APPENDIX B - RESPONSIBLE INVESTMENT POLICY
UNITED NATIONS PRINCIPLES FOR RESPONSIBLE INVESTMENT

1.0 PURPOSE

1.1 This Appendix contains the United Nations Principles for Responsible Investment (“UN PRI”) to which Simon Fraser University is a signatory.

2.0 United Nations Principles for Responsible Investment (“UN PRI”)

2.1 The UN PRI initiative is the leading global network for investors to demonstrate commitment to responsible investment, with over 3,800 signatories representing nearly USD$121 trillion in assets under management (2021).

2.2 UN PRI investors commit to the following Principles for Responsible Investment (“Principles”):

2.2.1 Incorporate ESG issues into investment analysis and decision-making processes.
2.2.2 Be active owners and incorporate ESG issues into our ownership policies and practices.
2.2.3 Seek appropriate disclosure on ESG issues by the entities in which we invest.
2.2.4 Promote acceptance and implementation of the Principles within the investment industry.
2.2.5 Work together to enhance our effectiveness in implementing the Principles.
2.2.6 Report on our activities and progress towards implementing the Principles.

3.0 STANDARD 1

3.1 Standard 1 environmental issues include:

3.1.1 biodiversity loss
3.1.2 greenhouse gas (GHG) emissions
3.1.3 climate change impacts
3.1.4 renewable energy
3.1.5 energy efficiency
3.1.6 resource depletion
3.1.7 chemical pollution
3.1.8 waste management
3.1.9 depletion of fresh water
3.1.10 ocean acidification
3.1.11 stratospheric ozone depletion
3.1.12 changes in land use
3.1.13 nitrogen and phosphorus cycles

3.2 Standard 1 social issues include:
3.2.1 activities in conflict zones
3.2.2 distribution of fair-trade products
3.2.3 health and access to medicine
3.2.4 workplace health safety and quality
3.2.5 HIV/AIDS
3.2.6 labour standards in the supply chain
3.2.7 child labour
3.2.8 slavery
3.2.9 relations with local communities
3.2.10 human capital management
3.2.11 employee relations
3.2.12 diversity
3.2.13 controversial weapons
3.2.14 freedom of association

3.3 Standard 1 governance issues include:
3.3.1 executive benefits and compensation
3.3.2 bribery and corruption
3.3.3 shareholder rights
3.3.4 business ethics
3.3.5 board diversity
3.3.6 board structure
3.3.7 independent directors
3.3.8 risk management
3.3.9 whistle-blowing schemes
3.3.10 stakeholder dialogue
3.3.11 lobbying
3.3.12 disclosure