsewhere.
- 3 Data are given in standard northwest transcription with the following exceptions:  $\hat{x}$  represents the uvular fricative,  $\theta$ ' represents the glottalized interdental affricate, and glottalized resonants are represented as resonant glottal stop sequences (e.g.,  $m^2$ ). See Gerdts (1988a) for discussion. Primary stress frequently falls on the first syllable of Halkomelem words; I only indicate stress if it falls on a syllable other than the initial one. The following abbreviations are used in glossing the Halkomelem data: aux auxiliary; cnt continuative; cs causative; det determiner; erg ergative; intr intransitive; neg negative; obl oblique; ref reflexive; st stative; sub subject; ssub subordinate subject; tr transitive; I first person; 3 third



Causative Clause Union in Halkomelem is highly constrained. Gerdts (1988a) gives two conditions:

- (5a) The downstairs clause must be finally intransitive.
- (5b) The downstairs initial subject must also be the downstairs final subject.<sup>4</sup>

Condition (5a) is irrelevant to the discussion, since both Unergative and Unaccusative clauses are finally intransitive, as (1a) and (2) show. However, condition (5b), which requires that the clause have an initial subject, distinguishes initially Unergative clauses from initially Unaccusative clauses, since Unergatives have initial subjects while Unaccusatives do not. As predicted, Causatives based on Unergative predicates are possible, as (3) illustrates. Causatives based on Unaccusative predicates, however, are not generally allowed, as (6) shows:<sup>5</sup>

<sup>&</sup>lt;sup>4</sup> For a similar "freeze" on downstairs subjects, see Rosen (1983) on Italian Causatives.

<sup>&</sup>lt;sup>5</sup> As Hukari (1976) notes, while the causative -st- is used to derive transitive forms from unergatives, -t is generally used to derive transitive forms from unaccusatives. For example:

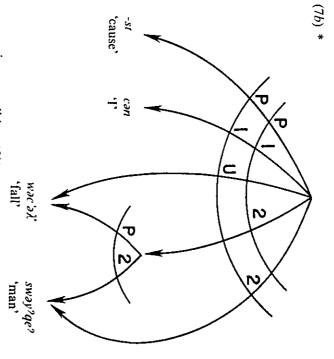
q'''aq''' get cheaper' q'''aq''at 'lower its price' (i) maya'' 'get cheaper' 'maya'' 'lower its price'

'I made the man fall/get lost/get cut'

We may posit the analysis in (7a) (with Unaccusative advancement in the downstairs clause) or (7b) (without Unaccusative advancement) for Causatives like (6); in either case, (5b) is violated since there is no downstairs initial subject. 6

a., al	lic
'bake'	'get cut'
q""ələt	lic'ət
'bake it'	'cut it'

I take this to be a lexical process, since the objects in this construction test to be initial objects (see Gerdts 1988a).



In sum, given condition (5b) and the Clause Union analysis above, Unergatives and Unaccusatives are predicted to behave differently with respect to morphological Causatives: Unergatives form them while Unaccusatives do not.

2.2. Desideratives. Desideratives, illustrated in (8) and (9), are formed with the suffix -alman (-al<sup>2</sup>man<sup>2</sup> in the continuative):

aux Isub go(cnt)-went
he 'y²-al²man²
build canoe(cnt)-went
'i²than²-al²man²
eat(cnt)-want

'I want to go/build a canoe/eat'.

(9)  $^{2}i$   $k^{w}an^{2}-at-al^{2}man^{2}-as$   $t^{0}a$   $sway^{2}qe^{2}$   $t^{0}a$  saptan aux take(cnt)-tr-want-3erg det man det knife

'The man wants to take the knife'.

Gerdts (1988c) posits an analysis of such clauses that makes use of the notion of multipredicate clauses, as proposed by Davies and Rosen

<sup>&</sup>lt;sup>6</sup> I know of no evidence to distinguish these analyses for Halkomelem.

(1988). The suffix -alman is treated as a predicate (P) that has no arguments of its own but rather inherits the argument nominals from the earlier stratum. Under this analysis, Desideratives based on Unergatives (e.g., 8) are represented as in (10), and those based on transitives (e.g., 9) are represented as in (11).

(11)

can
neme, 'I', 'go', 'want'
p
p
p
p

kwanat -alman sway'qe' šaptan 'take' 'want' 'man' 'knife'

Although the Desiderative predicate does not allow the addition of nominal arguments to the syntactic structure of the clause, it does make a requirement on the way the inherited arguments are "linked" into the semantic structure, as Gerdts (1988c) elaborates; namely, the "cognizer" (i.e., the higher being that "wants" something) must correspond to the initial subject of the clause.

Desideratives based on Unergatives, as in (8), or transitives, as in (9), are possible because the initial subject is the cognizer. However, Unaccusative Desideratives are generally not possible, as (12) shows.

('I don't want to get hit/get cut/get lost'.)

This would follow from the above condition, since there is no initial subject that could be semantically linked to the role of cognizer, as the representation in (13) shows:

cen pas -elmen 'I' 'get hit' 'want'

In summary, Desideratives, like Causatives, provide a test for distinguishing Unergative from Unaccusative predicates in Halkomelem. Conditions on both of these constructions refer to the notion of initial subject. Unaccusative clauses, which lack initial subjects, cannot meet these conditions, and thus Causatives and Desideratives based on initially Unaccusative clauses are not expected to be possible in Halkomelem.

3. Verb classes in Halkomelem. This section summarizes the results of a survey of one hundred unsystematically selected intransitive verbs in Halkomelem; each predicate was tested to see if it could serve as a base for the causative suffix -siax\* or the desiderative suffix -alman. The first, noticeable result is that forty-two verbs, those listed in table 1, allow both Causatives and Desideratives, and the resulting forms have the predicted

<sup>7</sup> I distinguish "cognizer" from "experiencer" in Halkomelem. For a discussion of pysch predicates in Halkomelem, see Gerdts (1988a).

<sup>&</sup>lt;sup>8</sup> My use of "verb" here is necessarily vague; this does not imply verb "root." Though many forms in this sample are roots, many others are not. In fact, any form longer than C(C)VC(C) can be assumed to involve affixation. The use of only roots would vitiate the discussion since, as Hukari (1976) has noted, the overwhelming majority of Halkomelem roots are Unaccusative.

TABLE 1
UNERGATIVE VERBS

m.p.	'call'
('anı	'go up to the house', 'go inland', 'go up into the mountains'
cam²am	'carry (on back or shoulders)'
ckam	'jump'
c'etam"	'hear'
c'tem	'crawl'
"Here"	'eat'(intransitive)
'amai	'sit down', 'rise out of bed'
"A Keuc,	'stop'
ešal.	'paddle'
่างเร็กก่ำ	'carry (with arms extended)'
hesəm	'sneeze'
he0'əm	'breathe'
hey?	'build a canoe'
<sup>9</sup> imoš	'walk'
itat "	'sleep'
61.n.7 Sem,1,	'point', 'instruct'
łak w	'fly'
law?	'flee'
lžilaš	'stand'
λpil	'go down'
nem?	'go'
mebeu	'dive down'
p'ak w	'come to the surface of the water'
$q^{w}al$	'speak'
de p	'assemble', 'gather'
g'wayilas	'dance'
p.wes	'seek'
me " s is	'wade'
saq"əl	'go across to the other side'
IG.M	'call out', 'yell'
t ïcəm	'swim'
t'iləm	'sing'
n'an'á?əs	'bark'
řə/	'ache'
X**Čenəm	'run'
sa.ns	'work'
1e, si	'vomit'
mener	'laugh'

TABLE 2
UNACCUSATIVE VERBS

'smash up'	vak" əm
'scratch (an itch)'	xiq'
'be stormy'	хeх
'get there'	cime "x
'arrive after a long crossing'	wəqél
'be bumped'	O'as
'arrive here'	tecəl
'be calm' (weather)	sliq"əl
'be adept, clever'	scu <sup>2</sup> ét
'get wrinkled'	q'wap'
'be ill'	q'aq'17
'miss', 'fail to hit'	$q^{wixw}$
'get buried'	pən
'get hit (by a thrown object)'	pas
'get smaller', 'get cheaper'	məya?
'decrease in size'	məs
'get cut'	lic'
'get burnt'	K. Wes
'be born'	k wan
'change'	<sup>9</sup> iyé <sup>9</sup> q
'get scratched on surface'	7ix
'get lost'	IK "

meanings (Causatives = 'make him V'; Desideratives = 'want to V'), Thus these verbs test to be Unergative on syntactic grounds. Moreover, all of these forty-two verbs would be predicted on semantic grounds to be Unergative, since they denote willed, volitional actions or involuntary bodily processes.

In contrast, the twenty-two verbs in table 2 form neither Causatives nor Desideratives and thus test to be Unaccusatives. Furthermore, they could be considered on semantic grounds to be Unaccusative, since they denote patient-oriented action, results, motion emphasizing the endpoint, or description.

The remaining thirty-six verbs, all of which have Unaccusative semantics, divide evenly into two groups: those which allow Desideratives but not Causatives are given in table 3, and those which allow Causatives but not Desideratives are given in table 4. These two groups split semantically: the verbs in table 3 denote patient-oriented action and results, while the verbs in table 4 denote location and description; following Chafe (1970) I use Process as a cover term for the former and State for the latter.

<sup>9</sup> Causatives of motion verbs are often translated with English transitive verbs; for example, the causative of Saq"sd'go across to the other side' would be 'take it across to the other side'; the causative of  $\tilde{\lambda}'pil$ 'go down' would be 'take it down'.

TABLE 3
Process Verbs

<del>-</del>	you
	,
	lewiw x
ŧ ŧ .	ke sem
Ť	o'ayk'"
· · · · · · · · · · · · · · · · · · ·	16.0
· ·	0ax"
š	'pes
š .	lem.b
	q'waq"
	, pew
	tam?c
	lak w
d 'spill'	F., 7
m 'tumble', 'topple', 'fall', 'roll'	hiləm
m 'grow'	c'isəm
'be surprised', 'be astonished'	c'aq'
" 'get hooked', 'get snagged'	ak.w

TABLE 4

STATES

0i	šno²á²0		stpal?we?!	stelp.	si <sup>9</sup> q	sc ac é?	q'tan	qi <sup>2</sup> qe <sup>2</sup>	qəx	nec'	"Xek	taq"	k'we2las	iyas	?ile?aq	həli?	3yá30	<sup>2</sup> ayəm
'be big'	'be across the way'	down'	be underneath something whose weight is bearing	'be floppy'	'be underneath a house, or table, etc.'	'be on top of'	'be forward'	'be soft'	'be lots'	'be different, strange'	'be hard'	'be wet'	'be hot'	'be happy'	'be aft'	'be alive', 'live'	'be sharp'	'be slow'

If we leave aside the Unaccusative verbs in table 2, which include Process, State, and Motion verbs, there are plausible explanations for the patterning of Causatives and Desideratives with respect to the verbs in tables 3 and 4. The verbs in table 3 all form Desideratives but not with the meaning that the subject 'desires' or 'wants' to perform the action; rather glosses such as 'almost', 'on the verge of', 'beginning to', or 'ready to' are given in every case, for example: 10

(14) ?i cən tu wəl məq'əlmən aux İsub just already full-want

'I'm getting rather full'.

aux ripe(cnt)-want det berry

'The (last of the) berries are almost ripe'.

Thus, the Desiderative in Halkomelem has the meaning of a NEAR OF UNAVOIDABLE FUTURE when it is used with a verb lacking a cognizer capable of 'wanting'. It makes sense that Processes rather than States should allow this meaning: Processes, since the action is spread across time, can be almost or just started, but the point of entering or leaving a State is less relevant than being in or out of it. Thus, 'to be ready to be sharp', 'to be on the verge of being different', or 'to be beginning to be aft' are in some sense anomalous.

On the other hand, States are more compatible with the Causative suffix than are Processes. The Causative forms for the verbs in table 4 are not glossed as 'make X V' but rather 'have it V', 'keep it V', and 'find it V', as in (16) and (17); that is, the meaning is a RESULTATIVE rather than a causative one."

(16) ?i cən ?əyá?0-stəx" aux İsub sharp-cs

'I have it sharp'.

(17) *kalim? can k'we?las-staxw* very Isub warm-cs

'I find it rather hot'.

Since the result of the action is being stressed and States and not Processes are logical results of actions, only States appear with the Causative suffix.

<sup>10</sup> The reanalysis of 'want' to future is a commonly occurring cross-linguistic process; see Bybee and Pagliuca (1987) and references therein.

If I am assuming that the central meaning of the causative suffix is one of causation, since most causatives (e.g., those formed on unergatives, antipassives, reflexives/reciprocals) have this meaning. The resultative meaning of the causative suffix is limited to a subclass of unaccusatives.

'have him astonished', seem anomalous. 12 The resultative forms of a Process, 'find it spill', 'keep it fade away', and

distinction between State and Process is often morphologically expressed in Halkomelem. be a Process. Support for this claim comes from the fact that the predicate must be a State, and in order to form a Desiderative, it must Unaccusative predicates. In order to form a Causative, an Unaccusative that the Process/State distinction is relevant for the subcategorization of 4. Unaccusativity and verb derivation. The previous section proposes

(18) and (19); such Causatives, as expected, are generally glossed as 'find/keep/have it X' and not 'make it X'. possible, which can, in turn, serve as the base for a Causative, as seen in For most verb roots denoting Processes, a derived Stative form is

(18*a*) ni aux tie up det John qiq' k<sup>w</sup>θə John

'John got tied up/detained/arrested/bandaged'.

(18b) ni'John is bound up'. aux tie up(st) det John sqiqəq' kwθə John

(18c)n. ni cən sqiqəq'-stəx" k"θə John aux lsub tie up(st)-cs det John 'I had John bound up'

(19a) ni cən məq' aux Isub full

'I got full (of food)'.

(19b) 7i cən səm7iq' aux Isub full(st)

(19c) 7i cən səm?iq'-stəx" t<sup>0</sup>ə John 'I'm full'. aux Isub full(st)-cs det John

tional pattern. Table 5 illustrates some of the numerous roots which have this deriva-

'I got John full (of food, liquor, etc.)'.

TABLE 5

			DERIVED STATES		
Verb Stem	1	Stative		Causative	
²ak'w	'get hooked', 'get snagged'	s²a²k'"	'be hooked'	s <sup>2</sup> a <sup>2</sup> k <sup>w</sup> stəx <sup>w</sup>	'get it hooked'
lic'	'get cut'	słiłac'	'be cut'	słiłac'stax <sup>w</sup>	'have it cut'
məya?	'get cheaper'	səmyá?	'be cheaper'	səmyā <sup>9</sup> stəx <sup>w</sup>	'have it cheaper'
məq'	'get full'	səm <sup>ə</sup> iq'	'be full'	səm <sup>ə</sup> lq'stəx"	'get someone full'
p'əlÎ	'sober up', 'come to'	sp'əp'il	'be sobered up'	sp'əp'ilstəx"	'get him sobered up'
qiq'	'get detained (by police)', 'get tied up'	sqiqəq'	'be detained'	sqiqəq'sıəx*	'have him detained'
q'a?	'get added to'	sqʻəq'a <sup>9</sup>	'to be with them', 'to be together'	sq'əq'a <sup>9</sup> stəx <sup>w</sup>	'put it with them'
q'ep'	'get tied', 'get infected'	sq'eq'əp'	'be tied'	sq'eq'əp'stəx"	'have it tied up'
q'ik'*	'get bitten'	sq'iq'ək'*	'be bitten'	sq'iq'ək' "stəx"	'have teeth closed on it'
q'is	'get knotted'	sq'iq'əs	'be knotted'	sq'iq'əsstəx"	'have it tied with a knot'
q'"ap'	'get wrinkled'	sq'waq'wəp'	'be wrinkled'	sq'waq'wəp'stəxw	'got it wrinkled'
θek' <sup>w</sup>	'get light directed onto'	sθeθək' <sup>n</sup>	'to be lit'	sθeθək' <sup>m</sup> stəx <sup>m</sup>	'focus a light on him'
heta'ə $x$ "	'get washed'	sθ'əθ'ĭx̄ <sup>w</sup>	'be washed'	sθ'əθ'ix"stəx"	'have it washed'

anomalous (see n. 5); however, such translations are not available for unaccusatives suffixed with -xt(əx") which consistently have a resultative meaning 12 A causative based on a Process, e.g., 'make it spill/break' or 'spill/break it', is not

It is also possible to derive a Process from a State, most commonly by means of an Inchoative formed with the suffix  $-\theta_{\partial t}$ . Such Inchoatives can then frequently serve as bases for Desideratives, as (20) and (21) show:

(20a) λ²əlim² qi²qe² really soft
'It's really soft'.
(20b) ni qe²qe²-θət aux soft-ref
'It got soft'.

(20c) ni wət tu qe?qe?-Bət-əlmən aux already much soft-ref-want

'It is starting to get a little softer'

(21a) ?i təq" t<sup>0</sup>ə x""?ləm?
aux tight det rope
'The rope is tight'.

(21b) ni  $t = q^w - \theta = t^\theta = \tilde{x}^w i \theta = m^2$ aux tight-ref det rope

The rope tightened up?

(21c) ni taqw-bat-alman

aux tight-ref-want

'The rope wants to tighten up'.

Roots that allow derivations of this sort are given in table 6.

Such data support the claim that, in the case of Unaccusatives, the semantics of the VERB BASE determine whether or not a Causative or Desiderative is allowed. It is clear from the data in (22) and (23) that neither the semantics of the verb root nor the semantics of the subject nominal is adequate for stating the condition on further derivation.

(22a) \*ni cən θ'ək''-stəx" t<sup>θ</sup>ə John aux lsub light up-cs det John

('I threw a light on John'.)

TABLE 6

Derived Processes								
Verb Stem		Inchoative		Desiderative				
²əyá²θ lew² Kəx™ qi²qe²	'be sharp' 'be cured' 'be hard' 'be soft'	<sup>2</sup> əyá <sup>2</sup> θθəi ła <sup>2</sup> wəθəi ૠેəxઁ <sup>™</sup> θái qi <sup>2</sup> qe <sup>2</sup> θəi	'got sharp' 'get cured' 'got hard' 'got soft'	<sup>2</sup> əyá <sup>2</sup> θθətəlmən la <sup>2</sup> wəθətəlmən XəX**θátəlmən qi <sup>2</sup> qe <sup>2</sup> θətəlmən	'starting to get sharp' 'felt like getting cured' 'wants to get hard' 'starting to get a little softer'			
q'i'ləm scu'éi slel'p'	'be old' 'be clever' 'be floppy', 'be flat'	qʻi°ləmθəι scu²áıθəι ləl²pʻθəι	'got old' 'got clever' 'got floppy'	q'iləmdətəlmən scəw'ətdətəl'mən' ləl'p'dətəlmən	'wants to get old' 'trying to become clever' 'starting to get a little flat'			
təq"	(e.g., a tire) 'be tight' (e.g., a rope)	tq™əθət	'tighten up'	tq <sup>w</sup> əθətəlmən	'wants to tighten up'			
žež'	'be stormy'	<b>xa रें θ</b> ə1	'got stormy'	žažəžθətəl?mən?	'wanting to get stormy'			

<sup>13</sup> In Halkomelem, Inchoatives are formed with the Reflexive suffix  $-\partial aI/-\partial aI$ , which is composed of the transitive marker -I and a suffix meaning 'self'. Because so many Unaccusatives form transitive verbs (see n. 5), which can then form Reflexives, I limited the data in table 6 to only those roots that do not otherwise form transitives or to those that have an Inchoative meaning clearly distinguishable from the Reflexive one.

- (22b) ?i cən sθ'eθək'"-stəx" tθə John aux Isub light up(st)-cs det John
   'I am holding the light on John'.

('It's starting to be stormy'.)

(23b) % yə-xexəx-dət-əl?mən? aux cnt-stormy-ref-want

'It's wanting to get stormy'.

The condition must refer to the base to which the Causative or Desiderative suffix is added.

- 5. Unaccusativity: syntax or semantics? The discussion of Unaccusative predicates above has resulted in apparently contradictory conclusions. Section 2 claimed that there are necessary conditions on morphological Causatives (cf. 24b) and Desideratives (cf. 25) that refer to the notion of initial subject and thus differentiate initial Unergatives and Unaccusatives.
- (24) Condition on Halkomelem Causative Clause Union: The downstairs initial subject must also be the downstairs final subject.
- (25) Condition on Halkomelem Desideratives:
  The initial subject must be the cognizer.

However, 3 gave a closer examination of Unaccusative predicates with respect to these tests. Some Unaccusatives were found to form either Causatives or Desideratives. These Unaccusatives are problematic: because they lack an initial subject, they violate conditions (24) and (25). It has been shown that the semantic distinction Process/State is relevant for the subclassification of Unaccusative predicates. These results raise the question: should the conditions on Causatives and Desideratives in Halkomelem be stated solely in semantic rather than syntactic terms?

There are two reasons for positing that conditions (24) and (25) are stated, at least in part, in syntactic terms. First, these conditions are relevant not only to initially Unaccusative and Unergative clauses but to a variety of clause types in Halkomelem, as discussed in Gerdts (1988a; 1988c). The downstairs "freeze" in (24), for example, also blocks Passives from forming Causatives (cf. 26), since the downstairs initial subject is not the final subject, as seen in (27).

(26) \*ni q'\*al-at-am-stax\*-as k\*θa saplil 'a la siéni' aux bake-tr-intr-cs-3erg det bread obt det woman ('He had the bread baked by the woman'.)

(27)

P
P
U

\*cause' 'he'

\*steni?

\*woman'

woman'

younged

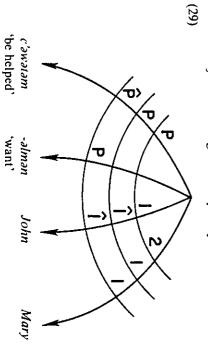
youn

Condition (25) accounts for the fact that while Passive Desideratives are possible, the initial rather than the final subject is interpreted to be the cognizer. Thus, in the Passive Desiderative in (28), as represented in (29), the initial subject *John*—not the final subject *Mary*—is the 'desirer'.

(28) %i c'ec'əw'-ət-əm?-əl?mən? (lə Mary ?ə-λ' John aux help(cnt)-tr-intr-want det Mary obl-det John 'John was wanting to help Mary'.

(Literally: 'Mary was want-helped by John'.)

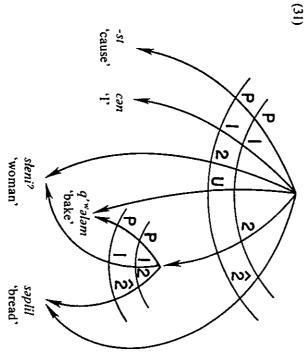
\*'Mary was wanting to be helped by John'.



Second, stating the conditions in semantic terms is not a straightforward matter. For example, the notionally transitive clauses in (30)

below, unlike those in (26), can form Causatives. As seen in the representation in (31), downstairs Antipassive (placing the initial 2 en chomage) is posited for Causatives like (30), as argued for in Gerdts (1988a).

(30) ni can q'''al-am-stax"  $\theta a$  sleni?  $\theta a$  saplit aux Isub bake-intr-cs det woman obt det bread 'I made the woman bake the bread'.



That Antipassives but not Passives can form Causatives follows from (24). Although they are both notionally transitive and finally intransitive, in an Antipassive the initial subject is the final subject, but in a Passive it is not.<sup>14</sup>

This suggests that the conditions in (24) and (25)—instead of being abandoned—should be restated in order to allow Unaccusatives having the appropriate semantics to form Causatives or Desideratives. The revised conditions, stated in (32) and (33), invoke semantic conditions in the case of structures without initial subjects, i.e., Unaccusatives:

- (32) Halkomelem Causative Clause Union:
- A. Syntactic conditions:
- The downstairs clause must be finally intransitive
- ii. The downstairs initial subject, if there is one, must also be the downstairs final subject.
- <sup>14</sup> Antipassives are not the only constructions which are logically transitive but syntactically finally intransitive. Lexical suffix constructions and Reflexives (Gerdts 1988b) have this characteristic; both also form causatives.

### Semantic conditions:

If there is no downstairs initial subject, then:

- i. the verb base must denote a State;
- the derived form will have resultative (not causative) meaning.

## (33) Halkomelem Desideratives:

Semantic conditions:

- The initial subject, if there is one, must be the cognizer.
- ii. If there is no initial subject, then:
- a. the verb base must denote a Process;
- b. the derived form will have aspectual (not desiderative)

Thus the conditions make reference to both syntactic and semantic factors.

cusativity given in 2 to a sampling of Halkomelem intransitive verbs ing Unergative from Unaccusative predicates. Unergative predicates faithclassify a predicate, the two tests together provide a means for distinguishyields what seem at first glance to be problematic results. However, a causative meaning. I have no explanation for why some verbs denoting to Processes) have a future rather than a desiderative meaning and neither, but never both. Furthermore, Unaccusative Desideratives (limited but Unaccusative verbs allow either Causatives, or Desideratives, or fully allow both Causatives and Desideratives with the expected meanings, further study shows that although the individual tests are insufficient to Unaccusative verbs in Halkomelem. as a future and the Causative as a resultative has not yet spread to all Causatives altogether. I can only surmise that the use of the Desiderative Processes or States, namely those in table 2, block Desideratives and Unaccusative Causatives (limited to States) have a resultative rather than 6. Conclusion. We have seen that applying the diagnostics for Unac-

The Halkomelem verb derivation system, according to my analysis, makes use of the semantic notions Process and State for the subcategorization of Unaccusatives. In this respect, the results for Halkomelem parallel the studies of other languages with Unaccusative Mismatches. For example, Knecht and Levin (1985), Levin (1985), Levin and Rappaport (1988), and Zaenen (1987), discussing data in Dutch, German, Italian, and Turkish, conclude that semantic distinctions such as Telic/Atelic and achievement/accomplishment (developed by Vender 1967 and Dowty 1979) are relevant in the classification of intransitive

Furthermore, this study shows that a combination of syntactic and semantic conditions best captures the distribution of Causatives and

basis of Unaccusativity. to initial subjecthood, Halkomelem provides support for the syntactic Desideratives in Halkomelem. Insofar as those conditions make reference

TATLOTTE SOCKINGE OF AMERICAN EINCOISTICS

#### REFERENCES

Burzio, Luigi. 1986. Italian Syntax: A Government-Binding Approach. Dordrecht:

CHAFE, WALLACE L. 1970. Meaning and the Structure of Language. Chicago: University of BYBEE, JOAN, AND WILLIAM PAGLIUCA. 1987. The evolution of future meaning. Papers from Chicago Press. the Seventh International Conference on Historical Linguistics. Amsterdam: Benjamins.

Davies, William, and Carol Rosen. 1988. Unions as multipredicate clauses. Language 64:52-88.

Dowty, David. 1979. Word Meaning and Montague Grammar. Dordrecht: D. Reidel.

FILLMORE, CHARLES J. 1968. The case for case. Universals in Linguistic Theory, ed. Emmon Bach and Robert T. Harms, pp. 1-88. New York: Holt, Rinehart & Winston.

GERDTS, DONNA B. 1988a. Object and Absolutive in Halkomelem Salish. New York:

pp. 259-80. Albany: State University of New York Press. Perspectives on Native American Languages, ed. Donna B. Gerdts and Karin Michelson, \_. 1988b. Relational parameters of reflexives: the Halkomelem evidence. Theoretical

—. 1988c. Semantic linking and the relational structure of desideratives. Linguistics

GRIMSHAW, JANE. 1987. Unaccusatives—an overview. Proceedings of NELS 17, 1986, vol. 1,

HOEKSTRA, TEUN. 1984. Transitive: Grammatical Relations in Government-Binding Theory. Dordrecht: Foris.

KNECHT, LAURA, AND LORI LEVIN. 1984. Unaccusative mismatches. Paper presented at the HUKARI, THOMAS E. 1976. Transitivity in Halkomelem. Working Papers for the Eleventh International Conference on Salishan Languages, Seattle, Washington.

LEVIN, BETH, AND MALKA RAPPAPORT. 1988. Towards an explanatory theory of unaccusa-Symposium on Grammatical Relations, State University of New York, Buffalo.

Levin, Lorraine S. 1985. Operations on lexical forms: unaccusative rules in Germanic languages. Ph.D. dissertation, Massachusetts Institute of Technology. tive mismatches. Paper presented at NELS 19, Cornell University, Ithaca, New York.

Perlmutter, David. 1978. Impersonal passives and the unaccusative hypothesis. Proceedings of the Fourth Annual Meeting of the Berkeley Linguistics Society, pp. 157-89.

Pullum, Geoffrey K. 1988. Topic . . . Comment: citation etiquette beyond Thunderdome Natural Language and Linguistic Theory 6:579-88.

Rosen, Carol. 1983. Universals of causative union: a co-proposal to the Gibson-Raposo typology. Proceedings of the Chicago Linguistic Society 19:338-52.

in Relational Grammar 2, ed. David M. Perlmutter and Carol G. Rosen, pp. 38-77 Chicago: University of Chicago Press. 1984. The interface between semantic roles and initial grammatical relations. Studies

VENDLER, ZENO. 1967. Linguistics in Philosophy. Ithaca, N.Y.: Cornell University Press. SAPIR, EDWARD. 1917. Review of C. C. Uhlenbeck, "Het Passieve Karakter van het Verbum Transitivum of van het Verbum Actionis in Talen van Noord-Amerika." IJAL 1:82-86.

Zaenen, Annie. 1987. Are there unaccusative verbs in Dutch? Proceedings of NELS 17 1986, vol. 1, pp. 255-58.

# USES OF BEARLAKE AND MESCALERO (ATHAPASKAN) CLASSIFICATORY VERBS

### SCOTT RUSHFORTH

NEW MEXICO STATE UNIVERSITY

sion of Navajo as well. Section 3 summarizes the data and considers certain questions that it raises. uses of these forms in Bearlake and Mescalero and includes some discusing on semantic features of the relevant categories. Section 2 reviews the uses of classificatory verbs in these two languages, rather than concentrat-Mescalero Apache classificatory verb stems.' It documents some of the 1. Introduction. This paper focuses on a description of Bearlake and

of "verb stems which refer to a class of objects participating in an event, gists who have recorded, for various Athapaskan languages, the existence Carter (1976), Rushforth and Tatti (1980), Young and Morgan (1980:367guistics. Sapir (1932), Hoijer (1945), Davidson, Elford, and Hoijer (1963), 79, 394-407), and Jones (1988) are among the linguists and anthropolo-Landar (1967), Basso (1968), Krauss (1968), Haas (1968), Garrison (1974), Interest in classificatory verbs has a long history in Athapaskan lin-

vowel. I is a voiceless alveolar lateral. II is a voiceless lateral affricate. x is a voiceless velar  $\dot{\gamma}=$  high tone;  $\nu=$  low tone. Vowel nasalization is distinctive:  $\nu=$  oral vowel;  $\gamma=$  nasal  $ch = \{\mathcal{E}\}, sh = \{\mathcal{E}\}, wh = \{\mathcal{W}\}, j = \{\mathcal{E}\}$ . 'is a glottal stop. Glottalized consonants are distinctive fricative.  $\dot{n}$  is a high-tone syllabic masal. Low-tone syllabic masals are unmarked.  $gh = \{\gamma\}$ : The orthography I use is standard for Athapaskan languages. Tone is distinctive:

Slave (for example, Rice 1985; 1989). Hare, Bearlake, Mountain, and Slave(y) are sometimes considered a single language labeled Hare, Mountain, and Slave(y) peoples. Taken together, the Athapaskan dialects spoken by turally to other Athapaskan-speaking people, Dene, of the Northwest Territories - Dogrib, Canada's Northwest Territories. Bearlakers are most closely related linguistically and cul-Great Bear Lake near the headwaters of the Bear River in the Mackenzie District of Bearlake People, Sahtuot ine, reside primarily in Fort Franklin, which is located on the

Mescalero Apache Reservation in south central New Mexico. Two other Athapaskan Apache languages and to Navajo. Speakers of Mescalero Apache reside primarily on the Mescalero is persisting more strongly than these other two Apache languages. languages are or were also spoken on the reservation—Chiricahua and Lipan Apache. Mescalero Apache is a Southern Athapaskan language most closely related to other

Tribe. They interpreted the Hoijer text for me. l express my gratitude to Evelyn Breuninger and Elbys Hugar from the Mescalero Apache

[HAL, vol. 57, no. 2, April 1991, pp. 251-66]

• 1991 by The University of Chicago. All rights reserved.

0020-7071/91/5702-0005801.00