

Increasing Wireless Data Throughput by Orders of Magnitude

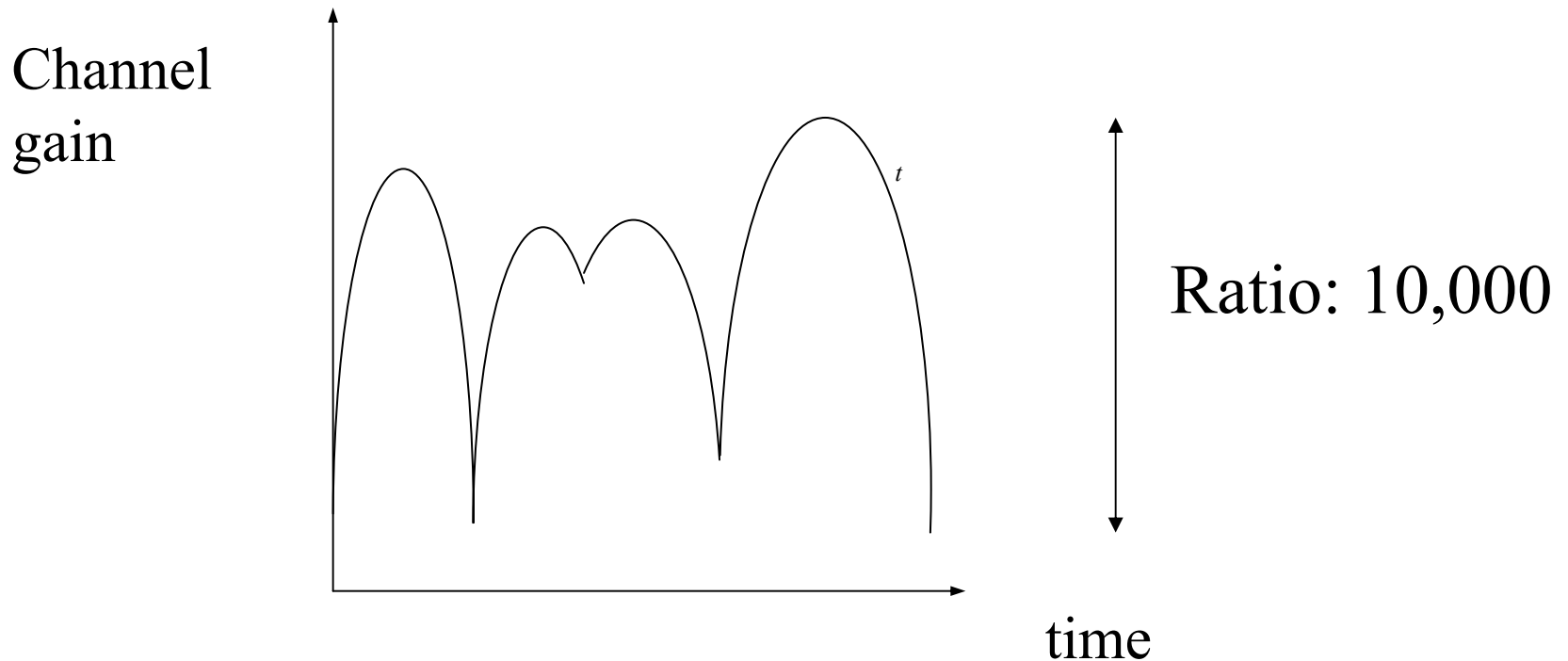
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General Motivation

- Wireless communication is limited by **precious** radio spectrum and power.
- We want to have **efficient use** of them maximize the data transfer rate.

Observation

- The condition of wireless links can change very quickly; “fading”.
 - Due to motion



e.g.,

1.5 GHz carrier

36 Km/hour = 10 m/sec

$1/(2f_D) = 10$ msec

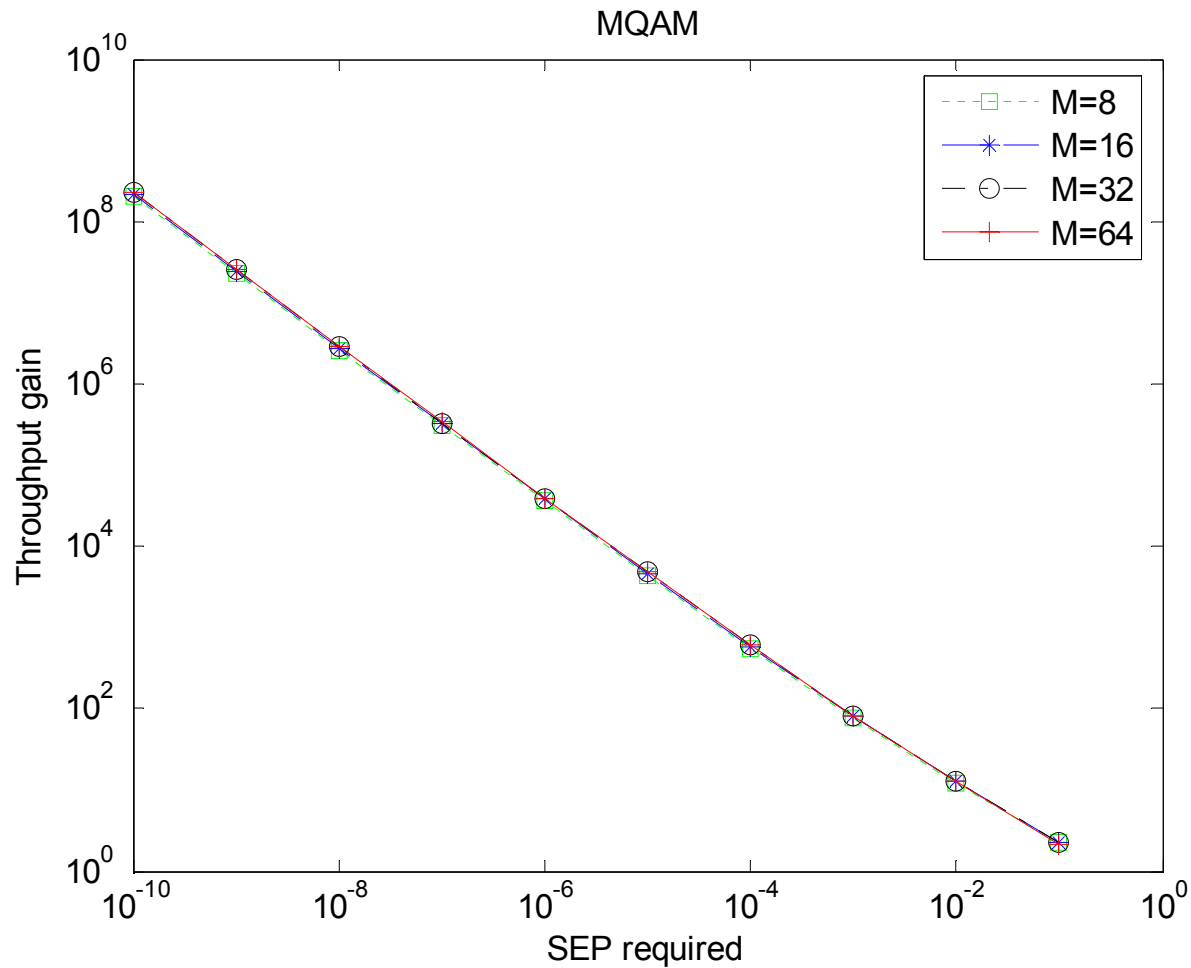
Basics

- For the same fidelity requirement
 - At good condition: we can send data at high rate
 - At bad condition: we should slow down

Core Idea of Technology

- If the channel condition changes very quickly, we **adapt** the data transmission rate that **quickly**.
 - Current systems adapt slowly.
- Rapid adaptation can increase the average data rate **10,000 times!!!!**

Bit (symbol)-error constrained throughput gain



Unique features

- Why can't current system do it?
 - Receiver must know the rate at which data are transmitted.
 - In current systems, sender explicitly tells the receiver the rate information. (frame-by-frame adaptation)
- Our idea
 - Sender changes the data rate **symbol-by-symbol** (much smaller unit than frame)
 - Sender does **not** tell the receiver the rate information
 - Receiver figures out the data rate.

How?

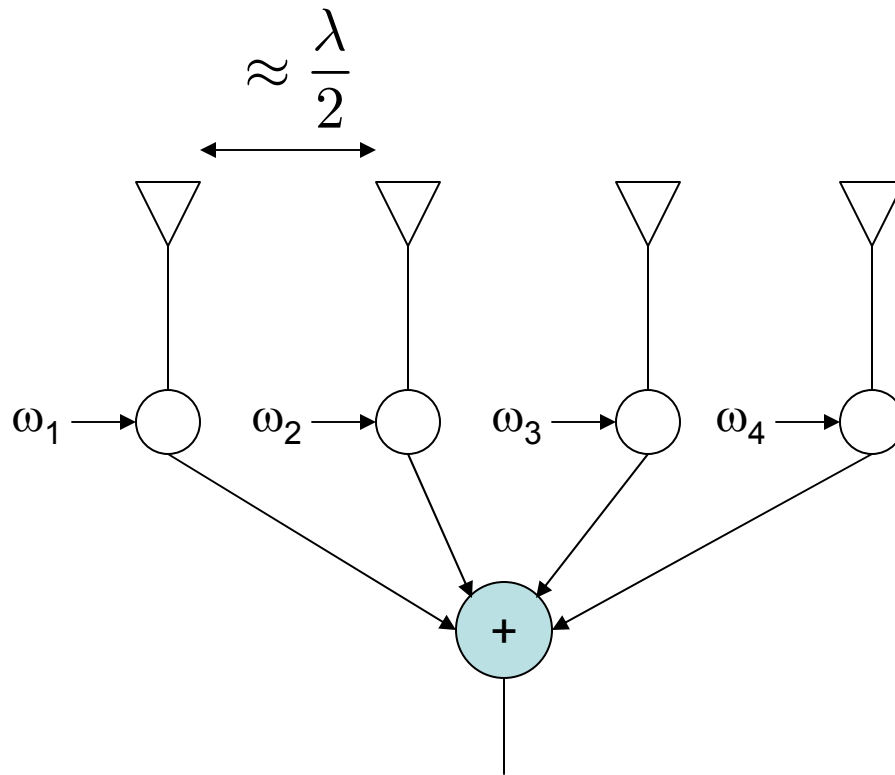
- Clever design and use of **sequences** modulating the transmitted signal
- “Sequence” idea is congenial to CDMA (Code Division Multiple Access) – 3G cellular
 - Thus, **easily integrated to 3G cellular systems**

Competing Technologies

- Other methods of increasing average data rate
 - Diversity combining
 - Bit interleaving and forward error correction

Combating fading

- E.g., Space diversity



Maximal Ratio Combining

Good news

- They are also complementing technology
 - Can put together diversity combining, bit interleaving, error correction code, and **our scheme** to maximize the performance.
 - Our adaptation scheme can increase the average data rate even further from other schemes

State of Tech.

- Research stage, but **ready to build a prototype**
 - We can specify the scheme, ready to build.
 - We are analyzing and quantifying the performance improvement in various environments
 - We are designing different variants of schemes
- Invention has been **disclosed** to USC Office of Technology and Licensing
 - File # 3096, 3230

Applications

- Any mobile communication system that has to combat fading
- Special attention
 - Wireless multimedia: variable bit rate
 - Significant performance for fast moving users
 - Web surfing and multi-media in the train, high-way vehicles, etc.
- Market
 - Cellular service providers (CDMA)
 - Wireless data model to be considered.

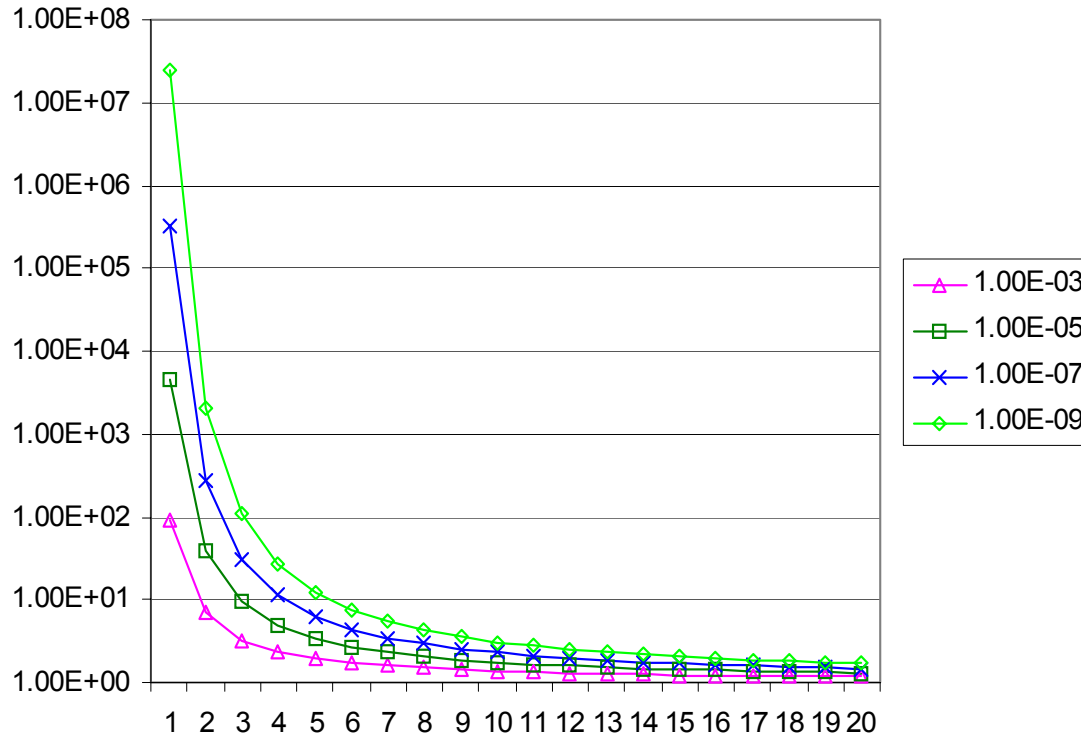
Conclusions

- Fast rate adaptation can increase average data rate **by thousand times**.
- We have a method of doing **extremely fast rate adaptation**.

More details

Bit (symbol)-error constrained throughput gain

Throughput gain



Diversity order

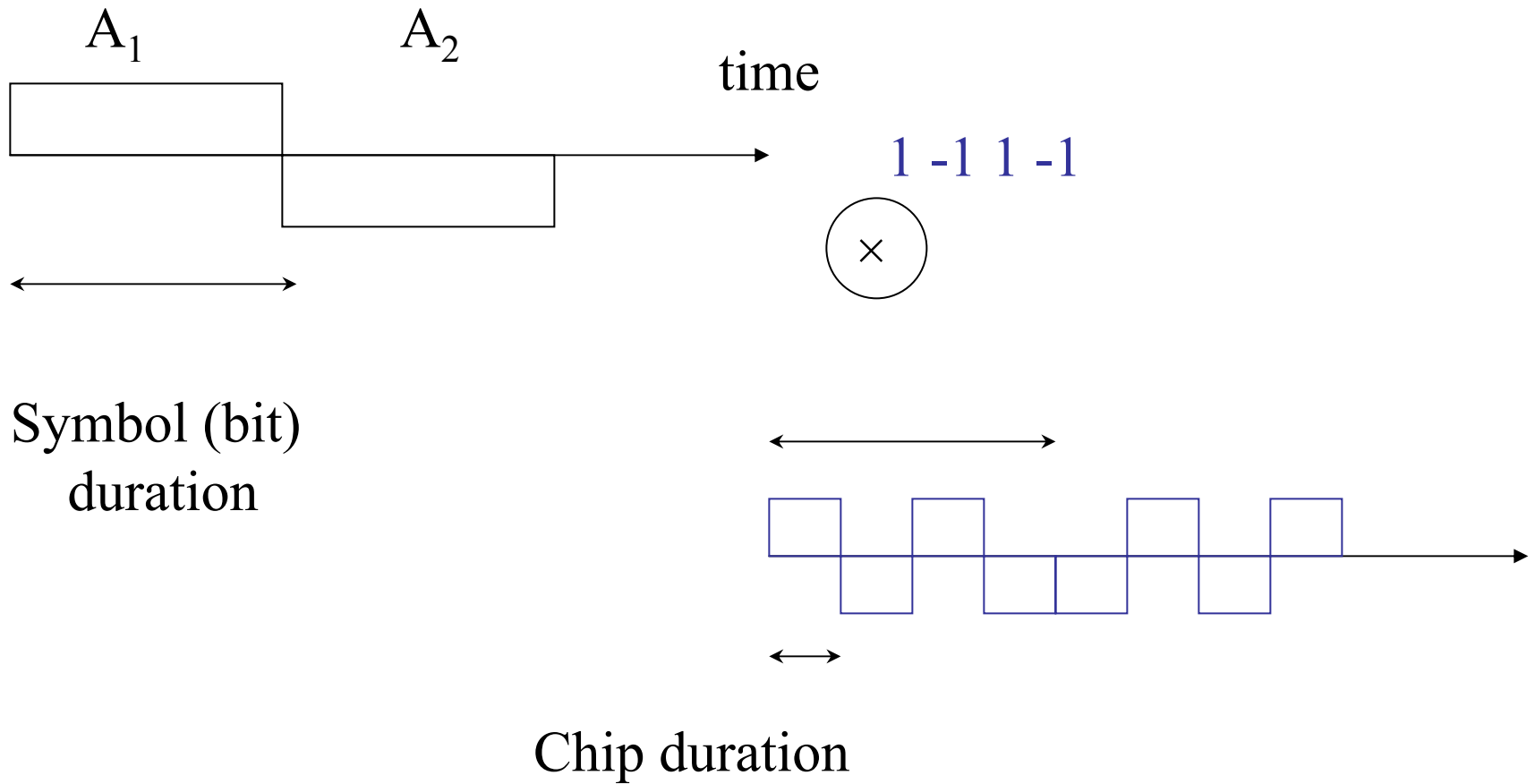
Topics

- Adaptation
- Feedback

Unique features

- Why can't current system do it?
 - Receiver must know the rate at which data are transmitted.
 - In current systems, sender explicitly tells the receiver the rate information. (frame-by-frame adaptation)
- Our idea
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CDMA Networks



In this example, symbol duration = 4 * the chip duration.

How?

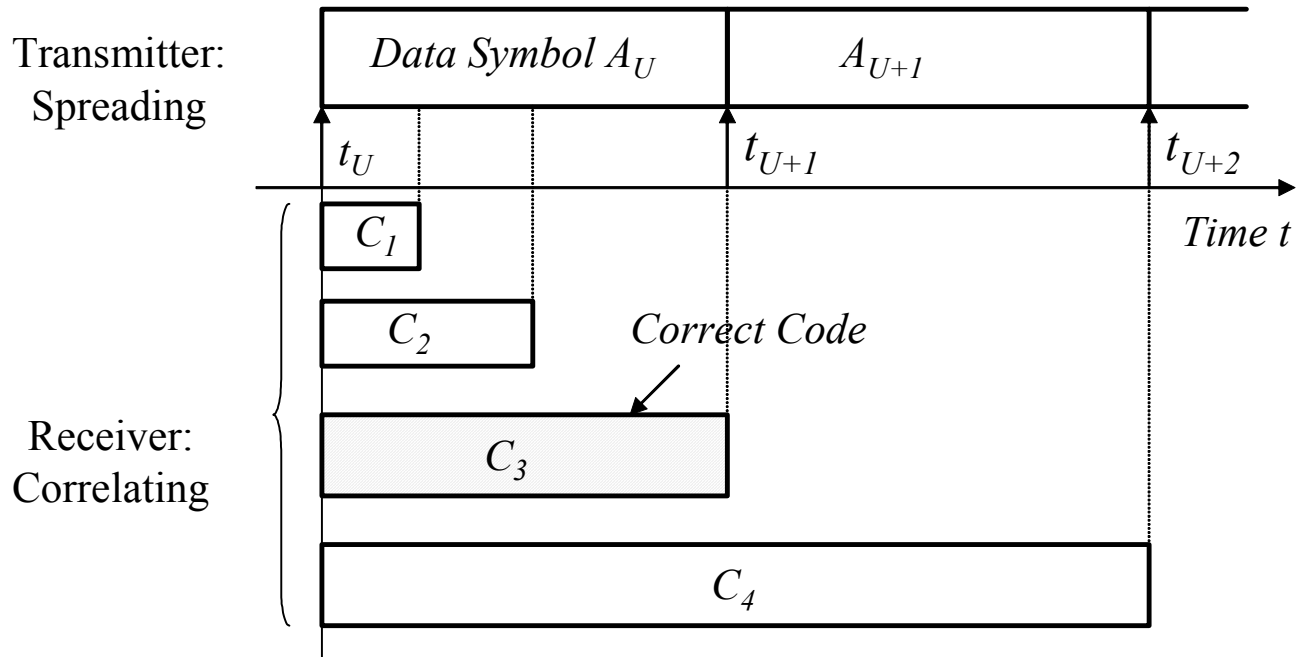
- Clever design and use of **sequences** modulating the transmitted signal :
 - A set of OVSF (orthogonal variable spreading) codes

$$C_1 = [+ + - -]$$

$$C_2 = [+ + + + - - - -]$$

$$C_3 = [+ + + + + + + + - - - - - - - -]$$

$$C_4 = [+ + + + + + + + + + + + + + - - - - - - - - - - - -]$$



For simplicity, we can impose a rule:

Sequence C can only begin at time $n|C|$.

Applications

- Any mobile communication system that has to combat fading
- Special attention
 - Wireless multimedia: variable bit rate
 - Significant performance for fast moving users
 - Web surfing and multi-media in the train, high-way vehicles, etc.
- Market
 - Cellular system (CDMA)
 - Wireless ad hoc networks (?).

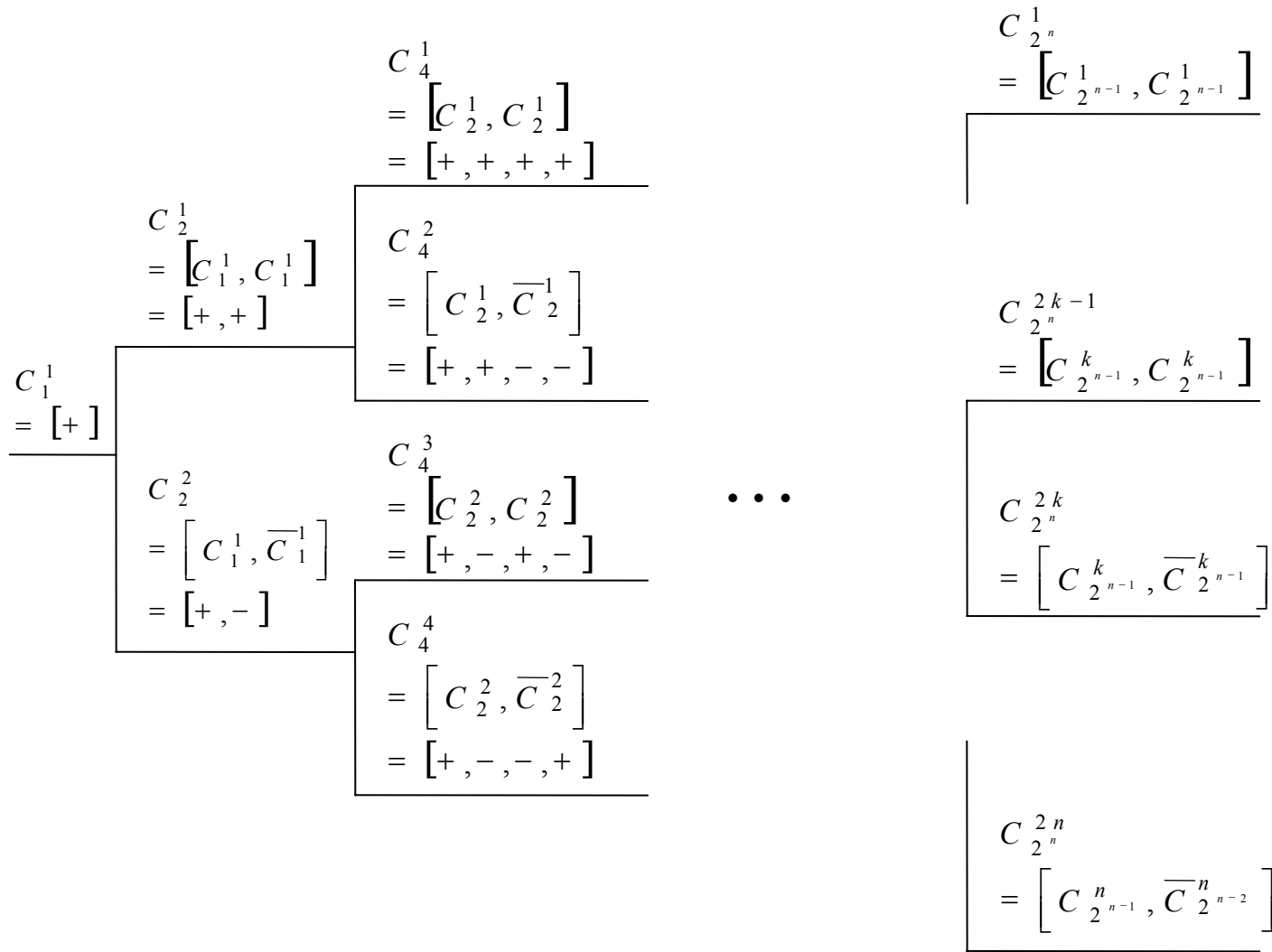
Issues: spectrum efficiency and code economy

- Bandwidth has been spread → share bandwidth
- **Ad hoc**
 - Superimpose different PN codes to different users.
- Cellular-like **point-to-multipoint** system:
 - Some systems use OVSF codes as channelization codes; e.g., 3G .
 - There are a limited number of OVSF codes.
 - Must partition code space cleverly.

Code Allocation

- Well-known scheme: binary tree
 - Using Hadamard sequences
 - Not suitable for our symbol-by-symbol adaptation.
- We propose FOSSIL (Forest for OVSF-Sequence-Set-Inducing Lineages)

Well known OVSF codes generation

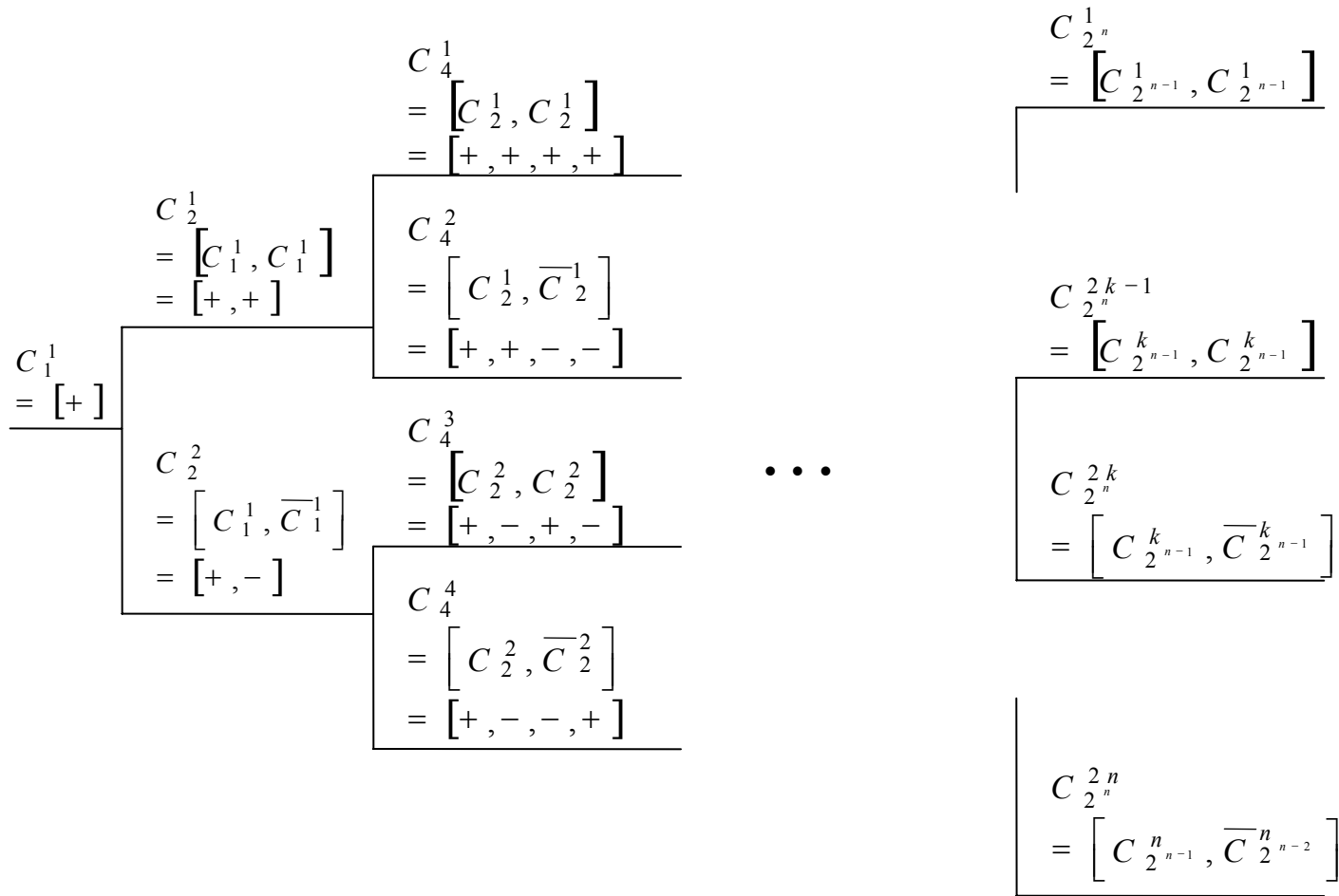


- Nodes with the depth = l from the root of the tree have codes of length = 2^l .

Note the properties

- Two codes are OVVSF to each other as long as one is not a descendant of the other.
- Two codes are **not** OVVSF if one is a descendant of the other.

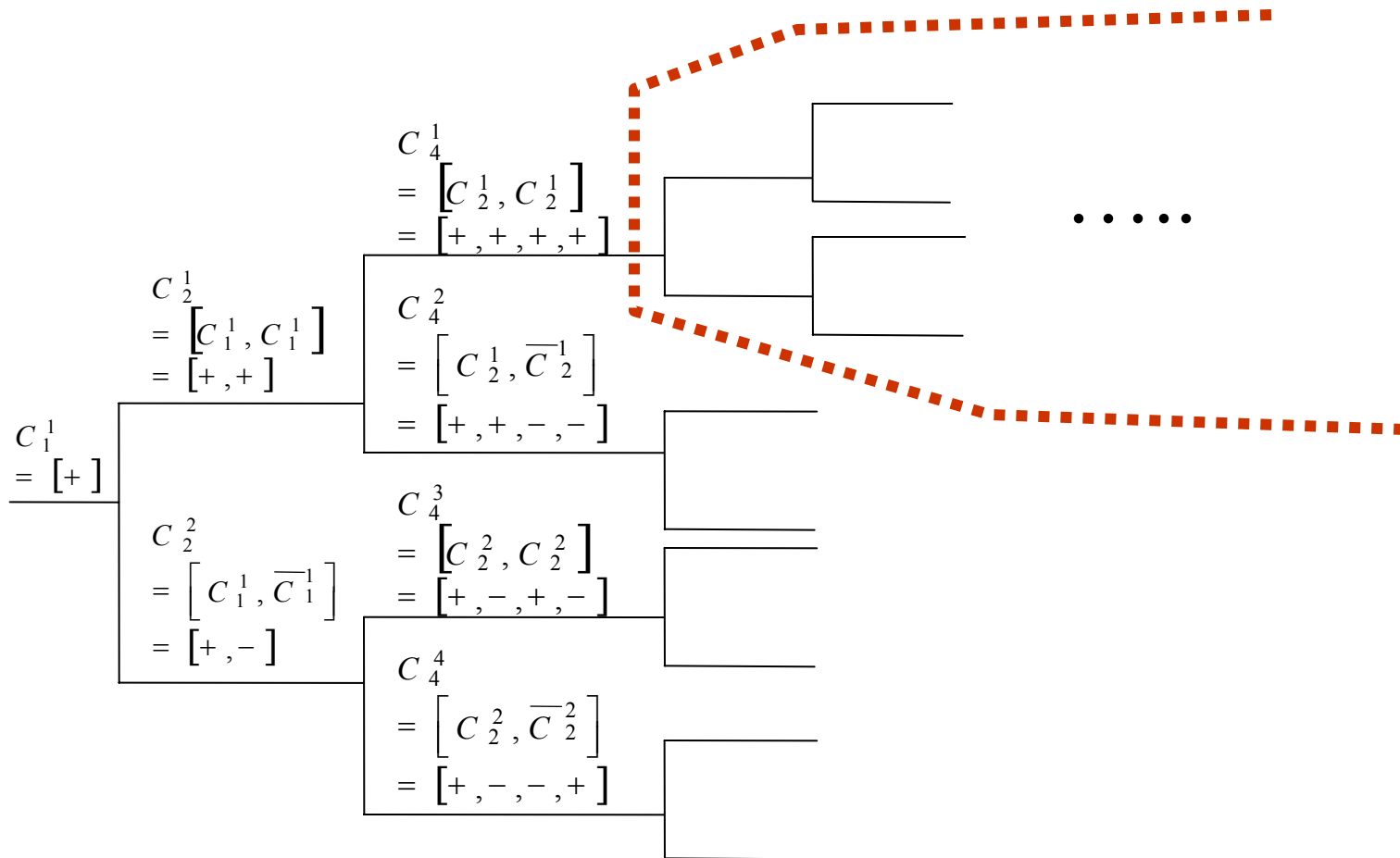
Binary tree-structured OVSF codes generation



Some implications

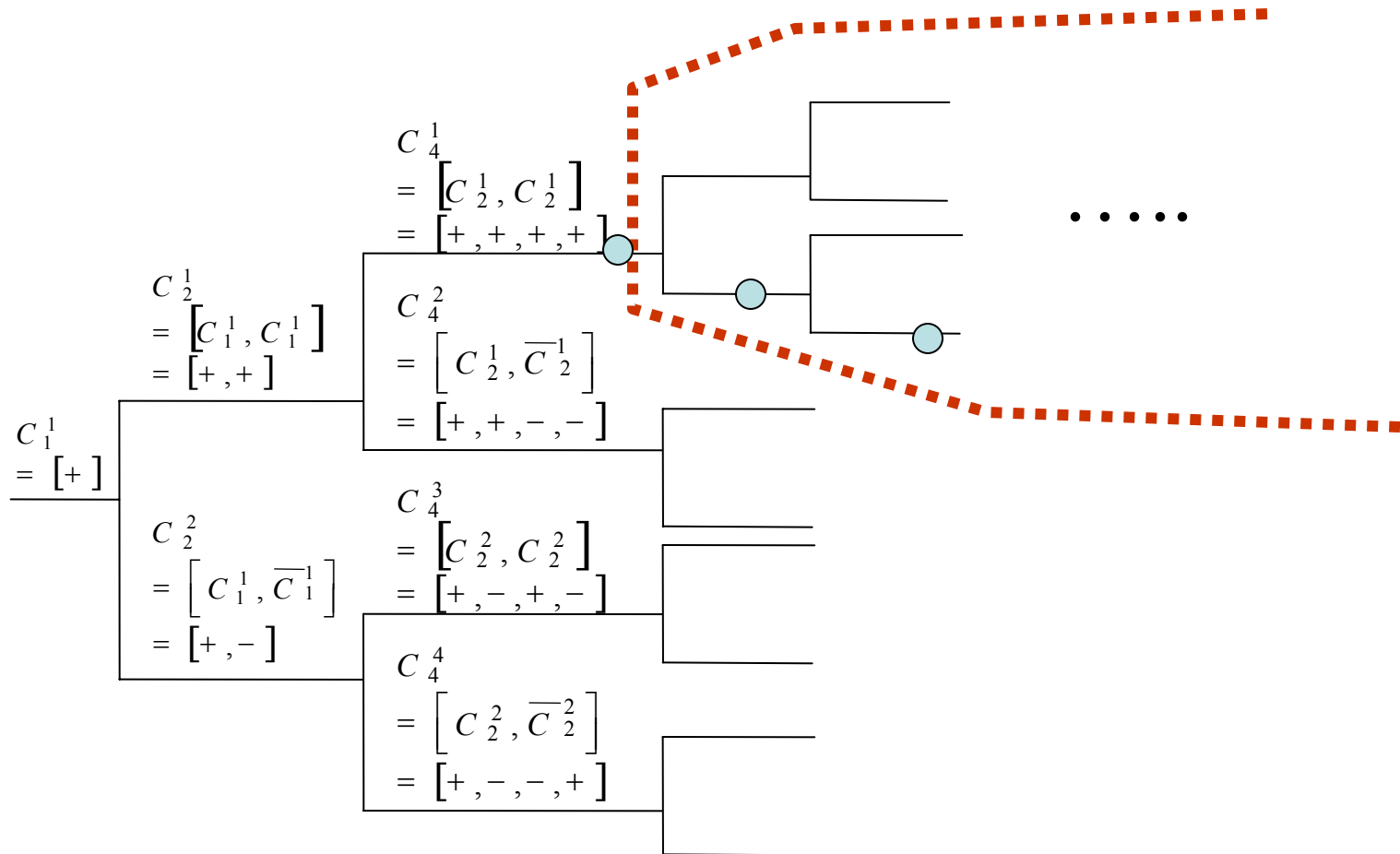
- An ancestor and descendant cannot be assigned to different users
- When assigning codes (sequences), one must pay attention to this constraint.
- For our scheme, codes with different lengths must be assigned to a user for rate adaptation.

Binary tree-structured OVSF codes generation



Important implications:

An ancestor and descendant cannot be assigned to different users



A branch is given to a single user, anyway.
 Mult-rate is possible not not symbol-by-symbol.

We devised

- Forest-structured generation of OVSF codes
 - Multiple roots that are **half-wise orthogonal**.
- E.g. Roots [A,a], [B,b], [C,c], ..
 - First halves A,B,C,... are orthogonal.
 - Second halves a,b,c,.. are orthogonal.

[U,V]

e.g., [+ + - -]

[U,V,U,V]

e.g., [+ + - - + + - -]

[U,-V,-U,V]

e.g., [+ + + + - - - -]

First-born

$$C_4^1 = [+ , + , - , -]$$

$$C_8^1 = [+ , + , - , - , + , + , - , -]$$

$$C_{16}^1 = [+ , + , - , - , + , + , - , - , + , + , - , - , + , + , - , -]$$

$$C_{16}^2 = [+ , + , - , - , - , - , + , + , - , - , + , + , + , + , - , -]$$

$$C_8^2 = [+ , + , + , + , - , - , - , -]$$

$$C_{16}^3 = [+ , + , + , + , - , - , - , - , + , + , + , + , - , - , - , -]$$

$$C_{16}^4 = [+ , + , + , + , + , + , + , + , - , - , - , - , - , - , - , -]$$

$$C_4^2 = [+ , - , + , -]$$

$$C_8^3 = [+ , - , + , - , + , - , + , -]$$

$$C_{16}^5 = [+ , - , + , - , + , - , + , - , + , - , + , - , + , - , + , -]$$

$$C_{16}^6 = [+ , - , + , - , - , + , - , + , - , + , - , + , + , - , + , -]$$

$$C_8^4 = [+ , - , - , + , - , + , + , -]$$

$$C_{16}^7 = [+ , - , - , + , - , + , + , - , + , - , - , + , - , + , + , -]$$

$$C_{16}^8 = [+ , - , - , + , + , - , - , + , - , + , + , - , - , + , + , -]$$

Important properties

- Two sequences are OVSF as long as one is not a descendant of the other. (Same as the tree-structured generation).
- Sequences in the **first-born lineage** are OVSF if one is a descendant of the other.

FOSSIL (**F**orest for **O**VSF-**S**equence-**S**et-**I**nducing **L**ineages)

Implications

- An ancestor and descendant **can** be assigned to different users as long as the descendant is in the first-born lineage from the ancestor.

$$C_4^1 = [+ , + , - , -]$$

$$C_8^1 = [+ , + , - , - , + , + , - , -]$$

$$C_{16}^1 = [+ , + , - , - , + , + , - , - , + , + , - , - , + , + , - , -]$$

$$C_8^2 = [+ , + , + , + , - , - , - , -]$$

$$C_{16}^2 = [+ , + , - , - , - , - , + , + , - , - , + , + , + , + , - , -]$$

$$C_{16}^3 = [+ , + , + , + , - , - , - , - , + , + , + , + , - , - , - , -]$$

$$C_{16}^4 = [+ , + , + , + , + , + , + , + , - , - , - , - , - , - , - , -]$$

$$C_8^3 = [+ , - , + , - , + , - , + , -]$$

$$C_{16}^5 = [+ , - , + , - , + , - , + , - , + , - , + , - , + , - , + , -]$$

$$C_8^4 = [+ , - , - , + , - , + , + , -]$$

$$C_{16}^6 = [+ , - , + , - , - , + , - , + , - , + , - , + , + , - , + , -]$$

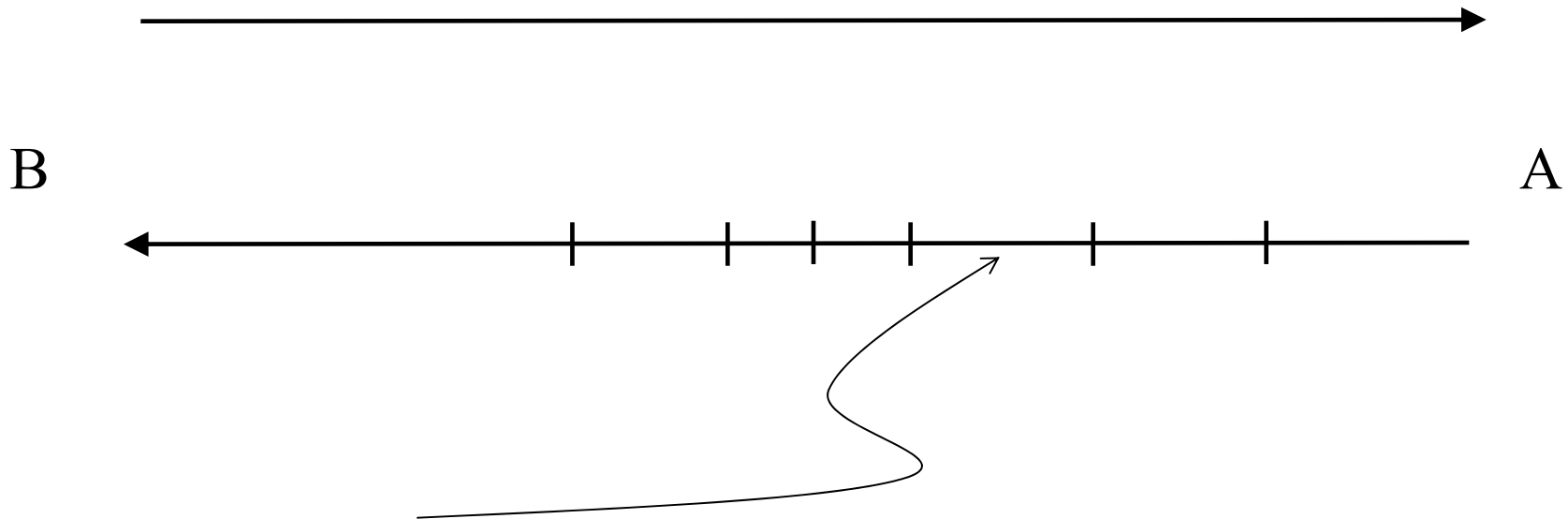
$$C_{16}^7 = [+ , - , - , + , - , + , + , - , + , - , - , + , - , + , + , -]$$

$$C_4^2 = [+ , - , + , -]$$

$$C_{16}^8 = [+ , - , - , + , + , - , - , + , - , + , + , - , - , + , + , -]$$

Channel Feedback architecture and Protocols

Full-duplex link



Choice of a spreading code indicates this symbol's duration
and the status of channel BA

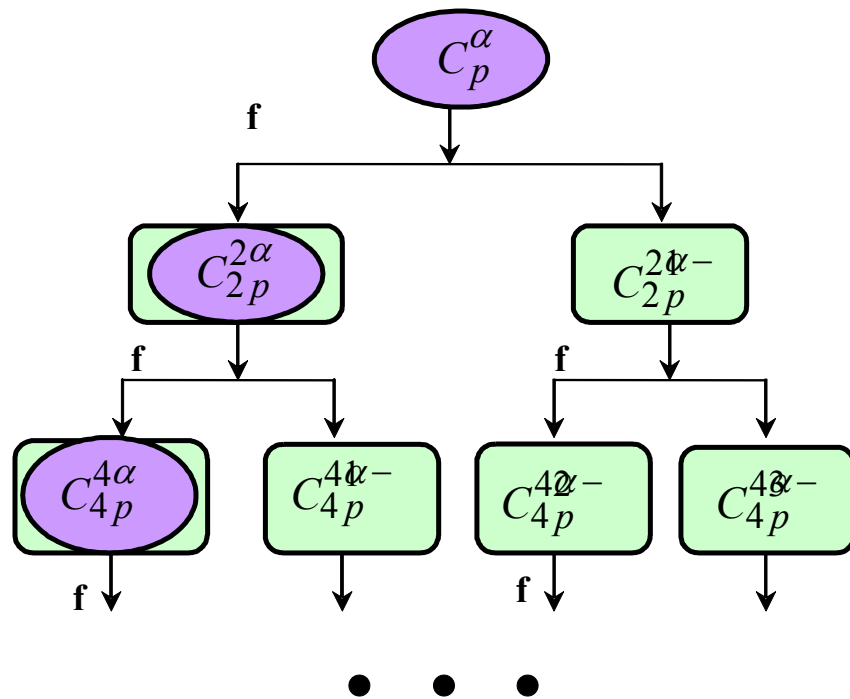
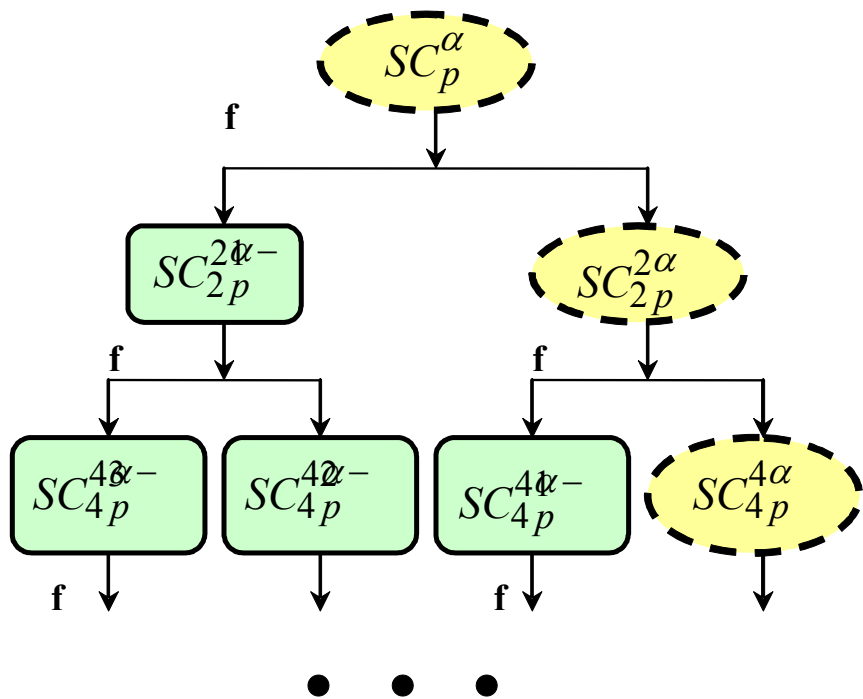
For Feedback Architecture with efficient code allocation

- Use FOSSIL and conjugate FOSSIL
- Conjugate FOSSIL
 - For FOSSIL Roots $[A,a]$, $[B,b]$, $[C,c]$, ..., consider another FOSSIL whose roots are $[A,-a]$, $[B,-b]$, $[C,-c]$, ..

Conjugate Relation of Codes

- Code $[X, Y]$ in FOSIL's mirror image is $[X, -Y]$ in conjugate FOSSIL

Middle Line



Conjugate FOSSIL Code Tree SF

FOSSIL Code Tree F

 RI Codes

 FBI Codes

 Unused Codes

Protocol Overview

- For the same length, there are choices of codes.
- Designed some temporal rules that TX must obey in selecting a spreading for each symbol.
- Designed temporal rules in such a way that at each symbol allowable spreading codes are OVVSF – receiver can detect by correlation.
- RX parses the symbol and also obtains the channel information from the the TX's choice of the sequence and the temporal rule.

Conclusions

- Fast rate adaptation can increase average data rate **orders of magnitude**.
- We have a method of doing **extremely fast rate adaptation**.

Other Research and Engineering Experiences of Daniel Lee

U.S. Naval Research Laboratory

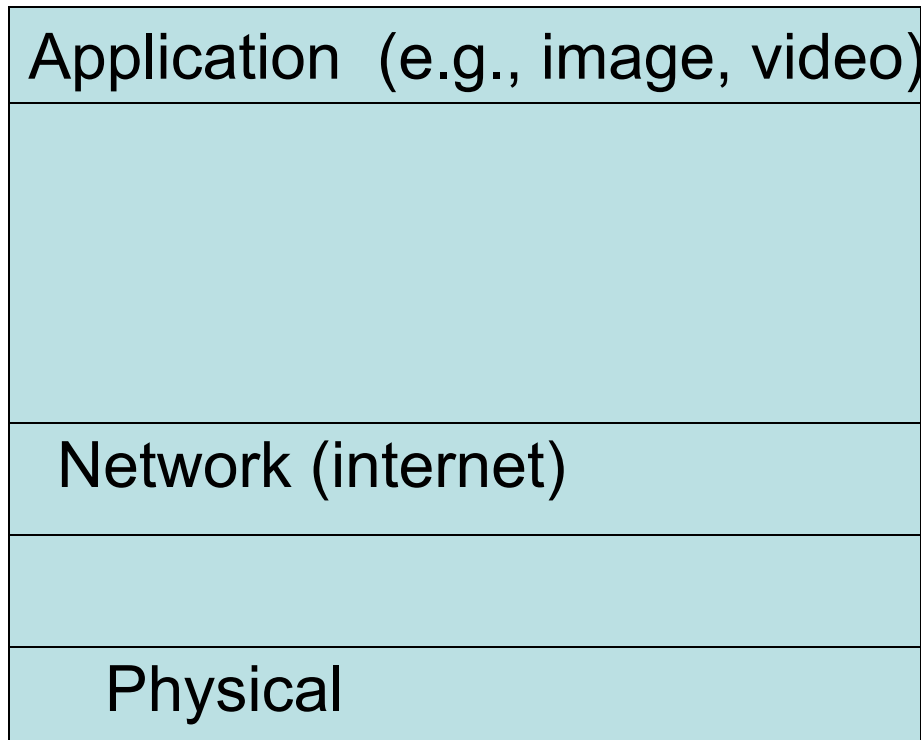
- Unclassified Work:
 - **Software Engineering** of Network Signaling Protocols
 - Developing a network management system of ICEbox (U.S. government's information dissemination system)
 - SNMP (Simple Network Management Protocol)-based
 - **Signal Processing** of Reconnaissance data
 - Computational geometry

Daniel Lee's sampled research

- **Internet** Quality of Service (QoS)
 - Internet Multimedia Transport
 - BE-aware QoS routing
 - Flow admission control (stochastic control)
- Call Admission Control of multi-service CDMA **cellular** networks
- Dynamic RWA problems of **WDM** networks
- **Delay-tolerant networks**, CCSDS File Delivery Protocols
- **Sensor Networks**, **Ad hoc** networks

Internet QoS: Network 101

QoS

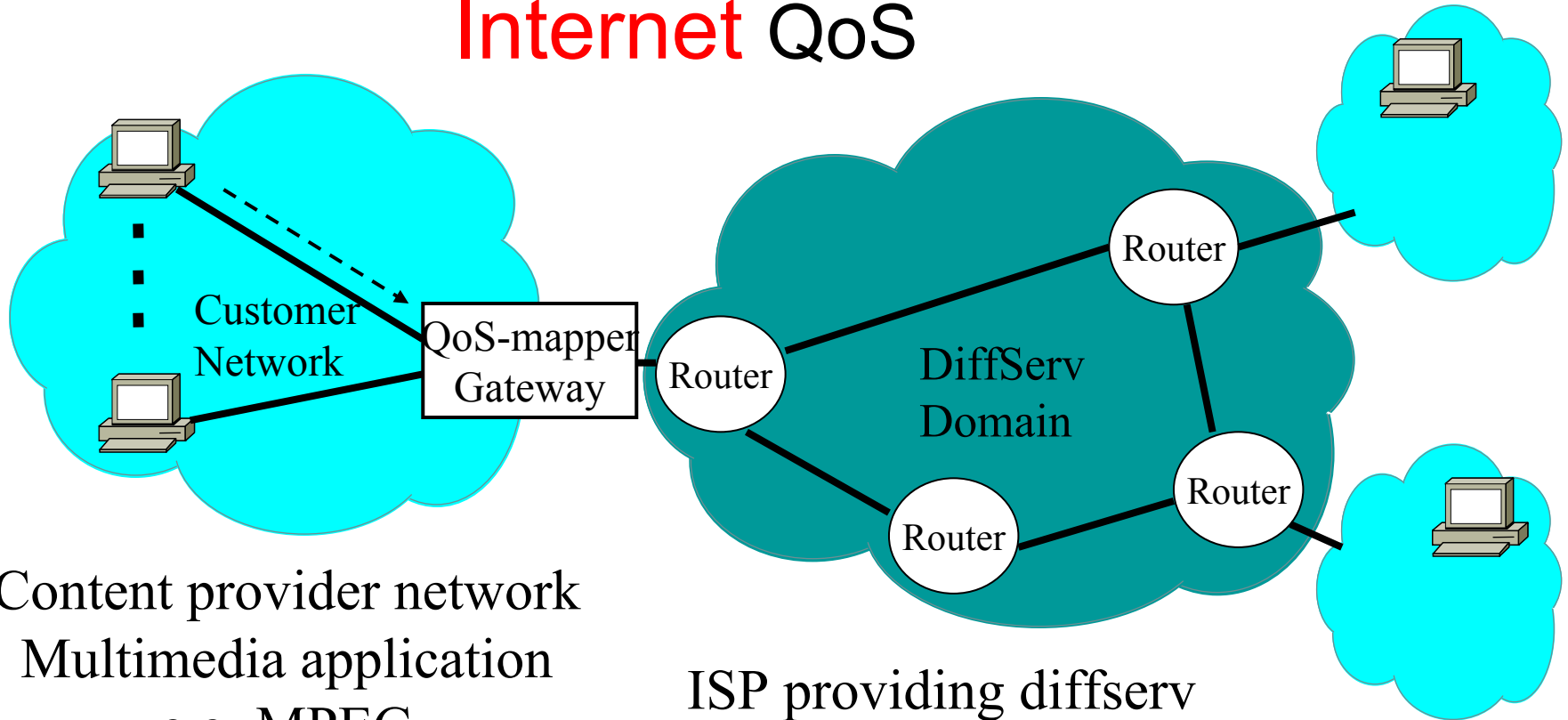


Packet delay
Packet loss

Bit error rate

The original internet never cared QoS.

Internet QoS



Content provider network
Multimedia application
e.g. MPEG

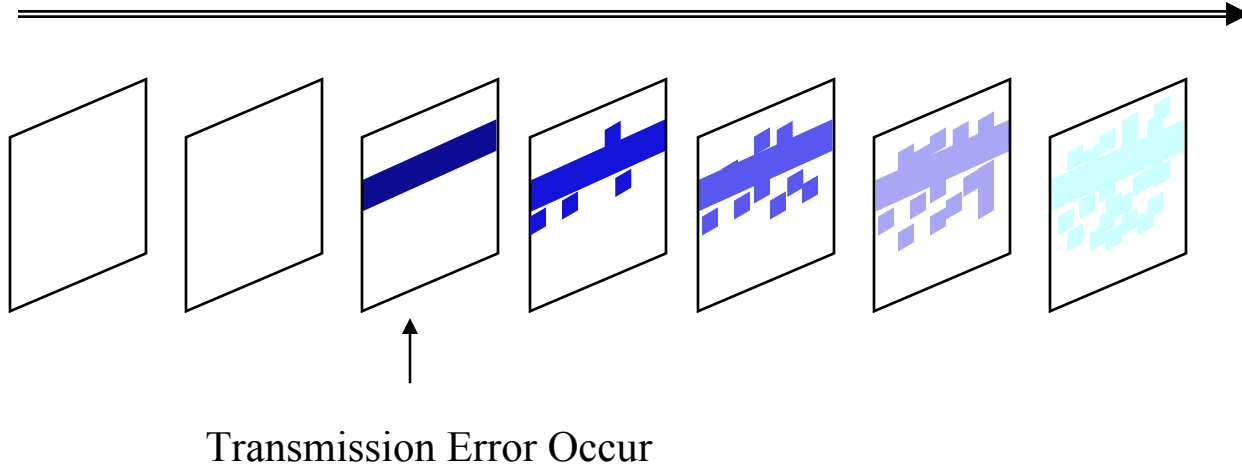
ISP providing diffserv

Clients

Not all frames are created equal.

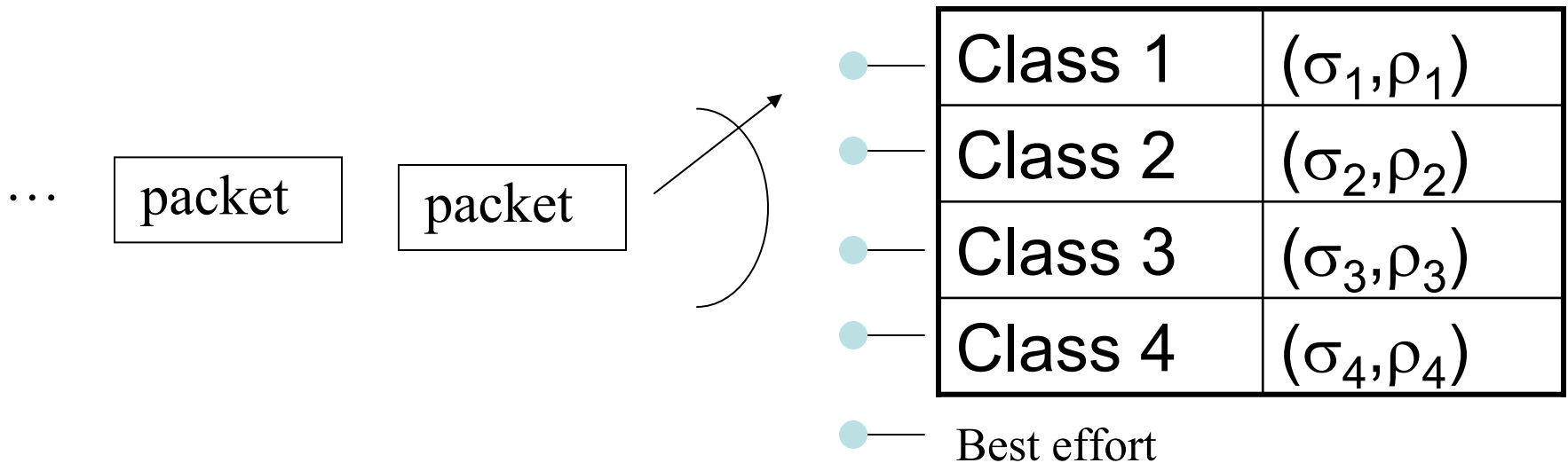
First	(σ_1, ρ_1)
Business	(σ_2, ρ_2)
Economy	(σ_3, ρ_3)
Best effort	(σ_4, ρ_4)

Application layer:
e.g., MPEG, H.263 video, etc.



Mathematical Formulation

- Proper placement of packets into Diffserv classes



under the constraint of SLA so that

- The end-user video qualities (PSNR) of different flows are optimized

Contributions

J. Shin, D. C. Lee, and C.-C. J. Kuo, *Quality of Service for Internet Multimedia*, Prentice Hall 2004.

Marriage between Signal Processing and Networks research.

*Relating packet-level QoS (delay, loss) of a **packet switching network** to an application-level QoS.*

Wish to apply to **Telemedicine**

- Telemedicine through IP
- Need to address Quality of Service
- Need to address **Security** and Privacy
 - Integrity and confidentiality
 - IP security

Call Admission Control in CDMA Cellular Networks

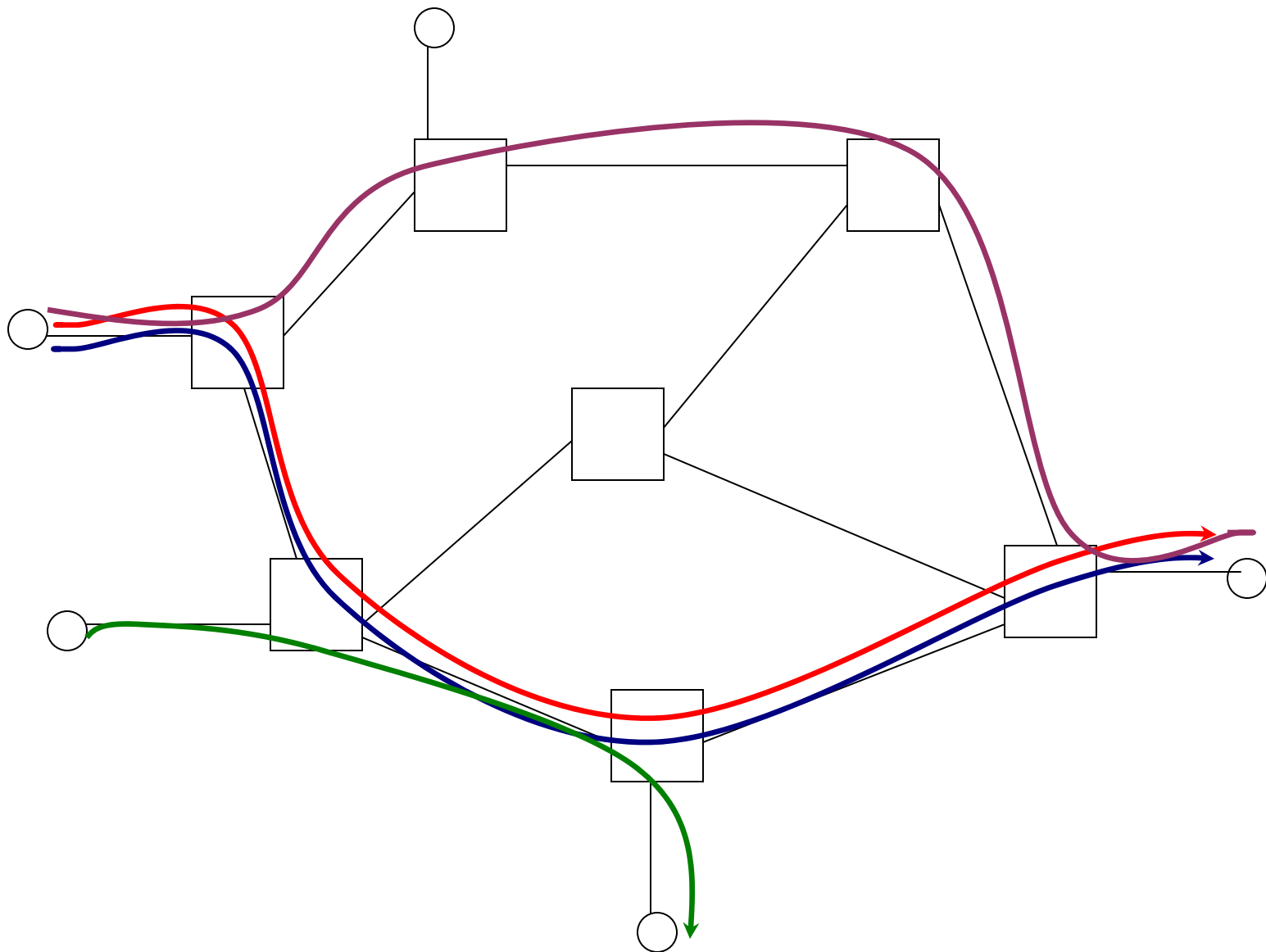
- Multi-class calls (e.g. data and voice), forward links
- Call arrivals and departures are random process.
- In CDMA, one call's signal is an interference to another's.
- There are also a limited number of channelization codes.
- Each class has a **QoS** requirement (SIR) and different traffic characteristics.

Highlights

- For each call arrival, the cell must decide whether to accept or reject. How to make that decision optimally?
- E.g. Maximize revenue
- **Call-level** QoS with considering **packet-level** traffic characteristics.
 - Methodology: physical –layer QoS
 - Methodology: Markov decision process

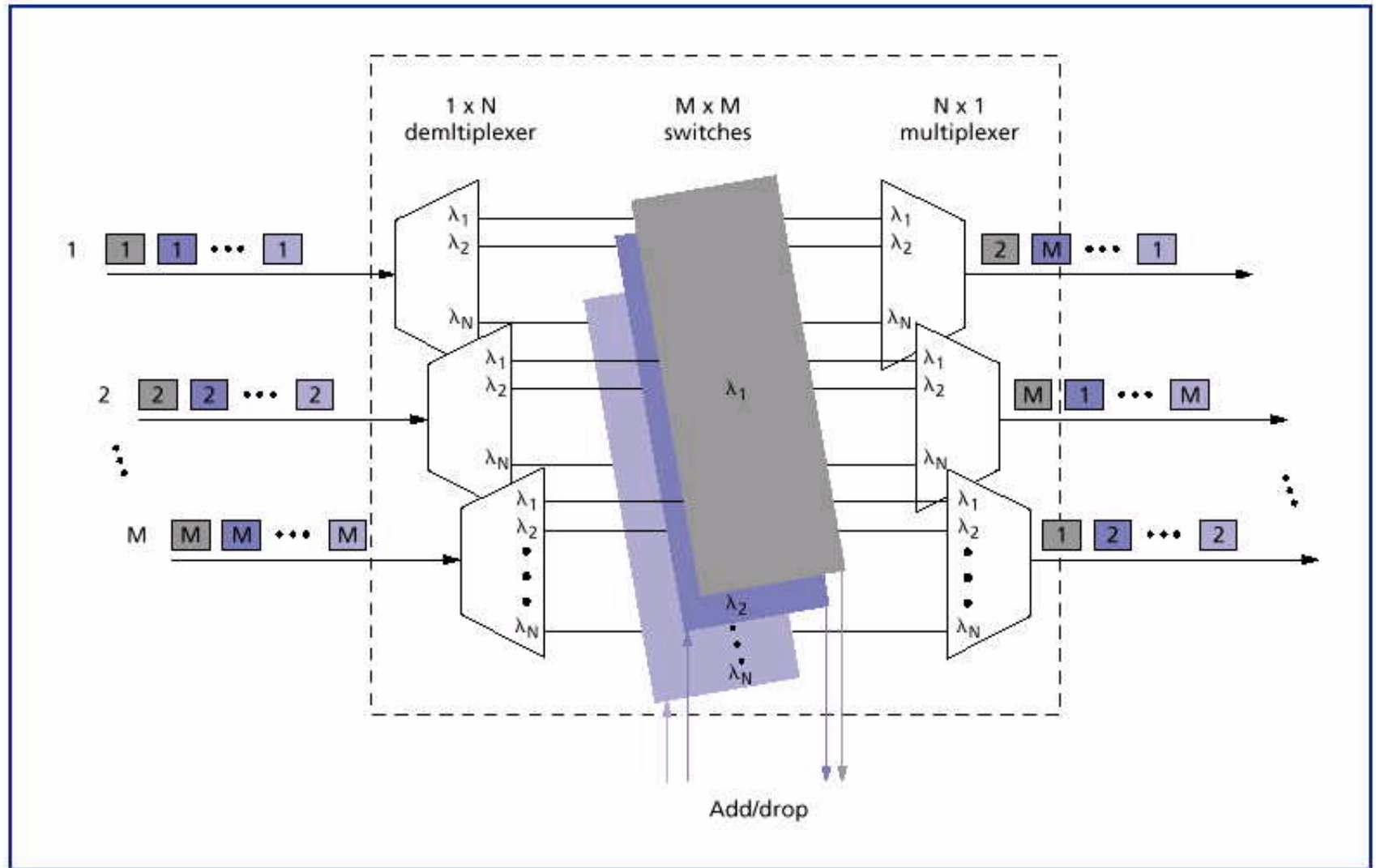
RWA of Optical Networks

- Dynamic Routing and Wavelength Assignment (RWA) of wavelength division multiplexing (WDM) networks
- No opto-electric conversion in switches.
- Calls arrive and depart randomly.



Access node

A typical Optical Crossconnect



All Optical Networks

- No opto-electrical conversion at a switch

Optical Networks Highlight

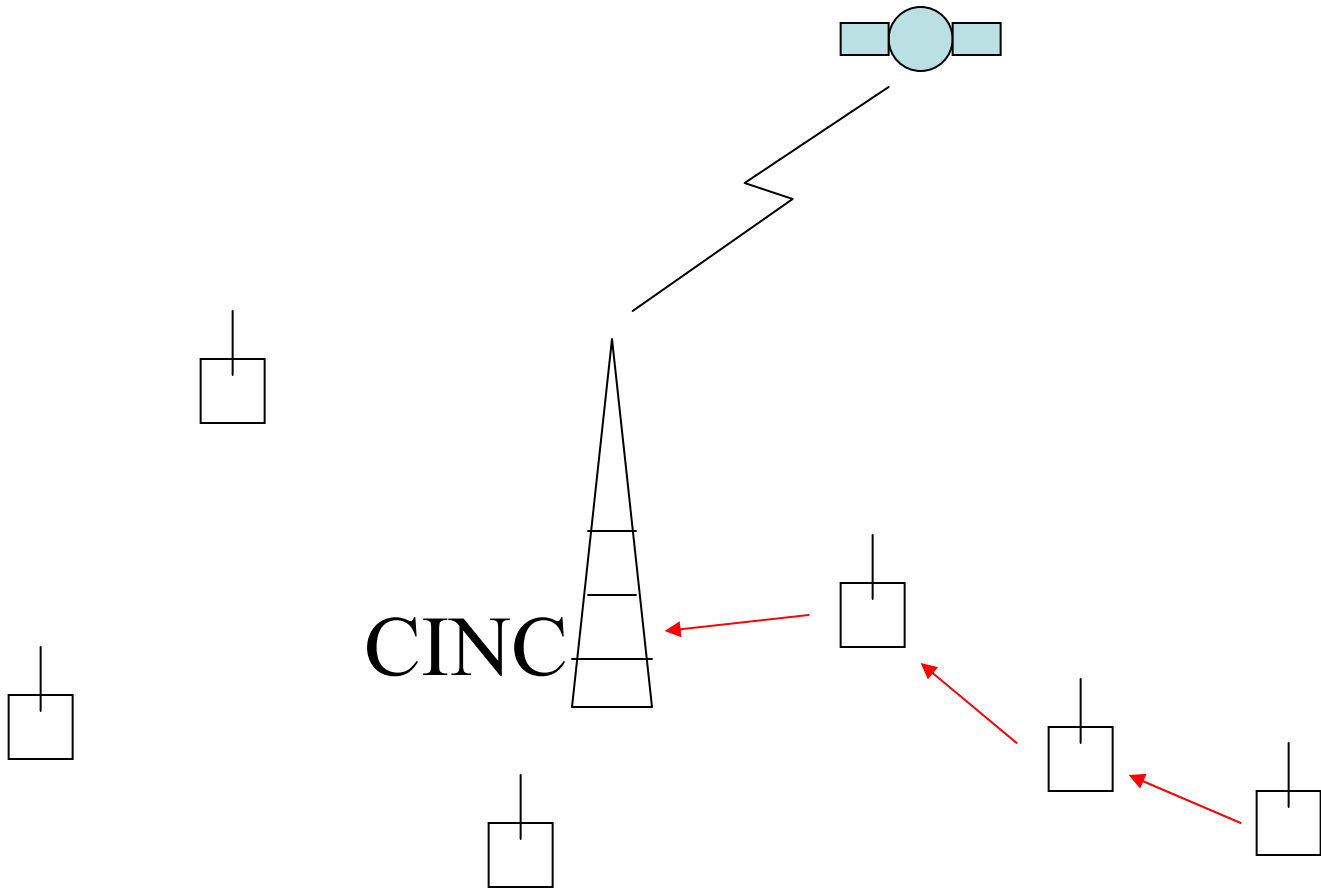
- Return of the circuit switching (?)
- Most problems are NP-hard or have state-space explosion.
 - Heuristics dominate the research
- Our contribution
 - So far, the best heuristics for future WDM network with **multifiber** cables with many fibers per cable and extremely **many wavelengths** per fiber

Wireless LAN

- 802.11 is mature.
- Ultra Wide Band Networks
 - DARPA, Telcordia, Boeing, EtherWire, USC

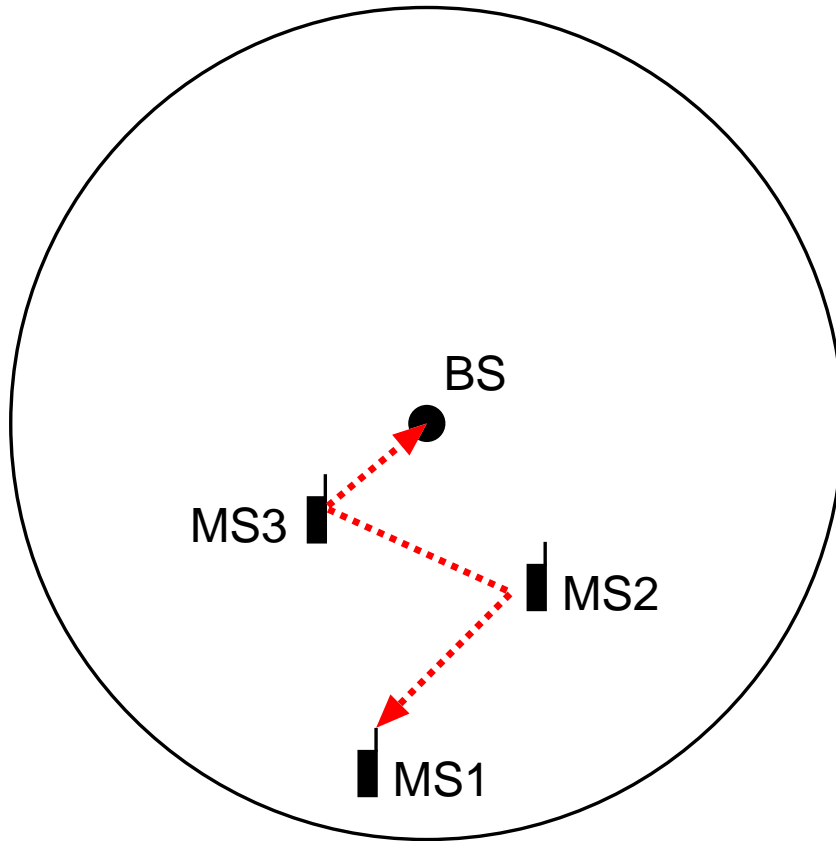
Routing in Ad hoc networks

- Commercial Value in wireless LAN?
- The Army is interested because Ad hoc network does not require any infrastructure.
- My argument:
 - The Army builds some infrastructure in the region



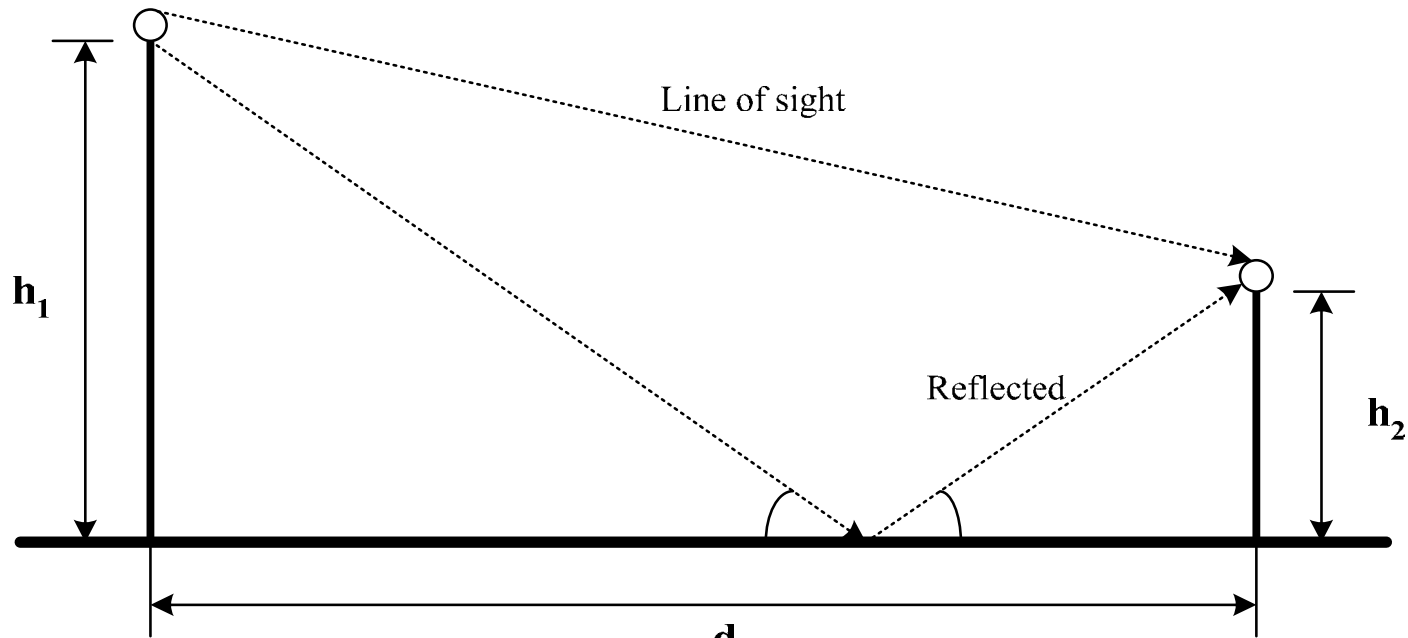
Cellular-like

Ad hoc packet relay protocols in a cellular-like environment



Lockheed Martin

Motivation: Propagation and path loss



$$d_1^4 + d_2^4 + \dots + d_n^4 < (d_1 + d_2 + \dots + d_n)^4$$

Less total TX power,
Less interference

Sensor Networks

- Tracking time-varying environmental parameters through sensor a network
- Distributed Estimation and Statistical Inference of
 - A large number of cheap sensors with **poor calibration**
 - Trading calibration with statistical inference from spatio-time correlation
 - **Fundamental theoretical results**
 - Similar to Kalman filtering, but not quite

Contents

- Current Telecommunications landscape
 - Daniel Lee's Research sampled
- • Future Prospects: Vision of the long-term Telecommunication Research
 - Opportunities and Challenges
- How I would work as a professor and head of a subject

Vision for Long-Term Telecommunications Research

- Universal **connectivity** enabling diverse **applications** with proper
 - QoS
 - Reliability
 - Maintainability
 - Security
 - Evolvability
 - Reconfigurability
 - Mobility management
 - etc.

Research Opportunities

- Creating a **connectivity**
- Increasing the **quality of service**
- These are value-adding activities (commercial, humanitarian, scientific).
 - E.g., 802.11 and cellular
 - Airline passenger and a server,
 - Remote regions – underdeveloped area, scientific explorers
 - Space explorers
- Improve **Securities, ...ities**
- **New Systems**
- **New Applications**
 - E.g., networked control

Challenges

- Heterogeneous media (QoS challenge)
 - Capacity difference between wireless and wired links
- Heterogeneous infrastructures
 - Cellular, WDM, internet, PSTN, WLAN, etc.
- Performance challenging environments
 - Intermittent links, networks with no contemporaneous paths
 - Long propagation delay of satellites and interplanetary links
- Diverse applications
 - Voice, data, video, interactive games, etc.

Long-term Research Framework: Universal Connectivity

- Wireless bottleneck
 - Wireless communication/networking research
 - OFDM, MIMO, etc.
 - Cross-layer joint design
 - Rapidly adaptive transmission
- Heterogeneity and performance-challenging environments
 - Delay-tolerant Networking