

PP6702 – Foundations of Public Policy: Theories and Methods

2013-1

Time: Tuesday 9:00-12:00

Location: OTH Conference Room

M. Howlett

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Office Hours: Tuesday 12:00-1:00

Overview:

This course focuses on the manner in which the field of public policy has evolved and the possible future directions the discipline may take. The course is designed to review relevant theoretical materials pertaining to public policy-making and test key hypotheses in the policy sciences through examinations of empirical cases in Canadian public policy-making. Policy theory related to the stages of the policy cycle; the impact of policy ideas, institutions and actors on policy outcomes; and the concepts of policy styles and policy regimes will be reviewed and tested against examples of actual policy making behaviour. Throughout the course an emphasis will be placed on methodological aspects of operationalizing key concepts as well as the identification of prominent research directions in the field. The course integrates readings in economics, political science, management and related disciplines in analyzing public policy and serves as preparation for the Ph.D. Comprehensive Examination.

Required Texts:

M. Howlett, M. Ramesh, and A. Perl, Studying Public Policy (Toronto: Oxford University Press, 2009)

Recommended Texts:

E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. Routledge Handbook of Public Policy. New York: Routledge, 2013 – not yet published.

Frank Fischer, Gerald J. Miller and Mara S. Sidney eds. Handbook of Public Policy Analysis: Theory, Politics and Methods, ed.. Boca Raton: CRC Press, 2007

Grading:

1. Class Presentations (3) – 30%
2. Term Paper – 40%
3. Term Paper Outline – 10%
4. Term Paper Presentation – 10%
5. Class Participation – 10%

Class Presentations:

At the beginning of term, each student will be assigned three weeks for which he/she will be responsible for commenting on the theoretical, methodological and historiographical issues raised in that week's readings through a review of selected readings from that week's list. Missed assignments will receive a zero (0) grade. Students who are not presenting are expected to read the material covered in the overview readings and in that week's presentation and comment and critique class presentations in order to contribute to the development of a common understanding of research directions in the policy sciences and the conceptual and methodological issues of interest to scholars engaged in public policy research.

Paper Topics:

No later than mid-term (Week VII), each student will identify a specific topic area and methodological issue which will be the subject of their term paper and prepare and submit an outline of the paper. These topics and issues will be investigated through examination of a specific empirical case of public policy-making. Preliminary drafts of the term papers will be presented to class in the final week of class. Papers are due two weeks later. Late papers will lose 10% per day late.

Weekly Topics and Reading List

Week I (Jan 15) – Introduction and Administration: Theories of Public Policy-Making

Overview:

- Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Chapters 1 & 2
- Jann, Werner, and Kai Wegrich. 2007. "Theories of the Policy Cycle." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 43-62.

Approaches:

- Sabatier, Paul A. Theories of the Policy Process. Boulder: Westview Press, 1999.
- Birkland, Thomas A. An Introduction to the Policy Process; Theories, Concepts, and Models of Public Policy Making. Armonk: M.E. Sharpe, 2001.
- Dobuzinskis, Laurent, Michael Howlett, and David Laycock, ed. Policy Studies in Canada: The State of the Art. Toronto: University of Toronto Press, 1996.
- Schmidt, S. "Comparative Approaches to the Study of Public Policy Making" In Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Background Reading:

** Students who are unfamiliar with the following concepts should cover the associated readings listed below prior to the start of the second class.

a. Policy Analysis and Policy Studies:

- Garson, G. David. "From Policy Science to Policy Analysis: A Quarter Century of Progress." In W. N. Dunn, ed(s), Policy Analysis: Perspectives, Concepts, and Methods, Greenwich, Conn.: JAI Press, 1986. 3-22.
- Hawkesworth, Mary. "Epistemology and Policy Analysis." In W. Dunn and R. M. Kelly, ed(s), Advances in Policy Studies, New Brunswick: Transaction Press, 1992. 291-329.
- Torgerson, Douglas. "Between Knowledge and Politics: Three Faces Of Policy Analysis." Policy Sciences, 19, no. 1 (1986): 33-59.
- Webber, David J. "Analyzing Political Feasibility: Political Scientists' Unique Contribution to Policy Analysis." Policy Studies Journal, 14, no. 4 (1986): 545-554.
- Mintrom M and C. Williams, "Public Policy Debate and the Rise of Policy Analysis" In Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

b. Policy Cycles:

- Lyden, Fremont J., George A. Shipman, and Robert W. Wilkinson. "Decision-Flow Analysis: A Methodology for Studying the Public Policy-Making Process." In P. P. Le Breton, ed(s), Comparative Administrative Theory, Seattle: University of Washington Press, 1968. 155-168.
- deLeon, Peter. "The Stages Approach to the Policy Process: What Has It Done? Where Is It Going?" In P. A. Sabatier, ed(s), Theories of the Policy Process, Boulder: Westview, 1999. 19-34.
- Sabatier, Paul A. "Toward Better Theories of the Policy Process." PS: Political Science and Politics, 24, no. 2 (1991): 144-156.
- Skok, J. E. 1995. "Policy Issue Networks and the Public Policy Cycle: A Structural-Functional Framework for Public Administration." Public Administration Review 55 (4): 325-32.
- Howlett M. and S. Giest, "The Policy-Making Process: Policy Cycles and Policy Styles" In Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

c. Policy Regimes

- Esping-Andersen, Gosta. "Power and Distributional Regimes." Politics and Society. 14, no. 2 (1985): 223-256.
- Orren, Karen and Stephen Skowronek. "Regimes and Regime Building in American Government: A Review of Literature on the 1940s." Political Science Quarterly. 113, no. 4 (1998-99): 689-702.
- Eisner, Marc Allen. "Discovering Patterns in Regulatory History: Continuity, Change and Regulatory Regimes." Journal of Policy History. 6, no. 2 (1994): 157-187.
- Arts, Bas, and Jan Van Tatenhove. 2000. "Environmental Policy Arrangements: A New Concept." In Global and European Polity? Organizations, Policies, Contexts, ed. Henri Goverde. Aldershot: Ashgate, 223-237.
- Wilson, Carter A. "Policy Regimes and Policy Change." Journal of Public Policy. 20, no. 3 (2000): 247-271.

d. *Policy Subsystems*

- Jordan, A. Grant. "Iron Triangles, Woolly Corporatism and Elastic Nets: Images of the Policy Process." Journal of Public Policy. 1, no. 1 (1981): 95-123.
- McCool, Daniel. "The Subsystem Family of Concepts: A Critique and a Proposal." Political Research Quarterly. 51, no. 2 (1998): 551-570.
- Burstein, Paul. "Policy Domains: Organization, Culture and Policy Outcomes." Annual Review of Sociology. 17(1991): 327-350.
- Knoke, David. "Networks as Political Glue: Explaining Public Policy-Making." In W. J. Wilson, ed(s), Sociology and the Public Agenda, London: Sage, 1993. 164-184.
- Knoke D. and Y. Wu, "Policy Network Models" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Week II (Jan 22) – Policy Cycles: Agenda-Setting

Overview:

- Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 4
- Birkland, Thomas A., 2007. "Agenda Setting in Public Policy" In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 63-78.
- Perl, A. "International Dimensions and Dynamics of Policy-Making" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

- Baumgartner, Frank R. and Bryan D. Jones. Agendas and Instability in American Politics. Chicago: University of Chicago Press, 1993.
- Kingdon, John W. Agendas, Alternatives and Public Policies. Boston: HarperCollins College Publishers, 1995.
- Cobb, R., J.K. Ross, and M.H. Ross. "Agenda Building as a Comparative Political Process." American Political Science Review. 70, no. 1 (1976): 126-138.
- Green-Pedersen C and P. Mortensen, "Policy Agenda-Setting Studies: Attention, Politics and the Public" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Methods:

- Baumgartner, F. R., and B. D. Jones. 1991. "Agenda Dynamics and Policy Subsystems." Journal of Politics 53 (4): 1044-74.
- Howlett, Michael. "Issue-Attention and Punctuated Equilibria Models Reconsidered: An Empirical Examination of the Dynamics of Agenda-Setting in Canada." Canadian Journal of Political Science. 30, no. 1 (1997): 3-29.
- Howlett, Michael. "Predictable and Unpredictable Policy Windows: Issue, Institutional and Exogenous Correlates of Canadian Federal Agenda-Setting." Canadian Journal of Political Science. 31, no. 3 (1998): 495-524.
- Birkland, T. A. 2004. "'the World Changed Today': Agenda-Setting and Policy Change in the Wake of the September 11 Terrorist Attacks." Review of Policy Research 21 (2): 179-200.
- Birkland, T. A. 1998. "Focusing Events, Mobilization, and Agenda Setting." Journal of Public Policy 18 (1): 53-74.

Birkland, T. "Focusing Events and Policy Windows" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Week III (Jan 29) – No Class – 1st Draft Paper Topics Due

Week IV (Feb 5) - Policy Cycles: Formulation

Overview:

Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 5

Mara S. Sidney, 2007. "Policy Formulation: Design and Tools." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 79-87.

Schneider, A. "Policy Design and Transfer" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

Linder, Stephen H. and B. Guy Peters. "Policy Formulation and the Challenge of Conscious Design." Evaluation and Program Planning. 13(1990): 303-311.

deLeon, Peter. "Policy Formulation: Where Ignorant Armies Clash By Night." Policy Studies Review. 11, no. 3/4 (1992): 389-405.

Weiss, Carol H. "Research for Policy's Sake: The Enlightenment Function of Social Science Research." Policy Analysis. 3, no. 4 (1977): 531-545.

Howlett, Michael. 2009. "Policy Analytical Capacity and Evidence-Based Policy-Making: Lessons from Canada." Canadian Public Administration 52 (2). 153-175

Mayer, I., P. Bots, and E. v. Daalen. 2004. "Perspectives on Policy Analysis: A Framework for Understanding and Design." International Journal of Technology, Policy and Management 4 (1): 169-91 see also Mayer I., P. Bots and E. v Daalen "Policy Analytical Styles" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Methods:

Howlett, Michael and Evert Lindquist. "Policy Analysis and Governance: Analytical and Policy Styles in Canada." Journal of Comparative Policy Analysis. 6, no. 3 (2004): 225-249.

Zahariadis, Nikolaos and Christopher S. Allen. "Ideas, Networks, and Policy Streams: Privatization in Britain and Germany." Policy Studies Review. 14, no. 1/2 (1995): 71-98.

Whiteman, D. 1985. "The Fate of Policy Analysis in Congressional Decision Making: Three Types of use in Committees." Western Political Quarterly 38 (2): 294-311.

Landry, Rejean, Moktar Lamari, and Nabil Amara. "The Extent and Determinants of the Utilization of University Research in Government Agencies." Public Administration Review. 63, no. 2 (2003): 192-205.

Boaz, A., and R. Pawson. 2005. "The Perilous Road from Evidence to Policy: Five Journeys Compared." Journal of Social Policy 34 (2): 175-94.

Dunlop., C. "Epistemic Communities" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Turnpenny, J., C. Adelle and A. Jordan, "Policy Appraisal" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Week V (Feb 12) – Policy Cycles: Decision-Making

Overview:

Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 6

Clinton J. Andrews, 2007. "Rationality in Policy Decision Making." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 43-62.

Jones, B and H. Thomas “Bounded Rationality and Public Policy Decision-Making” in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

- Simon, Herbert A. “The Structure of Ill Structured Problems.” Artificial Intelligence. 4(1973): 181-201.
- Lindblom, Charles E. “The Science of Muddling Through.” Public Administration Review. 19, no. 2 (1959): 79-88.
- Hayes M. “Incrementalism” in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.
- Smith, Gilbert and David May. “The Artificial Debate Between Rationalist and Incrementalist Models of Decision-Making.” Policy and Politics. 8, no. 2 (1980): 147-161.
- Cohen, M., J. March, and J. Olsen. “A Garbage Can Model of Organizational Choice.” Administrative Science Quarterly. 17, no. 1 (1972): 1-25.
- Mucciaroni, G “The Garbage Can Model and the Study of the Policy-Making Process” in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.
- Teisman, Geert R. “Models for Research into Decision-Making Processes: On Phases, Streams and Decision-Making Rounds.” Public Administration. 78, no. 4 (2000): 937-956 and G. Teisman and E. v. Buuren “Models for Research into Decision-Making Processes: On Phases, Streams, Rounds and Tracks of Decision-Making” in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.
- Weiss, Carol H. “Knowledge Creep and Decision Accretion.” Knowledge: Creation, Diffusion, Utilization. 1, no. 3 (1980): 381-404.

Methods:

- Goodwin, Paul. 2009. "Common Sense and Hard Decision Analysis: Why might they Conflict?" Management Decision 47 (3): 427-40.
- Kahneman, Daniel and Amos Tversky. “Prospect Theory: An Analysis of Decision Under Risk.” Econometrica. 47(1979): 263-289.
- Mintz, Alex and Nehemia Geva. “The PoliHeuristic Theory of Foreign Policy Decision Making.” In N. Geva and A. Mintz, ed(s), Decision-Making in War and Peace: The Cognitive-Rational Debate, Boulder: Lynne Rienner, 1997.
- Bendor, Jonathan, Terry M. Moe, and Kenneth W. Shotts. “Recycling the Garbage Can: An Assessment of the Research Program.” American Political Science Review. 95, no. 1 (2001): 169-190.
- Howlett, Michael. 2007. Analyzing Multi-Actor, Multi-Round Public Policy Decision-Making Processes in Government: Findings from Five Canadian Cases. Canadian Journal of Political Science 40 (3):659-684.

Week VI (Feb 19) – Policy Cycles: Implementation

Overview:

- Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 7
- Helga Puzl and Oliver Treib, 2007. "Implementing Public Policies." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 89-108.
- Poocharoen, O, “Bureaucracy and the Policy Process” in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

- Goggin, Malcolm L. et al. Implementation Theory and Practice: Toward A Third Generation. Glenview: Scott, Foresman/Little, Brown, 1990.
- O’Toole, Laurence J. “Research on Policy Implementation: Assessment and Prospects.” Journal of Public Administration Research and Theory. 10, no. 2 (2000): 263-288.

- Hood, Christopher. The Tools of Government. Chatham: Chatham House Publishers, 1986.
- Salamon, Lester M., ed. The Tools of Government: A Guide to the New Governance. New York: Oxford University Press, 2002.
- Howlett, Michael. "Managing the "Hollow State": Procedural Policy Instruments and Modern Governance." Canadian Public Administration. 43, no. 4 (2000): 412-431.
- Eliadis, Pearl, Margaret Hill, and Michael Howlett, ed. Designing Government: From Instruments to Governance. Montreal: McGill-Queen's University Press, 2004.
- Agranoff R., M. McGuire and S. Silivia, "Governance, Networks and Intergovernmental Systems" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Methods

- Sabatier, Paul A. "Top-Down and Bottom-Up Approaches to Implementation Research: A Critical Analysis and Suggested Synthesis." Journal of Public Policy. 6(1986): 21-48.
- Hawkins, Keith and John M. Thomas. "Making Policy in Regulatory Bureaucracies." In K. Hawkins and J. M. Thomas, ed(s), Making Regulatory Policy, Pittsburgh: University of Pittsburgh Press, 1989. 3-30.
- Milward, H. Brinton and Gary L. Walmsley. "Policy Subsystems, Networks and the Tools of Public Management." In R. Eystone, ed(s), Public Policy Formation, Greenwich: JAI Press, 1984. 3-25.
- McCubbins, Mathew D. and Arthur Lupia. "Learning from Oversight: Fire Alarms and Policy Patrols Reconstructed." Journal of Law, Economics and Organization. 10, no. 1 (1994): 96-125.
- Scholz, John T. "Cooperative Regulatory Enforcement and the Politics of Administrative Effectiveness." American Political Science Review. 85, no. 1 (1991): 115-136.
- Brinkerhoff D and J. Brinkerhoff, "Development Management and Policy Implementation" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Week VII (Feb 26) – Policy Cycles: Evaluation

Overview;

- Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 8
- Hellmut Wollmann, 2007. "Policy Evaluation and Evaluation Research." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 393-404.
- Vedung E., "Six Models of Evaluation" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.
- McConnell, A. "Learning from Success and Failure?" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

- Nachmias, David. Public Policy Evaluation: Approaches and Methods. New York: St. Martin's Press, 1979.
- Patton, Carl V. and David S. Sawicki. Basic Methods of Policy Analysis and Planning. Englewood Cliffs, NJ: Prentice Hall, 1993.
- Palumbo, Dennis J. The Politics of Program Evaluation. Beverly Hills: Sage, 1987.
- Weimer, David L. and Aidan R. Vining. Policy Analysis: Concepts and Practice. New Jersey: Prentice Hall, 1999.
- Bennett, C. J., and M. Howlett. 1992. "The Lessons of Learning: Reconciling Theories of Policy Learning and Policy Change." Policy Sciences 25 (3) 275-94.
- Marier P., "Policy Feedback and Policy Learning" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.
- Geva-May, Iris. "When the Motto is 'Till Death Do Us Part': The Conceptualization and the Craft of Termination in the Public Policy Cycle." International Journal of Public Administration. 24, no. 3 (2001): 263-288.

Hendriks, C., "Policy Evaluation and Public Participation" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Methods:

- Kirkpatrick, Susan E., James P. Lester, and Mark R. Peterson. "The Policy Termination Process: A Conceptual Framework and Application to Revenue Sharing." Policy Studies Review. 16, no. 1 (1999): 209-236.
- Hahn, Robert W. and Patrick Dudley. How Well Does the Government Do Cost-Benefit Analysis. Washington D.C.: AEI-Brookings Joint Centre for Regulatory Studies Working Paper, 2004
- Gunton, Thomas. "Megaprojects and Regional Development: Pathologies in Project Planning." Regional Studies. 37, no. 5 (2003): 505-519.
- Jung, Tobias, and Sandra M. Nutley. 2008. Evidence and Policy Networks: the UK Debate about Sex Offender Community Notification. Evidence & Policy 4 (2):187-207.
- Rossouw, N., and K. Wiseman. 2004. "Learning from the Implementation of Environmental Public Policy Instruments After the First Ten Years of Democracy in South Africa." Impact Assessment and Project Appraisal 22 (2): 131-40.

Week VIII (March 5) - Policy Dynamics

Overview:

- Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 9
- Capano, Giliberto. 2009. "Understanding Policy Change as an Epistemological and Theoretical Problem", Journal of Comparative Policy Analysis: Research and Practice 11 (1): 7-31.
- Capano G., "Policy Dynamics and Change: The Never-Ending Puzzle" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Theories:

- Richardson, Jeremy, Gunnel Gustafsson, and Grant Jordan. "The Concept of Policy Style." In J. J. Richardson, ed(s), Policy Styles in Western Europe, London: George Allen and Unwin, 1982. 1-16.
- Freeman, Gary P. "National Styles and Policy Sectors: Explaining Structured Variation." Journal of Public Policy. 5, no. 4 (1985): 467-496.
- True, J. L., B. D. Jones, and F. R. Baumgartner. 1999. "Punctuated-Equilibrium Theory: Explaining Stability and Change in American Policymaking." In Theories of the Policy Process, ed. P. A. Sabatier. Boulder: Westview Press, 97-115.
- Howlett, M., and J. Rayner. 2006. Understanding the Historical Turn in the Policy Sciences: A Critique of Stochastic, Narrative, Path Dependency and Process-Sequencing Models of Policy-Making over Time. Policy Sciences 39 (1):1-18.
- Boushey, G., "The Punctuated Equilibrium Theory of Agenda Setting and Policy Change" in Routledge Handbook of Public Policy. Eds. E. Araral, S. Fritzen, M. Howlett, M. Ramesh, and X. Wu, eds. New York: Routledge, 2013.

Methods:

- Baumgartner, F. R., and B. D. Jones. 2002. "Positive and Negative Feedback in Politics." In Policy Dynamics, ed. F. R. Baumgartner and B. D. Jones. Chicago: University of Chicago Press.
- Jones, B. D., F. R. Baumgartner, and J. L. True. 1998. "Policy Punctuations: U.S. Budget Authority, 1947-1995." The Journal of Economic Literature 60 (1): 1-33.
- Jones, B. 1994. "A Change of Mind Or A Change of Focus? A Theory of Choice Reversals in Politics." Journal of Public Administration Research and Theory 4 (2): 141-78.
- Cashore, Benjamin, and Michael Howlett. 2007. Punctuating Which Equilibrium? Understanding Thermostatic Policy Dynamics in Pacific Northwest Forestry. American Journal of Political Science 51 (3).
- Kagan, Robert A. "Adversarial Legalism and American Government." Journal of Policy Analysis and Management. 10, no. 3 (1991): 369-406
- Kagan, Robert A. "Should Europe Worry About Adversarial Legalism?" Oxford Journal of Legal Studies. 17, no. 2 (1997): 165-183

Kagan, Robert A. and Lee Axelrad. "Adversarial Legalism: An International Perspective." In P. S. Nivola, ed(s), Comparative Disadvantages? Social Regulations and the Global Economy, Washington D.C.: Brookings Institution Press, 1997. 146-202.

Howlett, Michael. "Beyond Legalism? Policy Ideas, Implementation Styles and Emulation-Based Convergence in Canadian and U.S. Environmental Policy." Journal of Public Policy. 20, no. 3 (2000): 305-329.

Week IX (March 12) – NO CLASS – Outline Draft 1 Due

Week X (March 19) – NO CLASS – Preparation of Final Outline

Week XI (March 26) – Policy Regimes: Role of Actors

Overview;

Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 3 (sections on Actors)

Hugh T. Miller and Tansu Demir, 2007. "Policy Communities." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 137-147

Jorg Raab and Partick Kenis, 2007. "Taking Stock of Policy Networks: Do They Matter?""-." In Handbook of Public Policy Analysis: Theory, Politics and Methods, ed. Frank Fischer, Gerald J. Miller and Mara S. Sidney. Boca Raton: CRC Press, 187-200.

Theories:

Heclo, Hugh. "Issue Networks and the Executive Establishment." In A. King, ed(s), The New American Political System, Washington D.C.: American Enterprise Institute for Public Policy Research, 1978. 87-124.

Sabatier, Paul A. "An Advocacy Coalition Framework of Policy Change and the Role of Policy-Oriented Learning Therein." Policy Sciences. 21, no. 2/3 (1988): 129-168.

Marsh, David and Martin Smith. "Understanding Policy Networks: Towards a Dialectical Approach." Political Studies. 48(2000): 4-21.

Peters, Guy. "Policy Networks: Myth, Metaphor and Reality." In D. Marsh, ed(s), Comparing Policy Networks, Buckingham: Open University Press, 1998. 21-32.

Dowding, K. 1995. "Model Or Metaphor? A Critical Review of the Policy Network Approach." Political Studies 43 : 136-58.

Methods:

Laumann, Edward O. and David Knoke. The Organizational State: Social Choice in National Policy Domains. Madison: The University of Wisconsin Press, 1987.

Heinz, John P. et al. "Inner Circles or Hollow Cores." Journal of Politics. 52, no. 2 (1990): 356-390.

Raab, Jorg. "Where Do Policy Networks Come From?" Journal of Public Administration Research and Theory. 12, no. 4 (2002): 581-622.

Brandes, Ulrik et al. "Explorations into the Visualization of Policy Networks." Journal of Theoretical Politics. 11, no. 1 (1999): 75-106.

McGregor, Sue L. T. "Modeling the Evolution of a Policy Network Using Network Analysis." Family and Consumer Research Journal. 32, no. 4 (2004): 382-407.

Rayner, J., M. Howlett, J. Wilson, B. Cashore, and G. Hoberg. 2001. Privileging the Sub-Sector: Critical Sub-Sectors and Sectoral Relationships in Forest Policy-Making. Forest Policy and Economics 2 (3-4):319-332.

Howlett, Michael. "Do Networks Matter? Linking Policy Formulation Processes to Policy Outcomes: Evidence From Four Canadian Policy Sectors 1990-2000." Canadian Journal of Political Science. 35, no. 2 (2002) 235-268

Raab, Jorg and H. Brinton Milward. "Dark Networks as Problems." Journal of Public Administration Research and Theory. 13, no. 4 (2003): 413-440.

Week XII (July 26) – Policy Regimes: Role of Institutions

Overview;

Howlett, Michael, Anthony Perl and M. Ramesh. Studying Public Policy: Policy Cycles and Policy Subsystems. Toronto: Oxford University Press, 2009 Ch 3 (sections on Institutions)

- Weaver, R. Kent and Bert A. Rockman. "When and How do Institutions Matter?" In R. K. Weaver and B. A. Rockman, ed(s), Do Institutions Matter? Government Capabilities in the United States and Abroad, Washington, D.C.: Brookings Institutions, 1993. 445-461.
- Clemens, Elisabeth S. and James M. Cook. "Politics and Institutionalism: Explaining Durability and Change." Annual Review of Sociology. 25(1999): 441-466.
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Week XIII (April 2) – Policy Regimes: Role of Ideas

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Week XIV (April 9) – No Class – Work on Paper Presentations

Week XV (April 15,16,17) – Paper Presentations

*** PAPERS DUE APRIL 28 ***