Diverse processes maintain plumage polymorphisms in birds

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In a small number of species of birds, pedigree data suggest the persistence of Mendelian genetic polymorphisms for plumage characteristics, which we would expect a priori to have significant ecological or behavioral effects (Table 1). The processes leading to the maintenance of stable genetic polymorphisms were a focus of research among evolutionary ecologists during the “balance school” days of the 1950 and 60s (Dobzhansky 1970), prior to the recognition of the extensive amount of genetic variation that was neutral at the organism level. How could genetic variation be maintained in a characteristic with significant ecological effects? Was the polymorphism stable (“protected” by “balancing selection”) or a transient historical phenomenon? If stable, what mechanisms allowed competing forms to coexist? What determined the equilibrium frequency? Was spatial or temporal variation in external environments involved, or was an intrinsic balance achieved by negative frequency-dependent selection? Was assortative mating involved, and if so, were these preferences “adaptive”? The evolutionary dynamics and ecological mechanisms involved for plumage polymorphisms in the species studied thus far turn out to be diverse variations on the theoretical possibilities envisioned 60 years ago.

The simplest case is that of lesser snow geese, Chen caerulescens caerulescens (Cooke et al. 1995), which appears to be an unstable transient historical phenomenon resulting from secondary contact. Light and dark plumage morphs evolved in allopatry and came into secondary contact about 100 years ago. Despite decades of intensive field work, no functional differences have been associated with the dramatic difference in coloration. However, goslings imprint on family color, and seek family-colored mates later in life, as shown experimentally with “pink geese” (Cooke et al. 1972). This positive assortative mating helps maintain the polymorphism by producing differential survival rates between morphs (O’Donald 1983). In nesting colonies with both morphs, however, intraspecific nest parasitism and forced extra-pair copulation result in some goslings being raised by parents of the opposite color, leading to a partial breakdown of this pattern. There are no measurable positive or negative fitness consequences of mixed mating, thus assortative mating preferences could be considered “non-adaptive”.

The classic investigation of processes maintaining plumage polymorphisms is O’Donald’s (1983) monograph on arctic skuas, Stercorarius parasiticus. While dated in some respects, O’Donald quite thoroughly lays out most of the basic issues, but the results are complex, and have been modified by more recent findings. All three species of skuas (“jaegers”), and some other seabirds, have ventral melanics and pale morphs. O’Donald’s analysis of a Shetland Island colony led him to propose that females preferred to mate with melanic males (“sexual selection”), but that natural selection (age of first breeding) favored pale birds, that an element of positive assortative mating occurred, and that gene flow from elsewhere was necessary to stabilise local morph frequencies. However, morph frequencies may not be locally stable. Subsequent work at a larger Shetlands’ colony failed to find similar demographic patterns (Philips and Furness 1998). A variety of additional ecological mechanisms, including habitat-specific hunting success and apostatic (rare morph, or “avoidance image”) advantages in kleptoparasitism and/or hunting (Rohwer 1983), have been proposed to maintain the cline and/or the polymorphism (Furness 1987). More work will be needed to sort out this complex case.

Many species of soaring raptors, and some owls, occur in light, dark, and intermediate morphs. Apo- static selection has again been invoked in these cases and for polymorphic species of herons, but Krüger and Fowlie regard this as unlikely (pers. comm.). The best studied species is the common buzzard Buteo buteo, in which, surprisingly, heterozygote advantage is strongly supported in the maintenance of the polymorphism (Krüger et al. 2001, Krüger and Lindstrom 2001). In most parts of the species’ range, intermediate forms predominate (60–98%), dark morphs are rare and light morphs fall in between. A one-locus Mendelian model fits pedigree data, with heterozygote intermediates, but
Table 1. Selected attributes of some plumage polymorphic bird species. See text for species references.

<table>
<thead>
<tr>
<th>Species</th>
<th>Plume polymorphism</th>
<th>Geographic segregation</th>
<th>Poly-morphic sex(es)</th>
<th>Genetic system</th>
<th>Fitness of morphs</th>
<th>Behavioral differences</th>
<th>Mating system</th>
<th>Functional significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Snow goose</td>
<td>White vs. dark, intermediates</td>
<td>Yes</td>
<td>M and F</td>
<td>Inc. dom.</td>
<td>=</td>
<td>Minimal</td>
<td>Positive assortative pairs, due to imprinting?</td>
<td>None?</td>
</tr>
<tr>
<td>Arctic skua</td>
<td>Light, dark, intermediates</td>
<td>North-south cline</td>
<td>M and F</td>
<td>Inc. dom.</td>
<td>= or clinal? Different life histories?</td>
<td>Habitat-specific hunting success, timing of breeding?</td>
<td>Positive assortative pairs, due to imprinting?</td>
<td>Climate, habitat, sexual selection?</td>
</tr>
<tr>
<td>Buzzards</td>
<td>Light, dark, intermediate</td>
<td>In part</td>
<td>M and F</td>
<td>Inc. dom.</td>
<td>Int. &gt; light &gt; dark</td>
<td>Intraspecific competitive ability for territories and mates</td>
<td>Pairs – “maladaptive” mating?</td>
<td>???</td>
</tr>
<tr>
<td>White-throated sparrow</td>
<td>White vs. tan eye stripe</td>
<td>No</td>
<td>M and F</td>
<td>Dom-rec</td>
<td>Similar</td>
<td>Parental care, extra-pair fertilization rates, habitat preferences</td>
<td>Negative-associative pairs, extra-pair fertilizations asymmetrical by morph</td>
<td>Signal behavioral morph for neg. assortative mating</td>
</tr>
<tr>
<td>Red-billed quelea</td>
<td>White, black facial mask, yellow, red body colors</td>
<td>In part</td>
<td>M</td>
<td>Highly heritable, no formal model</td>
<td>=</td>
<td>Minimal, if any</td>
<td>Random pairs?</td>
<td>Individual identity signaling</td>
</tr>
<tr>
<td>Ruffs</td>
<td>Individual variation, ranges vary with behavioral morph</td>
<td>No</td>
<td>M</td>
<td>Not modeled, correlated behavior dimorphism is dom-rec.</td>
<td>Similar, different life histories?</td>
<td>Male mating strategy on lek</td>
<td>lek + polyandry, active diversification?</td>
<td>Individual identity signaling, signal behavioral, morph</td>
</tr>
<tr>
<td>Paradise flycatcher</td>
<td>White vs. rufous</td>
<td>No</td>
<td>M</td>
<td>???</td>
<td>???</td>
<td>???</td>
<td>Whites sing more(^1)</td>
<td>???</td>
</tr>
</tbody>
</table>

\(^1\) R. Mulder, pers. comm.
there is also sufficient individual variation to allow humans, and presumably buzzards, to distinguish individuals (see below). At a single well-studied site, heterozygotes achieve substantially higher breeding success and survivorship than light or dark morphs. Heterozygote advantage (“heterosis”) is a well-established potential mechanism for maintaining polymorphisms, but should select strongly for modifiers that prevent the continual regeneration of less fit genotypes, unless pleiotropic effects at other loci are involved, as in the classical case of sickle-cell anaemia in humans. Even more remarkably, familial imprinting appears to operate, which often leads to apparently “maladaptive” mate choice decisions, although these may be the best possible if self-recognition does not occur (Fowlie, pers. comm.). If the data from one area are at all applicable to other populations, why this genetic system does not evolve towards monomorphism remains enigmatic.

White-throated sparrows *Zonotrichia albicollis* occur in two color morphs, having tan or white eye stripes. The primary mechanism maintaining this polymorphism is strong negative assortative mating between the two morphs, unique among birds. This is also the only autosomal genetic dimorphism (Lank et al. 1995, 1999), and strong pedigree effects on plumage also exist (Lank and Smith unpubl. data). The behavioral dimorphism appears to be intrinsically stabilised by females preferring to mate when both types of males are present on a court (Hugie and Lank 1997, Widemo 1998). The plumage variability within behavioral morphs may have evolved to signal individual identity, as with quelea (Dale et al. 2001). Females’ diversify their mate choices with respect to behavioral morph (Lank et al. 2002), which may also help maintain the plumage polymorphism.

We now add to this list Madagascar paradise flycatchers *Terpsiphone mutata* (Mulder et al. 2002) and congeners *T. paradise* and *T. viridis*, although pedigree data are thus far lacking. Adult males of these species occur as black and white or mostly rufous morphs, in ratios of about 2:1. No obvious geographical clines occur. The morphs differ substantially in plumage ontogeny. White males achieve their definitive plumage at age 3, while rufous males take up to 6 yrs to do so. This implies a strong difference in male life history patterns. No balancing mechanism has yet been proposed to account for the polymorphism, although behavioral differences in song rate have been reported. One possibility is suggested by studies of disruptive selection on juvenile plumage patterns of indigo buntings (Greene et al. 2000). Young males have a bimodal distribution of breeding plumage coloration. Bright young males obtain lower quality, isolated territories, and raise fewer young than their dull counterparts. Dull males obtain better territories, adjacent to high quality territories occupied by adults, but lose substantial paternity to their neighbors, resulting in similar annual success and disruptive selection on juvenile morph. Might younger
paradise flycatcher males make similar tradeoffs? We eagerly await further elucidation and description of the ecological mechanisms and evolutionary dynamics of this system.

The small number of cases studied thus far cover a large part of the range of possibilities outlined by the balance school population geneticists. Snow geese have an unstable transient polymorphism, variation in the skua probably reflects an ecological fitness cline, the buzzards demonstrate heterozygote advantage, the white-throated sparrows are maintained by negative assortative mating, ruffs and queleas polymorphism suggest negative frequency dependent processes. One mechanism missing is temporally oscillating or otherwise shifting advantages. Despite the rich diversity of mechanisms maintaining these conspicuous polymorphisms, they remain rare. Direction or normalizing selection leading towards monomorphism seems a simpler process than diversifying selection, favoring polymorphisms. While maintaining such conspicuous variation is not just a theoretical possibility, as these cases demonstrate, they remain the exception rather than the rule in natural systems.

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References


Tuttle, E. M. Alternative reproductive strategies in the white-throated sparrow: behavioral and genetic evidence. – Behav. Ecol. in press.