

# Mathematical Preliminaries

Ling 324

Reading: *Basic Concepts of Set Theory*

# Outline

- Set theory
- Ordered pairs and cartesian products
- Relations
- Functions

# What is a Set?

- A SET is a collection of objects. It can be finite or infinite.

$$A = \{a, b, c\}$$

$$N = \{1, 2, 3, \dots\}$$

- An object is an ELEMENT of a set  $A$  if that object is a member of the collection  $A$ .

Notation: “ $\in$ ” reads as “is an element of”, “is a member of”, or “belongs to”.

$$a \in A$$

$$2 \in N$$

A set can have another set as a member.

Let  $B = \{a, b, c, \{d, e\}\}$ , then  $\{d, e\} \in B$

- A set with only one member is called a SINGLETON.
- A set with no members is called the EMPTY SET or NULL SET.

Notation:  $\emptyset$  or  $\{ \}$

# Specification of Sets

- List notation

A set consists of the objects named, not of the names themselves.

$$B = \{\text{The Amazon River, George Washington, 3}\}$$
$$C = \{\text{The Amazon River, 'George Washington', 3}\}$$

A set is unordered.

Writing the name of a member more than once does not change its membership status. For a given object, either it is a member of a given set or it is not.

$$\{a, b, c, e, e, e\}$$
$$\{a, b, c, e\}$$

## Specification of Sets (cont.)

- Predicate notation

A better way to describe an infinite set is to indicate a property the members of the set share.

$\{x \mid x \text{ is an even number greater than } 3\}$  is read as  
“the set of all  $x$  such that  $x$  is an even number greater than 3.”

‘ $x$ ’ is a variable.

- Recursive rules

A rule for generating elements ‘recursively’ from a finite basis.

a)  $4 \in E$

b) if  $x \in E$ , then  $x + 2 \in E$

c) Nothing else belongs to  $E$ .

QUESTION: Give a list notation for the above recursive rules.

## Set-theoretic Identity and Cardinality

- Two sets are IDENTICAL if and only if they have exactly the same members.

$$\{1, 2, 3, 4\} = \{x \mid x \text{ is a positive integer less than } 5\}$$

$$\begin{aligned} &\{x \mid x \text{ is a member of the Japanese male olympic gymnastics team in 2004}\} \\ &= \{x \mid x \text{ is a member of the team that won the gold medal in the 2004} \\ &\text{olympics for the male all-around gymnastics competition}\} \end{aligned}$$

- The number of members in a set  $A$  is called the CARDINALITY of  $A$ .

Notation:  $|A|$

Let  $A = \{1, 3, 5, a, b\}$ .

$|A| =$

# Subsets

- A set  $A$  is a SUBSET of a set  $B$  if all the elements of  $A$  are also in  $B$ .

Notation:  $A \subseteq B$

- PROPER SUBSET

Notation:  $A \subset B$

- $A \not\subseteq B$  means that  $A$  is not a subset of  $B$ .

- A set  $A$  is a subset of itself.  $A \subseteq A$ .

- If  $A \subseteq B$ , and  $B \subseteq C$ , then  $A \subseteq C$ .

## Subsets (cont.)

QUESTION: Fill in the blank with either  $\subseteq$  or  $\not\subseteq$ .

- a)  $\{a, b, c\}$       $\{s, b, a, e, g, i, c\}$
- b)  $\{a, b, j\}$       $\{s, b, a, e, g, i, c\}$
- c)  $\emptyset$       $\{a\}$
- d)  $\{a, \{a\}\}$       $\{a, b, \{a\}\}$
- e)  $\{\{a\}\}$       $\{a\}$
- f)  $\{a\}$       $\{\{a\}\}$
- g)  $\{\emptyset\}$       $\{a\}$
- h)  $A$       $A$

QUESTION: Are the following statements true or false?

Let  $A = \{b, \{c\}\}$ .

- a)  $c \in A$
- b)  $\{c\} \in A$
- c)  $\{b\} \subseteq A$
- d)  $\{c\} \subseteq A$
- e)  $\{\{c\}\} \subseteq A$
- f)  $\{b\} \notin A$
- g)  $\{b, \{c\}\} \subset A$
- h)  $\{\{b, \{c\}\}\} \subseteq A$



## Power Sets

- The POWER SET of  $A$ ,  $\wp(A)$ , is the set whose members are all the subsets of  $A$ .

The set  $A$  itself and the null set are always members of  $\wp(A)$ .

Let  $A = \{a, b\}$ .

$$\wp(A) = \{\{a\}, \{b\}, \{a, b\}, \emptyset\}$$

- $|\wp(A)| = 2^n$
- From the definition of power set, it follows that  $A \subseteq B$  iff  $A \in \wp(B)$ .

QUESTION: Let  $B = \{a, b, c\}$ . What is  $\wp(B)$ ?

# Set-theoretic Operations

- $A \cap B$ : The INTERSECTION of two sets  $A$  and  $B$  is the set containing all and only the objects that are elements of both  $A$  and  $B$ .

$$A \cap B \cap C = \cap\{A, B, C\}$$

- $A \cup B$ : The UNION of two sets  $A$  and  $B$  is the set containing all and only the objects that are elements of  $A$ , of  $B$ , or of both  $A$  and  $B$ .

$$A \cup B \cup C = \cup\{A, B, C\}$$

- $A - B$ : The DIFFERENCE between two sets  $A$  and  $B$  subtracts from  $A$  all objects which are in  $B$ .

- $A'$ : The COMPLEMENT of a set  $A$  is the set of all the individuals in the universe of discourse except for the elements of  $A$  (i.e.,  $U - A$ ).

## Set-theoretic Operations (cont.)

QUESTION: Let  $K = \{a, b\}$ ,  $L = \{c, d\}$ , and  $M = \{b, d\}$ .

a)  $K \cup L = \{a, b, c, d\}$

b)  $K \cup M =$

c)  $(K \cup L) \cup M =$

d)  $L \cup \emptyset =$

e)  $K \cap L = \emptyset$

f)  $L \cap M =$

g)  $K \cap K =$

h)  $K \cap \emptyset =$

i)  $K \cap (L \cap M) =$

j)  $K \cap (L \cup M) =$

k)  $K - M = \{a\}$

m)  $L - M =$

n)  $M - L =$

o)  $K - \emptyset =$

p)  $\emptyset - K =$

# Set-theoretic Equalities

- Some fundamental set-theoretic equalities

- Commutative Laws

$$X \cup Y = Y \cup X$$

$$X \cap Y = Y \cap X$$

- Associative Laws

$$(X \cup Y) \cup Z = X \cup (Y \cup Z)$$

$$(X \cap Y) \cap Z = X \cap (Y \cap Z)$$

- Distributive Laws

$$X \cup (Y \cap Z) = (X \cup Y) \cap (X \cup Z)$$

$$X \cap (Y \cup Z) = (X \cap Y) \cup (X \cap Z)$$

- Identity Laws

$$X \cup \emptyset = X$$

$$X \cup U = U$$

$$X \cap \emptyset = \emptyset$$

$$X \cap U = X$$

- Complement Laws

$$X \cup X' = U$$

$$(X')' = X$$

$$X \cap X' = \emptyset$$

$$X - Y = X \cap Y'$$

- DeMorgan's Laws

$$(X \cup Y)' = X' \cap Y'$$

$$(X \cap Y)' = X' \cup Y'$$

## Set-theoretic Equalities (cont.)

- Set-theoretic equalities can be used to simplify a complex set-theoretic expression, or to prove the truth of other statements about sets.

Simplify the expression  $(A \cup B) \cup (B \cap C)'$ .

- |    |                                |             |
|----|--------------------------------|-------------|
| 1. | $(A \cup B) \cup (B \cap C)'$  |             |
| 2. | $(A \cup B) \cup (B' \cup C')$ | DeMorgan    |
| 3. | $A \cup (B \cup (B' \cup C'))$ | Associative |
| 4. | $A \cup ((B \cup B') \cup C')$ | Associative |
| 5. | $A \cup (U \cup C')$           | Complement  |
| 6. | $A \cup (C' \cup U)$           | Commutative |
| 7. | $A \cup U$                     | Identity    |
| 8. | $U$                            | Identity    |

QUESTION: Show that  $(A \cap B) \cap (A \cap C)' = A \cap (B - C)$ .

# Ordered Pairs and Cartesian Products

- A SEQUENCE of objects is a list of these objects in some order. (cf., Recall that a set is unordered.)

$\langle a, b, c \rangle, \langle 7, 21, 57 \rangle, \langle 1, 2, 3, \dots \rangle$

- Finite sequences are called TUPLES. A sequence with  $k$  elements is a K-TUPLE.

A 2-tuple is also called an (ordered) PAIR.

$\langle a, b \rangle$

- If  $A$  and  $B$  are two sets, the CARTESIAN PRODUCT of  $A$  and  $B$ , written as  $A \times B$ , is the set containing all pairs wherein the first element is a member of  $A$  and the second element is a member of  $B$ .
- Although each member of a Cartesian product is an ordered pair, the Cartesian product itself is an unordered set of them.

## Ordered Pairs and Cartesian Products (cont.)

QUESTION: Let  $K = \{a, b, c\}$  and  $L = \{1, 2\}$ .

$$K \times L = \{ \langle a, 1 \rangle, \langle a, 2 \rangle, \langle b, 1 \rangle, \langle b, 2 \rangle, \langle c, 1 \rangle, \langle c, 2 \rangle \}$$

$$L \times K =$$

$$L \times L =$$

QUESTION: Let  $A \times B = \{ \langle a, 1 \rangle, \langle a, 2 \rangle, \langle a, 3 \rangle \}$ . What is  $\wp(A \times B)$ ?

# Relations

- A RELATION is a set of ordered n-tuples.

Binary relation: e.g., mother of, kiss, subset

Ternary relation: e.g., give

Unary relation: a set of individuals. e.g., being a ling324 student, being a Canadian

- A relation from  $A$  to  $B$  is a subset of the Cartesian product  $A \times B$ .

$Rab$ , or  $aRb$ : The relation  $R$  holds between objects  $a$  and  $b$ .

$R \subseteq A \times B$ : A relation between objects from two sets  $A$  and  $B$ . A relation *from*  $A$  *to*  $B$ .

$R \subseteq A \times A$ : A relation holding of objects from a single set  $A$  is called a relation *in*  $A$ .



## Relations (cont.)

- $\text{Domain}(R) = \{a \mid \text{there is some } b \text{ such that } \langle a, b \rangle \in R\}$   
 $\text{Range}(R) = \{b \mid \text{there is some } a \text{ such that } \langle a, b \rangle \in R\}$

Let  $A = \{a, b\}$  and  $B = \{c, d, e\}$ .  $R = \{\langle a, d \rangle, \langle a, e \rangle, \langle b, e \rangle\}$ .  
 $\text{Domain}(R) = \{a, b\}$ ;  $\text{Range}(R) = \{d, e\}$

Note: A relation may relate one object in its domain to more than one object in its range.

- The COMPLEMENT of a relation  $R \subseteq A \times B$ , written  $R'$ , contains all ordered pairs of the Cartesian product which are not members of the relation  $R$ .

The INVERSE of a relation, written as  $R^{-1}$ , has as its members all the ordered pairs in  $R$ , with their first and second elements reversed.

$$(R')' = R; (R^{-1})^{-1} = R$$

If  $R \subseteq A \times B$ , then  $R^{-1} \subseteq B \times A$ , but  $R' \subseteq A \times B$ .

QUESTION: Let  $A = \{1, 2, 3\}$  and  $R \subseteq A \times A$  be  
 $\{\langle 3, 2 \rangle, \langle 3, 1 \rangle, \langle 2, 1 \rangle\}$ , which is 'greater than' relation in  $A$ .  
What is  $R'$ ? What is  $R^{-1}$ ?

# Types of Relations

- Reflexive: for all  $a$  in the domain,  $\langle a, a \rangle \in R$ . e.g., being the same age as  
Nonreflexive: e.g., like  
Irreflexive: for all  $a$  in the domain,  $\langle a, a \rangle \notin R$ . e.g., proper subset
- Symmetric: whenever  $\langle a, b \rangle \in R$ ,  $\langle b, a \rangle \in R$ . e.g., being five miles from  
Nonsymmetric: e.g., being the sister of  
Asymmetric: it is never the case that  $\langle a, b \rangle \in R$  and  $\langle b, a \rangle \in R$ . e.g., being the mother of
- Transitive: whenever  $\langle a, b \rangle \in R$  and  $\langle b, c \rangle \in R$ ,  $\langle a, c \rangle \in R$ . e.g., being older than  
Nontransitive: e.g., like  
Intransitive: whenever  $\langle a, b \rangle \in R$  and  $\langle b, c \rangle \in R$ , it is not the case that  $\langle a, c \rangle \in R$ . e.g., being the mother of
- Equivalence: reflexive, transitive and symmetric. e.g., being the same age as  
An equivalence relation PARTITIONS a set  $A$  into EQUIVALENCE CLASSES, which are DISJOINT and whose union is identical with  $A$ .

# Functions

- A relation  $R$  from  $A$  to  $B$  is a FUNCTION from  $A$  to  $B$  if and only if:
  - a) Each element in the domain is paired with just one element in the range.
  - b) The domain of  $R$  is equal to  $A$  (except for PARTIAL FUNCTIONS).

QUESTION: Let  $A = \{a, b, c\}$  and  $B = \{1, 2, 3, 4\}$ . Which of the following relations are functions?

- a)  $P = \{ \langle a, 1 \rangle, \langle b, 2 \rangle, \langle c, 3 \rangle \}$
- b)  $Q = \{ \langle a, 1 \rangle, \langle b, 2 \rangle \}$
- c)  $R = \{ \langle a, 2 \rangle, \langle a, 3 \rangle, \langle b, 4 \rangle \}$
- d)  $S = \{ \langle a, 3 \rangle, \langle b, 2 \rangle, \langle c, 2 \rangle \}$
- A function that is a subset of  $A \times B$  is a function *from*  $A$  to  $B$ .  
A function in  $A \times A$  is a function *in*  $A$ .
- $F : A \rightarrow B$  is read as “ $F$  is function from  $A$  to  $B$ ”.
- $F(a) = b$  is read as “ $F$  maps  $a$  to  $b$ ”.
- Given  $F(a) = b$ ,  $a$  is an ARGUMENT and  $b$  is the VALUE.

## Functions (cont.)

- Functions from  $A$  to  $B$  are generally said to be INTO  $B$ .  
Functions from  $A$  to  $B$  such that the range of the function equals  $B$  are called ONTO  $B$ .
- A function  $F : A \rightarrow B$  is called a ONE-TO-ONE function just in case no member of  $B$  is assigned to more than one member of  $A$ . Otherwise, we will call them MANY-TO-ONE function.
- A function which is both one-to-one and onto is called a ONE-TO-ONE CORRESPONDENCE.  
If a function  $F$  is a one-to-one correspondence,  $F^{-1}$  is also a function.
- A function with  $k$  arguments is called a K-ARY FUNCTION, and  $k$  is called the ARITY of the function.

Unary function takes one argument.  $F(a)$ .

Binary function takes two arguments.  $F(a, b)$ .

- Infix notation: e.g.,  $a + b$ .  
Prefix notation: e.g.,  $+(a, b)$ .

## Functions (cont.)

- A PREDICATE or PROPERTY is a function whose range is  $\{\text{True}, \text{False}\}$ .

$\text{even\_number}(2) = \text{True}$ ,  $\text{even\_number}(3) = \text{False}$ .

$\text{take\_ling324}$ ,  $\text{male}$ ,  $\text{freshman}$ .

- The specification of a function from a domain  $D$  to  $\{\text{True}, \text{False}\}$  defines a unique subset of domain  $D$ , and the specification of a set defines a unique function.

For instance, let the domain  $D$  be a set of numbers. If we collect all the elements in  $D$  that are mapped to True by function  $\text{even\_number}$ , we end up with a set of even numbers, which is a subset of  $D$ .

Also, if a number is an element of the set of even numbers, then it is mapped to True by function  $\text{even\_number}$ , and if it is not an element of the set of even numbers, then it is mapped to False by function  $\text{even\_number}$ .

- We call the unique function that is associated with set  $A$ , the CHARACTERISTIC FUNCTION of  $A$ .