

Modality: Incorporating Ordering Source Equation

Ling 406/802; Spring 2005;
Meaning and Grammar, Ch. 5.3.2; Kratzer 1991, pp. 63

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Motivating Ordering Source: Graded Modality in Domain

- (1)
 - a. Michl must be the murderer.
 - b. Michl is probably the murderer.
 - c. There is a good possibility that Michl is the murderer.
 - d. Michl might be the murderer.
 - e. There is a slight possibility that Michl is the murderer.
 - The detective will draw conclusions as to who the murderer is based on the evidence available to him.
 - The modal base, what the evidence provides, determines the set of accessible worlds.
 - Some worlds among the epistemically accessible worlds are more far-fetched than others with respect to the **normal course of events**.
- The worlds in the set of accessible worlds can be ordered in a way that reflects the normal course of events.
- Can we use this ordering on the accessible worlds to capture the notions of modality?

Definition of Ordering Source

- Ordering source: a function g from worlds to sets of propositions

Stereotypical ordering source in $w = g(w) =$ a set of propositions represents the normal course of events in w .

- $g(w)$ induces partial ordering $\leq_{g(w)}$ on W :

For all $u, v, w \in W$, and for any set of propositions $g(w)$:

$u \leq_{g(w)} v$ iff $\{p : p \in g(w) \text{ and } v \in p\} \subseteq \{p : p \in g(w) \text{ and } u \in p\}$

A world u is at least as close to the ideal represented by $g(w)$ as world v iff all propositions in $g(w)$ which are true in v are true in u as well.

- Modal base and ordering source together determine the relevant possible worlds to be considered for evaluating modal sentences

An Account of Graded Modality in Epistemic D

- A proposition p is a necessity ('must') in world w with respect to base f and an ordering source g iff:

for all $u \in \bigcap f(w)$, there is a $v \in \bigcap f(w)$ such that $v \leq_{g(w)} u$
 $z \in \bigcap f(w)$, if $z \leq_{g(w)} v$, then $z \in p$.

(p is a necessity iff it is true in all accessible worlds which come closest to the ideal established by the ordering source.)

- p is a weak necessity ('probably') in w with respect to f and g
 - (i) for all $u \in \bigcap f(w)$ and $u \in \neg p$, there is a $v \in \bigcap f(w)$ such that $v \leq_{g(w)} u$ and $v \in p$;
 - (ii) it is not the case that for all $u \in \bigcap f(w)$ and $u \in p$, there is a $v \in \bigcap f(w)$ such that $v \leq_{g(w)} u$ and $v \in \neg p$.
- p is a good possibility in w with respect to f and g iff there is a $u \in \bigcap f(w)$ such that for all $v \in \bigcap f(w)$, if $v \leq_{g(w)} u$, then $v \in p$.
- p is a possibility ('might') in w with respect to f and g iff there is a $u \in \bigcap f(w)$ such that it comes closest to the ideal established by $g(w)$ and $u \in p$.

An Account of Graded Modality in Epistemic Domains

- The semantics of modality as defined can also capture the entailment properties among modal expressions, keeping f and g parameters

Michl must be the murderer



Michl is probably the murderer



There is a good possibility that Michl is the murderer



Michl might be the murderer.

Strength in Claims

- (2) a. She climbed Mount Toby.
b. She must have climbed Mount Toby.

- Possibility 1:

Modal base: $f(w)$ = in view of what we know in w .

Ordering source: $g(w) = \emptyset$ (empty).

Accessible worlds that come closest to the ideal provided by g including w .

Result: (2b) entails (2a). Wrong prediction!

- Possibility 2:

Modal base: $f(w)$ = in view of what we know in w .

Ordering source: $g(w)$ = a non-empty set of propositions.

Accessible worlds that come closest to the ideal provided by g may not include w .

Result: (2b) does not entail (2a). Correct prediction!

Two Modal Bases: Epistemic and Circumstantial

- Epistemic: Given all the facts and evidence, what might/must be the case.

(3) There might be hydrangeas growing here.

Ordering source: stereotypical conversational background like provided by normal course of events

- Circumstantial: Given the relevant facts, what can or must be

(4) Hydrangeas can grow here.

Ordering source: normative conversational backgrounds like v provides, what is good for you, what is moral, what is normal, etc.

(5) John should exercise everyday.

An Account of Inconsistencies

- Judgments in an imaginary country

Every judge agrees that murder is a crime.

Judge A decided that owners of goats are liable for damage they inflict on flowers and vegetables.

Judge B decided that owners of goats are not liable for damage they inflict on flowers and vegetables.

- Modal base: $f(w) = \emptyset$ (empty).
Accessible worlds = $\bigcap f(w)$ = the set of all possible worlds.
- Ordering source: $g(w)$ = what the law provides
{Murder is a crime, Goat owners are liable, Goat owners are not liable}
- The set of all possible worlds can be partitioned into three types

Type 1	Type 2	Type 3
worlds in which murder is not a crime	worlds in which murder is a crime and goat owners are liable	worlds in which murder is a crime and goat owners are not liable

An Account of Inconsistencies (cont.)

- Type 2 and 3 worlds come closest to the ideal set by the order
Accessible worlds that come closest to the ideal provided by g
= Type 2 \cup Type 3.
- Makes the correct predictions
 - (6) In view of what the judgments provide
 - a. Murder is necessarily a crime.
 - b. Murder is necessarily not a crime.
 - (7) In view of what the judgments provide
 - a. Owners of goats are possibly liable for damage caused by their animals.
 - b. Owners of goats are possibly not liable for damage caused by their animals.

An Account of Samaritan Paradox

- Rethinking the semantics of conditionals

(8) If a murder occurs, the murderer must go to jail.

$$\llbracket \text{if } \alpha, \text{ must } \beta \rrbracket^{f,g} = \llbracket \text{must } \beta \rrbracket^{f',g}, \text{ where for all } w \in W, \\ f'(w) = f(w) \cup \llbracket \alpha \rrbracket^{f,g}$$

The proposition p from the *if*-clause further restricts the access to p -worlds.

- Modal base: $f'(w) = \emptyset \cup \llbracket \text{A murder occurs} \rrbracket$
Accessible worlds = $W \cap \llbracket \text{A murder occurs} \rrbracket$ = a set of worlds in which a murder occurs.
- Ordering Source: $g(w) =$ what the law provides
{No murder occurs, If a murder occurs, the murderer goes to jail}
- The accessible worlds that come closest to what the law provides are restricted to the worlds in which a murder has occurred.

(8) is predicted to be true in w if the murderer goes to jail in all worlds accessible from w in which a murder has occurred.

Division of Labor between Semantics and Pragmatics

- In Kratzer's (1990) system, both semantics and pragmatics are involved in modeling the meaning of modality.

Semantics provides the general structure and the ingredients for the representation of modality, such as a modal base f and an ordering function g .

But the contents of f and g are determined by pragmatics, the latter drawing information from the discourse context and world knowledge.

- This is an example of how semantics and pragmatics interact to determine the full meaning of a natural language expression.