



## Relationality/territoriality: Toward a conceptualization of cities in the world

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### ABSTRACT

The paper contributes to the conceptualization of cities in the world by first outlining the conceptual and empirical challenges of theorizing the urban/global nexus in both relational and territorial terms. It argues that the most useful and appropriate approach to understanding contemporary urban governance in global context is to develop a conceptualization that is equally sensitive to the role of relational and territorial geographies, of fixity and flow, of global contexts and place-specificities (and vice versa), of structural imperatives and embodied practices, in the production of cities. In order to illustrate the benefits of this conceptualization, the paper will apply it to the case of how downtown development is governed in many contemporary cities. The role of the Business Improvement District (BID) program and New Urbanist planning models in shaping downtowns will be examined in terms of: (1) how and by whom these models are developed in a global-relational context and are set in motion through scaled circuits of policy knowledge and (2) how the mobilization of these models are conditioned by their territorialization in specific spatial and political economic contexts. The paper emphasizes that the 'local globalness' of policy models like BIDs and New Urbanism and their consequences for cities can best be understood through a combined focus on relationality and territoriality.

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### 1. Introduction

The policy world seems to be one in constant motion. In a figurative sense, policy-makers seem to be under increasing pressure to 'get a move on' – to keep up with the latest trends and 'hot' ideas that sweep into their offices, to convert those ideas into locally-appropriate 'solutions,' and 'roll them out', thus making the most of them before the next trend emerges. As waves of innovation arrive more frequently, a concordant 'churning' has been identified in urban policy, with new ideas and initiatives replacing old with increased regularity (Jessop and Peck, 1998; Peck and Theodore, 2001; Theodore and Peck, 2001). Contemporary policy-making, at all scales, therefore involves the constant 'scanning' of the policy landscape, via professional publications and reports, the media, websites, blogs, professional contacts, and word of mouth for ready-made, off-the-shelf policies and best practices that can be quickly applied locally.

It is in this context of 'fast policy transfer' (Peck and Theodore, 2001, p. 429) that figurative motion in the policy world becomes literal motion. Policy actors (a broadly defined category including politicians, policy professionals, practitioners, activists, and consultants) act as 'transfer agents' (Stone, 2004), shuttling policies and

knowledge about policies around the world through attendance at conferences, fact-finding trips, consultancy work, etc. These travels involve the transfer of policies from place to place (Dolowitz and Marsh, 2000; Stone, 1999, 2004), which, in some cases, seem to diffuse with lightening speed, e.g., welfare policies (Peck and Theodore, 2001; Theodore and Peck, 2001) and creative city policies (Florida, 2002; Peck, 2005). These travels and transfers involve local and national policy-makers in networks that extend globally, bringing certain cities into conversation with each other, while pushing others further apart. They create mental maps of 'best cities' for policy that inform future strategies – Austin for quality of life and creativity (Florida, 2002; McCann, 2004), Barcelona and Manchester for urban planning and regeneration (Monclús, 2003; Peck and Ward, 2002), Curitiba for environmental planning (Moore, 2007), Portland for growth management (Calthorpe and Fulton, 2001), Porto Alegre for participatory budgeting and direct democracy (Baiocchi, 2003). Thus, in a policy sense as in other ways, cities are constituted through their relations with other places and scales (Massey, 1991, forthcoming).

Yet, while motion and relationality define contemporary policy-making, this is only half the picture. Policies and policy-making are also intensely and fundamentally local, grounded, and territorial. Even a cursory familiarity with the examples above confirms this point, since our ability to refer to complex policies through the use of a shorthand of city names indicates how tied they are to specific places. There is a 'Barcelona model' of urban regeneration, for

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example, which is contingent on the historical-geographical circumstances of that city and its relationship with other regional and national forms of decision-making. While other cities might be encouraged to learn or adopt that model, it is generally understood that, in doing so, adjustments will need to be made in order for it to work elsewhere. Furthermore, policy is fundamentally territorial in that it is tied up with a whole set of locally dependent interests, with those involved in growth coalitions being the most obvious (Cox and Mair, 1988; Logan and Molotch, 1987). Therefore, while there are substantial literatures in urban studies that emphasize cities' relationality and fluidity and while there are other equally important literatures that emphasize their territoriality, we argue that urban policy-making must be understood as both relational and territorial; as both in motion and simultaneously fixed, or embedded in place. The contradictory nature of policy should not, however, be seen as detrimental to its operation. Rather, the tension between policy as relational and dynamic, on the one hand, and fixed and territorial, on the other, is a productive one. It is a necessary tension that produces policy and places (cf. Harvey, 1982).

Our purpose in this paper is to explore the implications of this tension for our understanding of urban policy and to use the study of the 'local globalness' of urban policy to inform the study of urban–global relations more generally. We discuss how contemporary scholarship across the social sciences is exhibiting a remarkable convergence around questions of inter-scalar relations and around a conviction that specific cases of regulation, design, or policy-making, for example, must be understood in terms of processes stretching over wider geographical fields and in terms of imperatives that may not be immediately evident at the scale of, or on the face of the cases themselves. We argue that this is an important moment in which to consider global–urban relations since on-going discussions about the relationships between cities and global processes (Robinson, 2006; Taylor, 2004) and about networked, relational, and territorial conceptualizations of social space (Allen and Cochrane, 2007; Jessop et al., 2008) indicate that cities are important nodes in a 'globalizing' world. Yet, scholars still do not understand, in a deep and detailed way, how those involved in urban politics and policy-making act beyond their own cities in order to practice or perform urban globalness and to articulate their cities in the world (but see the essays in McCann and Ward, forthcoming). So, while we will outline a convergence of thought around the need for empirical detail on global political-economic relations, we will also suggest that the literature needs more empirical accounts of the struggles, practices and representations that underpin global–urban relations and that territorialize global flows.

In the following section, we outline the convergence of work on scalar relations and through a critical discussion of the 'traditional' political science literature on policy transfer we connect a relational/territorial approach to our specific empirical concerns. Subsequently, we detail two related examples of urban policy – Business Improvement Districts and New Urbanist approaches to urban planning and design. As Olds (2001, p. 9, citing Murdoch, 1997, p. 334) puts it, "the 'role of the analyst,'" is... 'to follow networks as they stretch through space and time, localizing and globalizing along the way.'" This is what we attempt to do. Our two examples are drawn from long-term research projects that seek to understand the politics of urban policy-making in scalar terms through largely qualitative research methods. Specifically, the examples draw upon content and discourse analyses of consultancy, government, media, practitioner and think tank publications, on semi-structured interviews with key transfer agents in a number of cities, and on participation in and observation of relevant meetings and conferences where ideas about 'good' urban policy are transferred and negotiated. We contend that qualitative

empirical investigations of case studies are a necessary element in any conceptualization of mobile policy. In doing so, we pay close attention to: (1) how urban policies are set 'in motion' globally and how global circuits of policy knowledge and the transfer of policy models influence the governance of specific cities; (2) how the 'making up' of policy (Ward, 2006) is a fundamentally territorialized and political process, contingent on specific historical-geographical circumstances. In the final section, we draw out some implications of our analysis for the wider concerns that are motivating this special issue.

## 2. Conceptualizing global–urban connections: relationalities, territorialities, policies

Territories do not come at the expense of extensive networks and flows but, rather, they are constituted by and contribute to these social networks (Beaumont and Nicholls, 2007, p. 2559).

### 2.1. The relational and territorial geographies of urban policies

A great deal of critical geographical scholarship on cities examines the connections between urbanization and capitalism, the changing territorial forms of the state, and the production of new institutional arrangements for urban and regional governance, focusing on economic development and the 'new urban politics' (Brenner and Theodore, 2002; Cox and Mair, 1988; Harvey, 1989a,b; Jonas and Wilson, 1999; Logan and Molotch, 1987). Yet, more analysis is needed on *how* – through what practices, where, when, and by whom – urban policies are produced in global-relational context, are transferred and reproduced from place to place, and are negotiated politically in various locations. That said, a number of influential, although varied, and not always entirely compatible, theorizations have sought to understand the tensions and power relations central to these global–urban connections. Harvey's (1982) conceptualization of the dialectic of fixity and mobility in capitalism and the implications of investment and disinvestment for urban built environments is one of these. Massey's (1991) notion of a global sense of place, in which specific places are understood to be open to and defined by situated combinations of flows of people, communications, responsibilities, etc. that extend far beyond specific locales, is another. The literature on spatial scale, much of which focuses on conceptualizations of territorialization and deterritorialization (Brenner, 1998, 2001, 2004; Jonas, 1994; Smith, 1993), and the world/global cities literature, with its focus on certain cities as powerful nodes in the networked geographies of finance capital (Taylor, 2004), are two other established bodies of work. The burgeoning 'mobilities' approach, which seeks to conceptualize the social content of movements of people and objects from place to place at various scales and the immobilities and 'moorings' that underpin and challenge these dynamics, constitutes another worthwhile approach (Cresswell, 2001; Hannam et al., 2006; Sheller and Urry, 2006).

Each of these literatures seeks to conceptualize how cities are produced in relation to processes operating across wider geographical fields, while recognizing that urban localities simultaneously provide necessary basing-points for those wider processes. Each suggests that there can be no separation between place-based and global-relational conceptualizations of contemporary political economies. As Hannam et al. (2006, p. 5) put it: "[m]obilities cannot be described without attention to the necessary spatial, infrastructural and institutional moorings that configure and enable mobilities." Rather, Brenner (2004) suggests that territory must be seen as relationally produced rather than bounded and static. He argues

that “the image of political-economic space as a complex, tangled mosaic of superimposed and interpenetrating nodes, levels, scales, and morphologies has become more [analytically] appropriate than the traditional Cartesian model of homogeneous, self-enclosed and contiguous blocks of territory” (Ibid, 66). The tensions and crises involved in this multi-scale urban experience are objects of policy-making and politics. Harvey’s (1989b, p. 143) account of urban politics is particularly clear on this issue: While it is important to understand cities as always in a process of becoming, social relations, state policy, and politics shape and are shaped by urban regions, or territories, which exist “in the midst of a maelstrom of forces that tend to undermine and disrupt” their coherence.

Allen and Cochrane’s (2007, p. 1171) discussion of (urban) regions resonates strongly with this viewpoint. They do not reject the importance of territory, only its traditional bounded connotation: “[T]here is little to be gained by talking about regional [and by inference, urban] governance as a territorial arrangement when a number of the political elements assembled are not particularly regional in any traditional sense, even if they draw on what might be called the ‘spatial grammar’ of regionalism”. They continue:

Many are ‘parts’ of elsewhere, representatives of political authority, expertise, skills, and interests drawn together to move forward varied agendas and programmes. The sense in which these are [urban] ‘regional’ assemblages, rather than geographically tiered hierarchies of decision-making, lies with the tangle of interactions and capabilities within which power is negotiated and played out.

The urban region is, thus a social and political product that cannot be understood without reference to its relations with various other scales. Yet, to study how this social production *gets done* involves the study of a whole series of very specific and situated interactions, practices, performances, and negotiations.

Conceptualizing urban policy-making and politics through the productive tension between relationality and territoriality entails both the study of how urban actors manage and struggle over the ‘local’ impacts of ‘global’ flows and also the analysis of how they engage in global circuits of policy knowledge that are produced in and through a “relational geography focused on networks and flows” (Olds, 2001, p. 6). These transfer agents seek, through this engagement, to take policy models from their own cities and promote them as ‘best practices’ elsewhere, or to tap into a global field of expertise to identify and ‘download’ models of good policy. This process of territorializing and deterritorializing policy knowledge is a highly political one, in which “[zones] of connectivity, centrality, and empowerment in some cases, and of disconnection, social exclusion and inaudibility” (Sheller and Urry, 2006, p. 210) are brought into being as struggles ensue over how policies get discursively framed as successes, while the insertion of new ‘best practices’ from elsewhere into specific cities can empower some interests at the expense of others, putting alternative visions of the future outside the bounds of policy discussion (Robinson, 2006). The construction of ‘models’ of redevelopment and their circulation and re-embedding in cities around the world can have profoundly disempowering consequences. On the other hand, this process of policy transfer can also spur contest within cities where activists question the ‘pre-approved’ credentials of newly imported policy models or where activists are motivated to ‘scan’ globally for alternative policies (McCann, 2008) as part of what Purcell (2008, p. 153) calls “fast resistance transfer.”

## 2.2. From policy transfer ... to mobile policies

How might we think specifically about the movement of policies from a relational/territorial perspective? We might consider

the already existing political science literature on policy transfer which studies how policies are learned from one context and moved to another with the hope of similar results. In one sense, this is a literature that is all about global relations and territories. While internally differentiated and heterogeneous, the literature shares some common features. It focuses on modeling how transfer works, creating typologies of transfer agents (Stone, 2004), and identifying conditions under which transfer leads to successful or unsuccessful policy outcomes in the new location (Dolowitz and Marsh, 2000; Stone, 1999). So, it is not without its insights.

Yet, while this literature is certainly about global relations and territories, it has exhibited less attention to the full range of social territoriality. It is limited in its definition of the agents involved in transfer, focusing largely on national and international elites largely working in formal institutions. It focuses solely on national territories – transfer among nations or among localities with single nations – without considering the possibility, or actuality, of transfer among cities that transcend national boundaries. Furthermore, it tends not to consider transfer as a socio-spatial process in which policies are changed as they travel (Peck and Theodore, 2001).

These limits to the ‘traditional’ policy transfer literature (for a full critique, see McCann, *in press*) offer a series of opportunities for further theorization from perspectives that understand, often in different ways, transfer as a global-relational, social and spatial process which interconnects and constitutes cities (Cook, 2008; McCann, 2008, *in press*; Peck and Theodore, 2001; Ward, 2006, 2007). For Wacquant (1999, p. 321), the aim should be “to constitute, link by link, the long chain of institutions, agents and discursive supports” that constitute the current historical period while Peck’s (2003, p. 229) calls for more analyses of the circulation of policies in relation to “the transnational and translocal constitution of institutional relations, governmental hierarchies and policy networks.”

Larner (2003, p. 510) also advocates a move in the same intellectual direction, towards a “more careful tracing of the intellectual, policy, and practitioner networks that underpin the global expansion of neoliberal ideas, and their subsequent manifestation in government policies and programmes”. Explicitly interested in understanding both how and why governing practices and expertise are moved from one place to another, she advocates the ‘detailed tracings’ of social practices, relations, and embeddings. For example, her study of the global call centre and banking industries and the place of New Zealand in the globalization of these economic activities shows the value of the detailed rendering of what might be seen as the banal or mundane practices of various actors who, individually and collectively, play an important role in constituting globalization (Larner, 2001).

Much of the mobilities work attempts to understand the details of a particular form of mobility, or a specific infrastructure that facilitates or channels mobilities, in reference to wider processes and contexts:

[It] problematizes both ‘sedentarist’ approaches in the social sciences that treat place, stability, and dwelling as a natural steady-state, and ‘deterritorialized’ approaches that posit a new ‘grand narrative’ of mobility, fluidity or liquidity as a pervasive condition of postmodernity or globalization ... It is a part of a broader theoretical project aimed at going beyond the imagery of ‘terrains’ as spatially fixed geographical containers for social processes, and calling into question scalar logics such as local/global as descriptors of regional extent ... (Hannam et al., 2006, p. 5).

For us, the language of the mobilities approach is a useful frame for our discussion of mobile policies because it emphasizes the social and the scalar, the fixed and mobile character of policies. We utilize

'mobilities' in the sense that people, frequently working in institutions, mobilize objects and ideas to serve particular interests and with particular material consequences.

We can, then, see convergences among scholars about the need to be alive to both the *why* and the *how* of policy transfer. This demands that we pay attention to how – through 'ordinary' and 'extra-ordinary' activities – policies are made mobile (and immobile), why this occurs, and the relationship between these mobilities and the socio-spatial (re)structuring of cities. The question remains how might we best frame these sorts of empirical discussions? Should we understand contemporary policy-making as primarily about territory, as primarily about relationality, or in terms of a both/and logic which recognizes that contemporary "global restructuring has entailed neither the absolute territorialization of societies, economies, or cultures onto a global scale, nor their complete deterritorialization into a supraterritorial, distanceless, placeless, or borderless space of flows" (Brenner, 2004, p. 64)? We take the latter position and now use this analytical approach to consider two examples of urban governance and planning.

### 3. Circulating knowledge, embedded policies: evidence from downtowns

#### 3.1. Business Improvement Districts

A first example of an urban policy 'in motion' is the Business Improvement District (BID) program. Rising to prominence in the early 1990s, the program is both a way of governing space and an approach to its planning and regulation. A BID is a public–private partnership in which property and business owners in a defined geographical area propose to make a collective contribution to the maintenance, development and marketing/promotion of their commercial district. So a Business Improvement District delivers advertising, cleaning, marketing, and security services across its geographical jurisdiction. The vote to tax themselves by businesses is taken in order to allow them to take management control over 'their' area. Business Improvement Districts reflect how "property owners . . . , developers and builders, the local state, and those who hold the mortgage and public debt have much to gain from forging a local alliance to protect their interests and to ward off the threat of localized devaluation" (Harvey, 1989b, p. 149). BID proponents critique the past role of government in the business of governing the downtown. Instead, Business Improvement Districts are portrayed as "a more focused and flexible form of governance than large municipal bureaucracies" (Levy, 2001, p. 129). Channeling "private sector agency towards the solution of public problems" (MacDonald, 1996, p. 42), they are represented as "an alternative to traditional municipal planning and development" (Mitchell, 2001, p. 116). Mallett (1994, p. 284) goes as far as to claim that Business Improvement Districts are "a response to the failure of local government to adequately maintain and manage spaces of the post-industrial city." The BID philosophy is that "the supervision of public space deters criminal activity and the physical design of public space affects criminal activity" (Hoyt, 2004, p. 369). It draws on the work of Jacobs (1961), Newman (1972) and Wilson and Kelling (1982), which argued that the design of urban space could change the way people behave. As Business Improvement Districts establish the physical layout of benches, street lighting and shop facades, so they shape the ways in which an area is experienced. As such, the BID program draws on, and reinforces, contemporary neoliberal thinking on both the need to attend to and emphasize urban 'business climate' and 'quality of life'.

The global diffusion of the Business Improvement District program since the mid 1990s has involved a number of transfer

agents. As it has been moved around the globe from one place to another so it has been subject to a number of changes in its institutional DNA. As it has been territorialized – embedded in particular socio-spatial relations – so certain elements of the program have been emphasized, while others have been downplayed.

#### 3.1.1. Mobilizing Business Improvement Districts

The first BID was established in Toronto in 1970 and the program spread rapidly, encouraged by Canadian state funding incentives. After moving across Canada it entered the US, where the initial BID was set up in New Orleans in 1975. During the 1980s and 1990s the number of US BIDs grew slowly but surely. Latest data suggest there are over five hundred across the country, with the majority in just three states: California, New York and Wisconsin (Mitchell, 2001). During the last decade the program has emerged in a number of cities outside of the US. In Australia, Japan, Serbia, South Africa and the United Kingdom, BIDs have been established in design if not always in name (Ward, 2006, 2007). According to Hoyt (2006) there are now many thousands around the world. In spite of the program's geographical reach, it is most closely associated, at least in the geographical imagination of many involved in its internationalization, with three cities on the US east coast – New York, Philadelphia and Washington – whose senior executives have become BID 'gurus', the two most well-known of whom are Daniel Biederman and Paul Levy.

The diffusion of the Business Improvement District program has taken place through a number of channels, some relatively formal, others less so. The International Downtown Association – physically located in Washington but the centre of a network of national downtown trade associations and convener of an annual conference – has been at the centre of the BID program's internationalization:

Founded in 1954, the International Downtown Association has more than 650 member organizations worldwide including: North America, Europe, Asia and Africa. Through our network of committed individuals, rich body of knowledge and unique capacity to nurture community-building partnerships, IDA is a guiding force in creating healthy and dynamic centers that anchor the well being of towns, cities and regions of the world (International Downtown Association, n.d.).

In its view, the BID program is one of the most successful ways of improving the conditions of downtowns the world over. According to the current IDA President, David Feehan, "the IDA is proud of the role it has played in the resurgence of downtowns in the US and Canada. Now, through partnerships in Europe, the Caribbean, Australia and Africa, IDA is expanding its resources and knowledge base even more" (International Downtown Association, n.d.). Its partners include the Association of Town Centre Management (ATCM) in the UK, Business Improvement Areas of British Columbia (BIABC) in Canada, Caribbean Tourism Organization (CTO) in the West Indies, and Central Johannesburg Partnership (CJP) in South Africa. Neil Fraser, the Executive Director of the CJP describes the role of the IDA as "a true leader in bringing together city practitioners and specialists from North America and around the world. They provide essential support and assistance in all aspects of private urban management." (International Downtown Association, n.d.). The CJP became a member of the IDA in 1995, and its Executive Director was subsequently appointed to the board of the IDA (Peyroux, 2008). Through regular conferences, institutes, seminars and workshops organised by the IDA, downtown practitioners feed into and reinforce the general emphasis on creative and liveable cities (Florida, 2002). Together with national partners and others with a stake in the expansion of the BID program, such as private consultancies,

think tanks and government departments, the activities of the IDA serve to convince urban authorities of the virtues of the BID program. In 1995 the CJP and IDA organised a 'study tour' to the UK and the US for Johannesburg's public and private sector officials. The purpose was 'to visit ... sites and learn from international experiences in order to set up practices and legislation for a CID [City Improvement District] in Johannesburg' (Peyroux, 2008, p. 4).

Less formally, but no less importantly in the program's internationalization, have been figures involved in the BID program in some of the largest east coast US cities (Cook, 2008; Ward, 2006). Daniel Biederman, co-founder of Bryant Park Corporation, Grand Central Partnership, and 34th Street Partnership, and the President of the latter two and Paul Levy, CEO and President of Philadelphia's City Centre BID, in particular, have worked hard to promote the BID program around the world. According to Peyroux (2008, p. 4), "the North American BIDs were a strong reference for the Johannesburg CIDs." They have presented in many countries, extolling its virtues, drawing on their own highly situated and quite specific experiences to 'market' the program and its benefits. Various exchange-making and information-sharing events have been organised in cities including Canberra, Dublin, Johannesburg, London, and Newcastle [Australia]. At these, an ever-wider audience of different types of practitioners and policy-makers are educated in the way of Business Improvement Districts. Not only development officers and planning officials, as might be expected, attend and participate at these events. Due to the financial and legal consequences of BID formation, accountants and lawyers are also selected into the web of mobilization.

When organising 'local' events, transfer agents have tailored 'general' lessons to the specific concerns of host countries or cities. The trick to the on-going global diffusion of this model of downtown governance has been of course to ensure that assembled audiences are convinced both of the virtues of the BID program in general and also of its capacity to attend to whatever issues a particular local representative may be facing. In England, the particular case to which this paper now turns, this has meant marketing the BID program in the context of an already extant town management system.

### 3.1.2. Territorializing Business Improvement Districts

Through our relationship with ATCM, and the unique reciprocal membership scheme with the International Downtown Association (IDA) based in Washington DC, our BID network is the largest BIDs network in the world and our Knowledge Bank an unrivalled resource for information on both BIDs and partnership development. Building on our own experience from the National BIDs Pilot, the Knowledge Bank is growing all the time, as members exchange expertise in the BID Network Exchange and other partnership events across the country (National BIDs Advisory Service, n.d.).

In addition to the supply side, there needs to be a demand side for policy transfer to occur, although they should be understood as mutually constituted and reinforcing. Locally dependent or embedded transfer agents of one sort or another play an important role in translating a general program into something that makes sense to those with particular territorial remits. In the case of the UK, the introduction of the BID program was first mooted in the early 1990s. As the latest in a long line of post-Second World War exchange of urban policies between the two countries (Jonas and Ward, 2002; Peck and Theodore, 2001; Wacquant, 2001), a report commissioned by the Corporation of London considered the lessons the city might learn from the BID program in New York City (Travers and Weimar, 1996). Although this report argued for the program's introduction into London, it was not until after the

election of the national Labour government in 1997 that a series of 'urban' policy documents were issued, most noticeably Lord Rogers's *Towards an Urban Renaissance* (Department of Environment, Transport and the Regions, 1999), which will reappear in our second example, below, and the Government's White Paper *Our Towns and Cities: the Future* (Department of Environment, Transport and the Regions, 2000). These focused political and practitioner attention on the role cities should be encouraged to play in driving national economic growth. All were informed by examples of policy tourism. Government ministers, such as John Prescott, and senior officials were regular visitors to New York and Philadelphia. They were keen to see the BID program in action. A series of other green and white papers were issued at the end of the 1990s and the beginning of the 2000s, creating the financial and legal conditions in English cities for the creation of Business Improvement Districts. During this period there was a sustained creation of favorable 'importing' conditions. Various transfer agents operating at and across a range of 'spaces of engagement' (Cox, 2001), such as national think tanks, regional development agencies and local authorities visited examples of existing Business Improvement Districts. A series of documents were produced and circulated. Reports appeared in trade magazines such as *Regeneration and Renewal* and *Town and Country Planning* and on trade websites such as [www.publicfinance.co.uk](http://www.publicfinance.co.uk). A made to order website – [www.ukbids.org](http://www.ukbids.org) – was established to oversee the introduction of Business Improvement Districts into England. Jacquie Reilly was appointed as the Project Director of the National Business Improvement Pilot Project (and subsequently to run its successor, the UKBIDs Advisory Service). She championed the BID program in England. The creation of English Business Improvement Districts was finally announced in 2001, and the final piece of the legal framework was agreed in 2004. Despite its Canadian origins, central government was clear on the geographical reference points of the BID program it was introducing into the country:

I can tell you today that we have decided to introduce legislation to create Business Improvement Districts. These will be similar to the successful US examples (Department of Transport, Local Government and the Regions, 2001a, p. 1).

This approach [to the BID program] building on the very successful business model in the USA, will allow business to see precisely what they are getting for their money and will help to harness local business leadership (Department of Transport, Local Government and the Regions, 2001b, p. 2).

Business Improvement Districts are 'New York-style schemes' (Office of the Deputy Prime Minister, 2003, p. 1).

Of course the BID program was not introduced into an institutional vacuum in England. Around the country many cities and towns had already in place some sort of governing partnership. Many hundreds had town centre management partnerships recognized by the Association of Town Centre Management. Indeed the International Downtown Association's first annual conference took place in Coventry in England in 1997. So the experiences of some of England's cities were already present in the geographical imagination of international practitioners. In addition, the public finance system in England remains highly centralized. There are few examples of city government raising revenue through taxes. And, as Peck and Theodore (2001, p. 430) remind us, "inherited institutional structures, established political traditions, and extant policy conventions and discourses all operate to ensure a degree of continuity in the policy development process." In the case of the BID program this matters nationally and locally. The centralized system of central-local

government relations affects the way something like the BID program would be introduced. And in different localities it is important that those involved in mediating and translating the BID program are aware of its particular issues. Put simply, while there is much that unites Bolton, Brighton and Coventry there is much that distinguishes them.

Unsurprisingly, then, the English BID Program is quite unique. In particular it differs in three quite fundamental ways in design from the US-derived model that has circulated internationally. First, this was a state-sponsored introduction of the BID program through a National Business Improvement District Pilot Project. English cities and towns competed for a place in this project. More than one hundred applied and twenty-three were successful. These were pilot Business Improvement Districts that ran for a couple of years, preparing themselves for a vote. Since the ending of the pilot scheme, any city or town in England has been able to go to a vote. This takes us to the second peculiarity of the English BID program. In the US, property owners vote. In the UK all non-domestic rate payers, i.e. those who rent properties, vote in the BID referendum. This was the outcome of a long debate amongst vested interests – local and national government, retail trade associations, property owners and so on. Despite evidence of involvement by property owners in the activities of Business Improvement Districts ([Department for Communities and Local Government, 2007](#)), this does not stretch to getting a vote in their establishment. Third, a successful vote must pass two tests. To begin with, more than 50% of the votes cast must be in favor of the BID. Furthermore, the positive votes must represent more than 50% of the rateable value of the votes cast.<sup>1</sup> So there is a particular politics around the local dependency of businesses ([Cox and Mair, 1988](#)). In some instances the first criteria has been met but the second one has not, as typically smaller, local independent businesses have voted 'yes', while multi-site chains, which are typically larger and hence have a higher rateable value have voted 'no'. Moreover, of the 89 BID votes, 16 have been unsuccessful. There have also been issues around turnout. In all but 18 of the votes turnout was at or below 50%. So, there have been a variety of issues around the introduction of the BID program into English localities.

What all of this reveals is the complicated ways in which the BID program has both been moved around the world and embedded in existing territorially constituted social relations. It has been moved from one city to another through a myriad of formal and informal networks, via the procedural and technocratic transfer of policy on the one hand, and the presentational performances of high-profile individuals on the other. Simultaneously and necessarily, the BID model has been embedded or 'fixed' temporarily in national and local contexts through the activities of a set of territorially entangled transfer agents. It is a policy model with necessary relational and territorial elements.

### 3.2. New Urbanism

A second example of an urban policy 'in motion' is the New Urbanism. As with BIDs, proponents of the New Urbanism are concerned with the relationship between the urban built environment and the experience of living in cities. In both cases, the leading local adopters of these globally-circulating ideas are locally-dependent members of growth coalitions who are intent on maintaining and increasing the value of their land. New Urbanism is a highly influential planning and design movement that emerged in the 1980s largely in the United States and is associated most closely with architects such as Andres Duany, Peter Calthorpe, and Leon Krier ([Calthorpe, 1993](#); [Calthorpe and Fulton,](#)

[2001](#); [Duany et al., 1991, 2001](#); [Falconer Al-Hindi and Till, 2001](#); [McCann, 1995, 2009](#); [Talen, 2005](#)). It critiques car-oriented urban forms as detrimental both to the environment, because of the waste involved in sprawl, and also to community, due to the social atomization New Urbanists associate with the use of private automobiles. Their ideal alternative is characterized by compactness or 'human scale' streets designed to increase the comfort of walkers and cyclists more than drivers, public spaces and institutions as well as mixing retail with residential developments, and a variety of residential and tenure types. This urban form is believed to encourage social ties by drawing people into the public realm and offering numerous chances to interact. New Urban design tends toward the eclectic but the movement is perhaps most strongly associated with a neotraditional aesthetic of idealized porches and picket fences that has been particularly associated with the work of the movement's leading light, Andres Duany and his company, DPZ.

As we will discuss below, however, the diffusion of New Urbanist 'town-making principles' and their increased centrality to planning practice in many cities in North America and beyond has involved a decrease in the dominance of the neotraditional aesthetic – one heavily influenced by idealized landscapes and architectural styles of the US South where the movement had one of its early bases. Instead, key principles of compactness and walkable, mixed-use neighborhoods have been territorialized in a variety of different urban contexts. This has occurred through their incorporation both into local planning policies and into the playbooks of urban developers who have become increasingly convinced of the profitability of this New Urban form which both encourages the building of more houses per acre than traditional suburban developments and also dovetails nicely with the gentrification of inner city neighborhoods.

#### 3.2.1. Mobilizing New Urbanism: persuasive gurus and traveling designs

In its early years, New Urbanism was a fringe movement. It was unattractive to planners in the US who were suspicious of the new mixed-use zoning categories needed to implement it and it met with the skepticism of developers and financiers who questioned the market attractiveness of compact housing. Today these perceptions have changed and numerous companies design and build in a New Urbanist style. [Falconer Al-Hindi \(2001\)](#) has identified over 400 New Urbanist developments in the US, including the iconic Seaside, Florida and Kentlands, Maryland. [Grant \(2005\)](#) outlines the global spread of the movement, while DPZ lists developments, ordinances and plans, urban redevelopment projects, and other projects in North America, South America, Europe, Asia, and Oceania ([DPZ, n.d.](#)) and [Calthorpe Associates \(n.d.\)](#) notes its engagement in projects across four continents.<sup>2</sup>

The diffusion of New Urbanism has been facilitated by the practices and strategies of a number of related transfer agents and organizations who act in a way that makes New Urbanism as much a social movement as a set of design ideas ([Falconer Al-Hindi and Till, 2001](#)). Duany, Calthorpe and others advocate for and travel with their ideas tirelessly, acting as consultants to cities governments and developers and speaking to students, professional organizations, chambers of commerce, the media, and the general public in order to persuade as many people as possible of the merits of their urban vision (see [McCann, in press](#) on the strategies involved in the diffusion of urban design models and [McNeill, 2009](#) on the practices of 'global architects' specifically). In doing so, they gain contracts from city governments and developers to write

<sup>1</sup> In the US there is no federal or state wide voting system for the creation of a BID. It differs within states, and even within cities ([Ward, 2007](#)).

<sup>2</sup> While present in a number of continents, it is important to emphasize that New Urbanist developments are still largely concentrated in North America and Europe.

plans and codes, design developments, and facilitate their signature ‘charrettes’ – planning meetings in which the public are encouraged to play a hands-on role in shaping the plans. This spatial diffusion is entwined with a institutional diffusion in which New Urbanist principles have become commonplace in the thinking and practice of policy-makers, design professionals, and environmental activists to the point where they are evident in many developments, even when they are not directly associated with signature New Urbanist architects.

In the US, the movement’s persuasive abilities have led to its ideas not only being adopted by local governments and developers but also by the federal Department of Housing and Urban Development’s ‘HOPE VI’ public housing programs. They have also received a corporate imprimatur by being used to design Disney’s Celebration housing development in Orlando, Florida. The movement’s persuasive advocates work elsewhere too: Leon Krier and Richard Rogers have influenced urbanist discourse in the UK, for example, with the latter chairing a government task force that produced two influential reports that advocated for sustainable, mixed-use, walkable, cyclable, transit-oriented development (*Department of the Environment, Transport and the Regions, 1999, 2005*). The advocacy of these individuals has been supported by the Congress for a New Urbanism (CNU), a professional organization that has its own written charter, officers, conferences, and web site ([www.cnu.org](http://www.cnu.org)) which define New Urbanism as a serious, broad-based force, rather than just the preoccupation of a few ‘starchitects.’

As New Urbanists, like any other group of transfer agents, seek to market their ideas to ever-wider audiences of policy-makers, their enthusiasm and professional credentials must be matched by an attention to the specific political, social, institutional, and local contexts that they are addressing and by a related nimbleness in their discourse. Duany’s response to an interview question in 2001, when the notion of ‘Smart Growth’ was gaining popularity in the US, is telling in this regard. Are “Smart growth and new urbanism. .. synonymous?” he was asked.

I think the term “smart growth” is going to win [over New Urbanism]. It’s got tremendous polemical power. We have actually repositioned our work as smart growth. For example, our old TND [traditional neighborhood design] codes are now called smart codes. I don’t know if new urbanism and smart growth are synonymous, but they are certainly convergent (Duany in *Zimmerman (2001, p. 10)*).

This pragmatic approach has allowed New Urbanist ideas to be presented as appropriate in a wide range of contexts, allowing their advocates to rapidly transfer ordinances and ‘smart codes’ from city to city.

### 3.2.2. Territorializing New Urbanism: powerful ideas and local politics

You’ve got to be clever, you got to know who you are talking to (Duany in *Zimmerman (2001, p. 13)*).

Transfer has two sides, however, and the category of transfer agents is not limited to the charismatic consultants who trek from place to place with their policy solutions in their laptop hard drives. Local politicians and planning professionals also play a crucial role in transfer, which is why proponents of New Urbanism, like proponents of BIDs, speak in the most evocative terms to planners and politicians in order to connect with them at an intuitive level and, therefore, to gain legitimacy for their ideas. They take care to concoct a place-specific blend of persuasive rhetoric about how their ideas can improve a city and narrative strategies that evoke fears and ‘common sense’ orthodoxies about how the city, or its downtown, might decline without the adoption of their ideas. As Duany

notes, it is important for incoming consultants to be sensitive about where and to whom they are talking if they are to influence policy change. In other words, the flow of New Urbanist ideas around North America, Europe, and beyond can only be operationalized or valorized when they are territorialized, whether at the national scale, as in the case of Rogers’ influence on UK urban policy, or at the local.

Planning in Austin, Texas, for example, became influenced by New Urbanist and Smart Growth principles in the 1990s (McCann, 2003). The city is now seen as an exemplar of this model of planning and thus offers a useful insight into a locality’s engagement with wider flows of policy. In autumn, 2000, Eugene interviewed the director of Austin’s planning department, the planner who wrote the city’s Smart Growth code, and a planner leading the redevelopment of an area of the city along broadly New Urbanist lines. He asked each about their engagement with New Urbanism. The interviews suggested that there was a relatively welcoming, but not entirely uncritical reception for the movement in the city. Planners were primed to agree with many of New Urbanist arguments because of their views on what is wrong with US cities in general and what problems were facing Austin in particular, views that must be seen as conditioned by their class, gender, race, etc., and by their professional training. Secondly, the wider political economic context of Austin in the 1990s had a great deal to do with the way that the planning department was staffed, what priorities it set itself in relation to the mandates of the city council and the desires of the local growth coalition, and what options it could reasonably pursue as it attempted to manage the city’s built environment. These conditions also influenced New Urbanists’ ability to embed their ideas in the city’s planning policies.

When asked why New Urbanism was attractive to him, a senior planner said,

It really just *struck a chord with me*. Something that I felt like I’d been looking for my entire career. [New Urbanists] were able to organize it and put some sense to the things that had bothered me for my entire career about cities and suburbs – how they developed and what’s wrong with them. They made sense of the problems and how to go about them and resolve them. So, for me, personally, it just hit home. It made a whole lot of sense, professionally, and it offered, you know, a way of beginning to think of how to deal with growth in a sensible way for an entire region (Planner No. 1, 2000, our emphasis).

The ability of New Urbanism to resonate with planners at an intuitive level is reflected in another planner’s comments: “Back in the late ‘80s or early ‘90s, I started, like a lot of other people, you know, reading about Seaside and. .. neotraditional neighborhoods. .. It really *caught my imagination* (Planner No. 2, 2000, our emphasis). Some of New Urbanism’s design prescriptions were also attractive. For instance, a planner with a training in landscape architecture noted that New Urbanism, “*really made sense to me*, that you could control the [land] uses by size, which I think is what Seaside does” (Planner No. 3, 2000, our emphasis). As we will show below, this planner in particular maintains a critique of New Urbanism even as she and her colleagues adopted and utilized ‘Smart Codes’ and ‘Traditional Neighborhood Design Ordinances’ which are based on models provided by the leading New Urbanist design consultancies.

New Urbanist policy transfer – studying places like Seaside and Kentlands and then adopting their underlying design codes and planning ordinances for use in other locations – cannot be understood only in terms of the convictions of individual architects, advocates, or planners, however. The political economic context for the adoption of New Urbanism and Smart Growth in Austin was also crucial. The reorganization of planning procedures in

the city and the acceptance of the language of New Urbanism in day-to-day policy discussions went hand-in-hand.

New Urbanism's rise to prominence in the US coincided with a recession in the early 1990s. In Austin, one consequence of the recession was a reduction in staffing in city agencies, including the planning department. When the economy began to expand by the middle of the decade, Austin experienced remarkable amounts of new development associated with a boom in the local technology industry. This coincided with the election of a mayor who was influenced by critiques of urban sprawl and by the Smart Growth approach developed in Maryland. As a result of this set of circumstances, a new director of planning was hired in 1998, with the mandate to expand the planning department. When asked if he was looking to hire a new type of planner when he took the position, given that the mayor was advocating relatively new planning principles associated with Smart Growth, the director was clear:

I was looking for people who were interested in New Urbanism and I wanted, for the first time, an urban design function in the planning staff. We had never had anybody really with an urban design background. And so, those were the two areas that I focused on. Build urban design and build sort of a New Urbanism approach... No matter what their primary background was, transportation or anything else, ... they had [to have] some sense of how the transportation / land use interface might work (Planner No. 1, 2000).

Yet, as we have suggested, the acceptance of New Urbanist design prescriptions has never been total in the Austin planning department, particularly when those prescriptions appear to be externally generated and imposed templates with little sensitivity to local context. "There's kind of a group of New Urbanist people [in Austin] who think that there's a strict formula, that you must never vary from," Planner No. 3 observed. "I personally believe," she continued, "that...all design must be sight specific. ... [What I am interested in is] a much more studied outlook than just taking a New Urbanist template and slapping it down..."

The politics of policy transfer thus emerges in and through the negotiations among planners and between planners, politicians, other bureaucrats, and the public about how the urban built environment might be designed and managed. This negotiation entails 'local' interactions intertwined with mobilizations, enrolments, translations, channeling, brokerings, and bridgings that draw wider circuits of policy knowledge into the city (Allen and Cochrane, 2007). Milton Friedman once argued that when a crisis occurs, such as the early 1990s recession that affected Austin, "the actions that are taken depend on the ideas that are lying around." He saw intellectuals functioning "[t]o develop alternatives to existing policies, to keep them alive and available until the politically impossible becomes politically inevitable" (Friedman in Klein (2007, p. 140)). While space does not allow a comparison of New Urbanism and neoliberalism,<sup>3</sup> we will suggest that certain resonant ideas seemed to be circulating in and around Austin at the right time for politicians and planners. The confluence of the end of the recession, restaffing in the city's planning department, and New Urbanist discourse produced a new 'Smart Growth' Austin, a city produced through relationality and territoriality.

#### 4. Discussion and conclusion

With a strong emphasis on civility and livability and their promise to local growth coalitions of increased land values, there are strong resonances between the BID program and New Urbanism.<sup>4</sup> This paper details how each travels globally and each program's geographical and ideological origins. The paper then documents those actors and institutions involved in the mobilizing and territorializing of the two policy models. Both examples reveal the range of transfer agents involved in this process. Some with little reach, overseeing their introduction in a specific city. Others with a far longer reach, able to influence policy reform at a distance. The paper then examines the ways in which each program was embedded in particular territorial contexts. For the BID program, this was England. In the example of New Urbanism the empirical case was Austin, Texas. Both reveal the ways in which a process of translation is performed, both by those coming in from outside and by actors resident in each of the contexts. In these moments – whether they are literally 'performed' at conferences or workshops, or occur through circulated written publications – supply and demand come so close as to be almost indistinguishable.

While these empirical details are important, we want to conclude this paper by noting a number of broader conceptual issues that speak to the wider concerns at play in this journal special issue. First, we argue for a conceptualization of the making of urban policy through both its territorial and relational geographies and for an appreciation of how cities are assembled by the situated practices and imaginations of actors who are continually attracting, managing, promoting, and resisting global flows of policies and programs. Following Olds (2001, p. 8), we advocate "a relational geography that recognizes the contingent, historically specific, uneven, and dispersed nature of material and non-material flows." Second, we critique the existing literature on policy transfer, while also acknowledging its contributions. In sketching out a new way of thinking about the mobility of policies and programs, this paper draws on a number of different literatures in which there appears to have been convergence around documenting in detail the means through which policies are made mobile. Our way forward is to argue for a framework that includes a broad understanding of transfer agents, takes seriously the inter-urban policy transfer that links cities across national boundaries, and understands transfer as a socio-spatial process in which policies are subject to change as they are moved. Third, we offer some thoughts on what the current concern for thinking relationally might mean for *doing* of research. It means paying attention to the various spaces that are brought into being during the journey of a policy or program: a mixture of following the policy together with sensitivity to the particular territorial contexts at every step in the process of movement. Fourth, the approach we advocate has, at its core, sensitivity to both structure and agency. In the case of the BID and New Urbanism programs, key individuals did make a difference. This was not done under terms of their making, however. Rather there is a set of macro supply and demand contexts in which some 'idea brokers' (Smith, 1991), are structurally advantaged. Some, more than others, are likely to have their ideas and policies made mobile. It is perhaps no surprise, then, that both examples are constitutive elements in the current urban neoliberal

<sup>3</sup> New Urbanism is undoubtedly a pragmatic, free-market ideology. Duany (in Zimmerman (2001, p. 11)) distances New Urbanism from the 1960s environmental movement. It "became associated with anti-market forces," he argues. He claims that "[w]e have to realize that this is an economy that provides choice, and smart growth has to be presented as a choice."

<sup>4</sup> There are also differences between them in terms of the specificities of their origins, proponents, and institutional contexts. Space does not allow a full elucidation of these differences, although they are evident in the empirical details and they certainly affect the character of the transfers in each case (a point we are grateful to a reviewer for making). We argue, however, that their similarities – in terms of their narratives of civility and livability, the way they dovetail in the context of downtowns to meet the aspirations of planners, politicians, and developers, as well as certain classes of residents, and their diffusion – shed useful light on the relationality/territoriality of contemporary urban policy-making.

policy orthodoxy. And, of course, there is an interaction of a range of differently scaled forces in and through which these agents mobilize, broker, translate and introduce ideas in such a way as to make the territorial embedding of globally-circulating policies and programs not just possible but probable.

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