Outline:
This course introduces students to the study of the instruments used by governments in carrying out their tasks and adapting to, and altering, their environments. An essential component of modern governance, substantive and procedural policy instruments together comprise the toolbox from which governments must choose in attempting to resolve policy problems. The course begins with the establishment of a framework for analyzing governing instruments and moves on to consider the merits, demerits and rationales for the use of specific organization, regulatory, financial and information-based tools. The issue of instrument mixes and their design is addressed. Finally, the manner in which instrument change relates to overall policy change is assessed.

Required Texts


Recommended Texts


Requirements and Marking:
1. There will be a final examination worth 40 percent of the course mark. It will be held from 8:30-11:30 am Saturday December 17. Room TBA.

2. One 15-20 page paper is due at the end of term and is worth 50 percent of the class mark. Papers should be typed, double-spaced and properly formatted. Papers are due on the last day of class. LATE PAPERS WILL LOSE TEN PERCENT PER DAY LATE.

3. A 3-5 page outline of the paper is due by e-mail in Week VIII. The outline should set out the general argument to be made in the paper, the methodology to be followed, and include appropriate traditional and non-traditional (WWW) bibliographic references. The outline will be returned in Week VIII and will account for 10 percent of the paper grade. LATE OUTLINES WILL RECEIVE A ZERO GRADE.
4. Ten (10) percent of the course mark will be awarded on the basis of class participation. This includes the oral presentation of a reading from the recommended readings in weeks IV-VII or IX-XII when that section is covered in class. **LATE PRESENTATIONS WILL RECEIVE A ZERO GRADE.**

**Paper Topic:**

Choose either a substantive or a procedural policy instrument and set out the theoretical explanation for its use. Examine an example of its use in Canada. Why was it used in this case? How does this empirical case study finding compare with the theoretical rationale put forward? What does this tell us about the strengths and weaknesses of existing theories of policy instrument choice?

**NOTE:** Students may find the supplementary bibliography of Canadian materials located at [http://www.sfu.ca/~howlett/355011BIB.html](http://www.sfu.ca/~howlett/355011BIB.html) useful in developing ideas for case studies. Papers are due on the last day of class.

**WEEKLY READING LIST**

**NOTE:** All **required** readings have been placed on reserve in Bennett Library

**WEEK I: INTRODUCTION: POLICY INSTRUMENTS AND THE NEW GOVERNANCE**

**Required Reading:**


**Recommended Reading:**


WEEK II: INTRODUCTION: POLICY INSTRUMENTS AND THEIR ANALYSIS

Required Reading:


Recommended Reading:


WEEK III: POLICY INSTRUMENT THEORY (I): SUBSTANTIVE POLICY INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK IV: SUBSTANTIVE POLICY INSTRUMENTS (I): ORGANIZATIONAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK V: SUBSTANTIVE POLICY INSTRUMENTS (II): AUTHORITATIVE INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK VI: SUBSTANTIVE POLICY INSTRUMENTS (III): FINANCIAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK VII: SUBSTANTIVE POLICY INSTRUMENTS (IV): INFORMATIONAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK VIII: POLICY INSTRUMENT THEORY (II): PROCEDURAL POLICY INSTRUMENTS

Required Reading:


Recommended Reading:


** OUTLINES DUE

WEEK IX: PROCEDURAL POLICY INSTRUMENTS (I): ORGANIZATIONAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK X: PROCEDURAL POLICY INSTRUMENTS (II): AUTHORITATIVE INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK XI: PROCEDURAL POLICY INSTRUMENTS (III): FINANCIAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK XII: THE POLICY CYCLE (V): PROCEDURAL POLICY INSTRUMENTS (IV): INFORMATIONAL INSTRUMENTS

Required Reading:


Recommended Reading:


WEEK XIII: POLICY INSTRUMENT THEORY (III): POLICY MIXES AND GOVERNANCE STRATEGIES

Required Reading:


Recommended Reading:


** Papers Due **

Final Exam 8:30-11:30 am Saturday December 17, 2005. Location: TBA