

# Meta-Analysis of BDNF Levels in Autism

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**Abstract** Brain-derived neurotrophic factor (BDNF) centrally mediates growth, differentiation and survival of neurons, and the synaptic plasticity that underlies learning and memory. Recent meta-analyses have reported significantly lower peripheral BDNF among individuals with schizophrenia, bipolar disorder, and depression, compared with controls. To evaluate the role of BDNF in autism, and to compare autism to psychotic-affective disorders with regard to BDNF, we conducted a meta-analysis of BDNF levels in autism. Inclusion criteria were met by 15 studies, which included 1242 participants. The meta-analysis estimated a significant summary effect size of 0.33 (95 % CI 0.21–0.45,  $P < 0.001$ ), suggesting higher BDNF in autism than in controls. The studies showed notable heterogeneity, but no evidence of publication biases. Higher peripheral BDNF in autism is concordant with several neurological and psychological theories on the causes and symptoms of this condition, and it contrasts notably with the lower levels of BDNF found in schizophrenia, bipolar disorder, and depression.

**Keywords** Autism · BDNF · Meta-analysis · Schizophrenia · Bipolar disorder · Depression

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## Introduction

Brain-derived neurotrophic factor (BDNF) is a protein that belongs to the family of neurotrophins (Brigadski and Lessmann 2014). BDNF regulates dendritic spine maturation and pruning and plays important roles in promoting growth, differentiation, and survival of neurons (Binder and Scharfman 2004; Orefice et al. 2016). Expression of BDNF is regulated in part by neuronal activity induced by sensory stimulation (Woo and Lu 2009), and local protein synthesis at dendrites is mediated by BDNF, whereby it contributes to synaptic plasticity, learning, and memory (Lu et al. 2013; Bowling et al. 2016).

Given the considerable importance of BDNF, levels of this factor have been investigated among individuals with a range of psychiatric conditions. In particular, recent meta-analyses have demonstrated that BDNF levels in serum or plasma are significantly lower in subjects with schizophrenia (Ahmed et al. 2015), bipolar disorder (Fernandes et al. 2015), and depression (Molendjik et al. 2013) than in matched controls. The similar results across these three psychotic-affective disorders are not unexpected, given the strong overlap between them in their causes, phenotypic manifestations, and risk factors (e.g., Konstantareas and Hewitt 2001).

The pattern of association of BDNF levels with autism has been unclear (Tsai 2005; Halepoto et al. 2014). Serum or plasma BDNF is higher among individuals with autism compared with controls in some studies (e.g., Connolly et al. 2006; Ricci et al. 2013), but other studies have reported lower levels (e.g., Nelson et al. 2001; Hashimoto et al. 2007), or nonsignificant differences (e.g., Croen et al. 2008). The overall pattern of association between BDNF and autism has thus remained unresolved, and the causes of

variation in results among studies have not been investigated.

In this study, we use meta-analytic methods to evaluate the hypothesis of whether peripheral BDNF is altered in autism. We systematically search the literature, conduct a meta-analysis including studies that fit the inclusion criteria, and consider the results in terms of the causes and symptoms of autism, and the relationship of autism with schizophrenia, bipolar disorder, and depression.

## Methods

### Systematic Literature Search

We searched two databases, PubMed and Web of Science, for peer-reviewed articles up to 11 July 2016. Search terms were “BDNF” AND “Autism,” “Plasma” AND “Autism,” “Serum” AND “Autism,” “Plasma” AND “BDNF,” and “Serum” AND “BDNF”. Overall, PubMed returned 3506 nonduplicated articles and Web of Science returned 3280.

Studies were included based on the following criteria: (1) they used as subjects individuals with a diagnosis of autism spectrum disorder (ASD); (2) they included measurements of the levels of BDNF in blood; and (3) they provided sufficient data for calculations of effect sizes (group sample size, mean, and standard deviation). Application of the inclusion criteria yielded 17 articles that met all of these stipulations (Consort diagram in Supplementary Fig. 1).

### Data Handling

The data in Wang et al. (2015) and Zhang et al. (2014) represent subsets of the data presented in Meng et al. (2016), so only data from the latter study were included. Review of the articles meeting all inclusion criteria showed that seven of them provided insufficient information for computation of effect sizes. We therefore emailed the corresponding authors of these articles. Rodrigues et al. (2014) and Bryn et al. (2015) provided us with the necessary data, but the remaining authors did not reply after multiple attempts. For two of the articles for which authors did not provide data, we extracted data from their figures. For Connolly et al. (2006), the standard deviations were thus measured directly from their Fig. 1, and for Ray et al. (2011), both means and standard deviations were measured from their Fig. 5.

Three studies presented and analyzed multiple groups of autism patients separately (Ray et al. 2011; Ricci et al. 2013; Kasarpalkar et al. 2014), although in each case only one control group was used. For these studies, effect sizes

were calculated for each group and averaged, to provide a measure of the study’s overall effect size.

### Statistical Analysis

Analyses were conducted using R statistical software (version 3.2.4, R Core Team 2015) using the ‘metafor’ (Viechtbauer 2010) and Hmisc (Harrell 2016) packages. Hedge’s  $g$  was used as the measure of effect size, adjusted for sample size and within study variance. The fixed effect model was used based on the small number of studies that fit the inclusion criteria and the high methodological homogeneity among the studies. Heterogeneity was measured using the  $Q$  test. Publication bias was evaluated using a regression test for funnel plot asymmetry, and using Spearman rank correlation to test for an association between effect sizes and publication years.

## Results

Table 1 identifies the studies included in the meta-analysis and includes information about the sample sizes, mean BDNF values, and standard deviation for the autism and control groups. Overall, the analysis included a total of 15 studies and 1242 participants.

The meta-analysis showed that peripheral BDNF levels were moderately increased in subjects with autism when compared with healthy controls ( $g = 0.33$ , 95 % CI 0.21–0.45,  $P < 0.001$ ). The effect sizes and relative weights of each study, as well as the overall summary effect, are shown in Fig. 1.

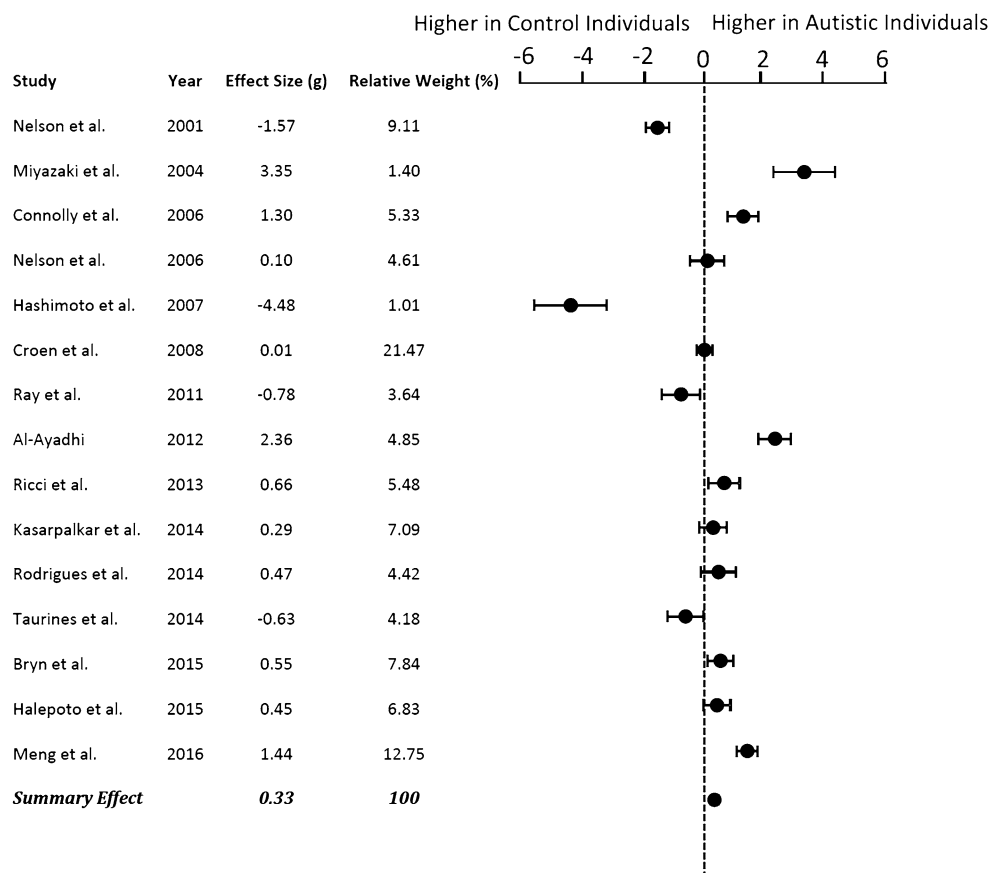
The studies demonstrated significant heterogeneity ( $Q = 313.02$ ,  $P < 0.01$ ). One study (Hashimoto et al. 2007) with a large effect size could potentially be considered an outlier. We tested this assumption by removing the study, but no qualitative effect was observed ( $Q$  value was not altered substantially,  $Q = 252.33$ ). This heterogeneity may be due in part to differences between studies in the criteria used for autism ascertainment and diagnosis, demographic differences between the populations, and differences in methodology used; measurement of BDNF using serum ( $N = 12$  studies) compared with plasma ( $N = 3$  studies) did not, however, affect the results (summary effect size 0.35 for serum-based studies, 0.22 for plasma-based studies).

No evidence of publication bias was found using the test for funnel plot asymmetry ( $t = -0.114$ ,  $P = 0.91$ ) (Supplementary Fig. 2). Similarly, no publication bias was observed using Spearman rank correlation between year and study effect size ( $r = 0.22$ ,  $P = 0.44$ ) (Supplementary Fig. 3).

**Table 1** Literature and dataset used for meta-analysis and effect sizes

Study	Autism			Control			Effect size
	N	Mean BDNF levels (pg/ml)	SD (Pg/ml)	N	Mean BDNF levels (pg/ml)	SD (pg/ml)	
1 Nelson et al. (2001)	69	13.3	19.9	54	37.4	5	-1.57
2 Miyazaki et al. (2004)	18	25,220	2450	16	17,500	2000	3.35
3 Connolly et al. (2006)	37	32,279	23,379	29	8708	5608	1.30
4 Nelson et al. (2006)	27	3404	1131	20	3299	844	0.10
5 Hashimoto et al. (2007)	18	25,600	2150	18	61,600	10,900	-4.48
e Croen et al. (2008)	84	54.1	40	159	53.7	47.4	0.01
7 Ray et al. (2011)	21	17.2—mid-to-moderate autism 15.95—severe autism	8.54—mid-to-moderate autism 5.81—severe autism	18	21.72	7.91	-0.78
8 Al-Ayadhi (2012)	44	442	20	40	290	90	2.36
9 Ricci et al. (2013)	29	602.2—mild autism 619.3 moderate autism 791- severe autism	395.1—mild autism 538.0— moderate autism 475.4— severe autism	29	351.4	347.2	0.66
10 Kasarpalkar et al. (2014)	48	198,930—typical ASD 306,680-atypical ASD	65,320—typical ASD 85,930—atypical ASD	29	225,160	79,540	0.29
11 Rodrigues et al. (2014)	28	5198.25	3302.82	19	3883.26	1533.49	0.47
12 Taurines et al. (2014)	24	20,612	6,037	20	24,043	4.34	-0.63
13 Bryn et al. (2015)	65	27,480	12,240	30	21,150	9550	0.55
14 Meng et al. (2016)	82	17,750	5430	82	11,490	2850	1.44
15 Halepoto et al. (2015)	60	392	243	25	290	162	0.45

Unit conversions were performed, as all the means and standard deviations are presented in pg/ml. In the case of Ray et al. (2011), we performed conversions from ng/mg, respectively pg/mg. Due to the fact that the denominators were not expressed in a unit of volume (such as mL), we used a conversion factor for blood density (density = 1.025 g/mL) when performing the unit conversions

**Fig. 1** Effect sizes, CIs, and summary effect size

## Discussion

The significant, positive summary effect size of 0.33 reported here, which can be considered of small to medium size, supports the hypothesis that peripheral BDNF levels in autistic subjects are higher than in controls. These results suggest that elevated BDNF may be associated with autism etiology or symptoms, through effects that may involve autism-associated increased protein synthesis at synapses (Kelleher and Bear 2008), enhanced synaptic plasticity (Markram and Markram 2010; Oberman and Pascual-Leone 2014), increased dendritic spine density (Kulkarni and Firestein 2012), or elevated BDNF production mediated by increased sensory sensitivities (Mottron et al. 2006; Baron-Cohen et al. 2009). BDNF levels are also higher than in controls among individuals with Angelman syndrome (Wink et al. 2015), which shows a high incidence of autism (e.g., Bonati et al. 2007), in post-mortem brain tissue (in the fusiform gyrus) of individuals with autism (Garcia et al. 2012), in the prenatal valproic acid and ZnT3-null mouse models of autism (Almeida et al. 2014; Yoo et al. 2016).

The primary limit to interpretation of these meta-analytic results is the heterogeneity found among studies, which cannot be explicated using available information but

may involve variation in the autism and control cohorts analyzed, high variability within autism and control groups, and differences in methods used for BDNF quantification (Polacchini et al. 2015). Levels of BDNF have been demonstrated, for example, to vary in some studies in relation to age, sex, or body weight (e.g., Lommatzsch et al. 2005; Iughetti et al. 2011; Pillai et al. 2012; Spratt et al. 2015). Further evaluation of the hypothesis that high BDNF is associated with autism requires robust quantification methods, careful matching of autism and control groups, consideration of cell types and BDNF forms analyzed (Chacón-Fernández et al. 2016), and tests for links of autism diagnoses and autism-related phenotypes with levels of BDNF among clinical and nonclinical individuals.

Our meta-analytic finding of high BDNF in autism stands in notable contrast to the results of recent meta-analyses showing reduced BDNF in schizophrenia (Ahmed et al. 2015), bipolar disorder (Fernandes et al. 2015), and major depression (Molendjik et al. 2013); BDNF is also reduced among individuals with Prader-Willi syndrome, which is caused by opposite genetic and epigenetic alterations to those that cause Angelman syndrome, and which involves high rates of psychosis (Han et al. 2010). Such differences are consistent with a model of autism being diametric (opposite) to psychotic-affective disorders in

major aspects of its causes and phenotypes (Crespi and Badcock 2008; Crespi 2016); for example, schizophrenia is associated with reduced dendritic spine density (Moyer et al. 2015) and deficits in sensory sensitivities (Javitt and Freedman 2015). Evaluation of this hypothesis of opposite alterations would benefit, however, from measurements of BDNF among individuals with autism, and with psychotic-affective disorders, in the same study with identical methods.

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