APPENDIX B - RESPONSIBLE CONDUCT OF RESEARCH POLICY - EXAMPLES OF RESEARCH MISCONDUCT

1.0 PURPOSE

1.1 This Appendix to the Responsible Conduct of Research Policy (R60.01) provides examples of Research Misconduct under that policy which may be the subject of investigation and sanction pursuant to the Procedures to Address Allegations. The examples provided below are not exhaustive.

2.0 EXAMPLES OF RESEARCH MISCONDUCT

2.1 Fabrication: making up data, source material, methodologies, or findings, including graphs and images.

2.2 Falsification: manipulating, changing, or omitting data, source material, methodologies or findings, including graphs and images, without appropriate acknowledgement, such that the research record is not accurately represented.

2.3 Destruction of research data or records: the destruction of one’s own or another’s research data or records or in contravention of the applicable funding agreement, institutional policy and/or laws, regulations and professional or disciplinary standards. This also includes the destruction of data or records to avoid the detection of wrongdoing.

2.4 Plagiarism: presenting and using another’s published or unpublished work, including theories, concepts, data, source material, methodologies, or findings, including graphs and images, as one’s own, without appropriate referencing and/or, if required, without permission.

2.5 Self-Plagiarism: the re-publication of one’s own previously published work or part thereof, including data, in any language, without adequate acknowledgement of the source, or without justification.
2.6 **Invalid authorship**: inaccurate attribution of authorship, including attribution of authorship to persons other than those who have made a substantial contribution to, and who accept responsibility for, the contents of a publication or document.

2.7 **Inadequate acknowledgement**: failure to appropriately recognize contributors, including undergraduate and graduate students.

2.8 **Mismanagement of conflict of interest**: failure to appropriately identify, disclose, and address any real, potential, or perceived conflicts of interest in accordance with the University’s policy on Conflicts of Interest and Conflicts of Commitment (GP 37).

2.9 **Misrepresentation in a grant application or related document**: providing incomplete, inaccurate, or false information in a grant application or award document; applying for or holding research funding when deemed ineligible for reasons of breach of research-related policies such as ethics or financial management; listing co-applicants, collaborators, or partners without their agreement.

2.10 **Mismanagement of grant or award funds**: use of grant or award funds for purposes inconsistent with policies of the University or the funder; contravening financial policies; or providing misleading, inaccurate, or false information on expenditure and related documentation.

2.11 **Breach of University or Funding Organization’s policies or requirements**: failing to comply with relevant policies or requirements applicable to certain types of research, such as research involving human participants or animals.