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WALTER BENJAMIN'S PHILOSOPHY OF HISTORY

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1

What is Walter Benjamin's intention in his enigmatic "Theses on the Philosophy of History"? According to Benjamin's friend Gershom Scholem, the "Theses" "he accomplished his awakening from the shock" of the pact. According to Scholem, the "Theses" mark Benjamin's decisive break with historical materialism and a return to the metaphysical-theological concerns of his early thought. What the "Theses" have left in common with historical materialism is "only the iconic relation of the termini technici", "frequently nothing remains of historical materialism except the term itself." The secret core of the theses is in fact, for Scholem, the hope of a leap into transcendence. In support of his interpretation, Scholem cites the first thesis, where Benjamin proposes an alliance between historical materialism and theology. Historical materialism must take its guidance from theology if it is to win the day.

History is likened to a chess game, where historical materialism appears to be in command, whereas in fact it is a mere puppet controlled by the unseen hand of theology. On Scholem's reading of the theses, the angel of history (in thesis IX) cannot make whole again the fragments of history, and therefore Benjamin must have resort, in the last thesis, to the Messiah, who alone can succeed where the angel must fail—namely, in the redemption of history. On this reading, Benjamin appears as a theologian manque, fighting to break out of materialistic categories, who finally forsakes the angel of historical materialism for the Messiah of Jewish theology.

The "Theses" themselves present a very different face. In every one of the theses, Benjamin gives the impression of struggling to define the true nature of historical materialism, as well as struggling to formulate his position in relation to historicism generally. Phrases such as "the historical materialist knows this," "historical materialists must be aware of that," run throughout the "Theses." In each of the theses Benjamin...
seems to be defining the stance of the *self-conscious* historical materialist, as opposed to the false historicism of those who lack a genuine historical consciousness. Benjamin gives the appearance, at least, of someone concerned not to detach himself from materialist categories, nor to repudiate them, but to redefine those categories and to clarify the nature of his allegiance to them.

Historical materialism had always defined itself in terms of revolutionary expectations for the future. Accordingly, the historical past had always been analyzed in terms of *what is to be*. Benjamin strictly reverses this perspective: historical materialism is to be defined by a certain relation to the past, namely, a redemptive relation: Historical materialists are aware that each generation, including the present, is "endowed with a weak Messianic power" (thesis II). The task entrusted to historical materialism is not to make the future, but to save the past. Historical materialism is a way of comporting oneself, not toward the totality of the historical process, but toward certain instants of the historical past: to make the fragments whole again. To be guardian over these moments is the task of the theological-materialist historian.

This conducts us to a second way in which Benjamin redefines historical materialism. One normally associates historical materialism with the idea of history as a rational process, as a dialectical movement, ordered by a purpose. Historical materialism, under the influence of Hegel, is an effort to conceive history as rationally intelligible. But there is nothing of this conception in Benjamin's "Theses": for Benjamin, history is radically fragmented; the task of the angel of history is to establish a redemptive relation to the fragments (thesis IX). This conception is certainly far removed from the idea of history as a rationally intelligible process. But if Benjamin rejects the idea of history as a rational process, by what right does he claim to be speaking as a historical materialist and speaking for historical materialists? Is not Scholem then justified in saying that the "Theses" have nothing in common with historical materialism but the term itself, and that Benjamin uses materialist categories as a cover for reflections that are in fact metaphysical and theological?

I wish to suggest an answer to this question. In his "Theses," Benjamin seeks to define, for the first time, a historical materialist *historiography*. The angel of history described in thesis IX has his face "turned toward the past." That is the direction in which his gaze is pointed, and that is where the angel wants to linger. He would indeed dwell there, if he were not propelled away from the catastrophic past by a historical progression that is really mere frenzy. The angel of history prefers to tarry at the ruins of the past in order to "awaken the dead," to make
whole what has been shattered. To this corresponds the redemptive function of historical reflection, the saving power of remembrance. The historian desires to keep faith with the past, and it is in this that his revolutionary commitment is expressed. Benjamin seeks to explain how one can be both a historian and a historical materialist, without defining or justifying the activity of historiography in terms of the immediate needs of revolutionary action. I believe that this has never been done before, strange as it may seem, and that in this way Benjamin defined a new scope for the historical materialist tradition.

Consider Marx’s historical writings on political events in nineteenth-century France: *The Eighteenth Brumaire of Louis Bonaparte, The Civil War in France,* and *The Class Struggles in France 1848-1850.* It is natural to regard Marx, in these writings, as a political analyst and revolutionary strategist, struggling to decipher the contemporary events of his time so as to plan revolutionary *praxis* on the basis of a better and more informed understanding of what promotes and what blocks effective action. But here one does not view Marx specifically as a historiographer. What is missing from the conventional understanding of these writings is the distinctive relationship of Marx to the workers and revolutionaries whose tragedy he shares and whose failure he relates. Thus Benjamin serves to disclose a further dimension to Marx’s work as a historian, understood as an activity distinct from that of the revolutionary strategist, analyst, and so forth. He helps us to see what Marx is really (implicitly) doing in these historical writings, even though Marx himself might be quite unaware of this dimension.

Marx begins the *Class Struggles in France* as follows: “With the exception of only a few chapters, every more important part of the annals of the revolution from 1848 to 1849 carries the heading: *Defeat of the Revolution!*” But Marx then proceeds to draw progress from defeat: The progress of the revolution required the creation of a powerful, united counter-revolution, which would provide the opponent necessary for the ripening of a genuinely revolutionary party. So the revolutionary actors are defeated, but the revolution is carried forward. According to Benjamin’s seventh thesis, however, one would have to regard Marx as here turning against his own true intention as a historian, and as breaking faith with the actors who are the real concern of Marx’s narrative. To forsake the standpoint of the vanquished, as Marx appears to do here, is to betray the principles of a genuine historical materialist historiography. This is brought out well in Adorno’s commentary on thesis VII:

If Benjamin said that history had hitherto been written from the standpoint of the victor, and needed to be written from that of the vanquished, we might add that
knowledge must indeed present the fatally rectilinear succession of victory and defeat, but should also address itself to those things which were not embraced by this dynamic, which fell by the wayside—what might be called the waste products and blind spots that have escaped the dialectic. It is in the nature of the defeated to appear, in their impotence, irrelevant, eccentric, derisory. This captures what Marx in his historical writings accomplishes implicitly (that is, unso far as he is writing as a historiographer), regardless of how far it may deviate from Marx's own self-understanding as reflected in his explicit statements about what he is doing.

Several commentators on Benjamin cite Marx in the Eighteenth Brumaire: "The social revolution of the nineteenth century cannot draw its poetry from the past, but only from the future. Earlier revolutions required recollections of past world history in order to drug themselves concerning their own content. In order to arrive at its own content the revolution of the nineteenth century must let the dead bury their dead." This passage makes clear what is common to the conceptions of history of Benjamin and Marx as well as what makes them diverge sharply. It is true that for Benjamin, as for Marx, the revolution cannot draw its poetry from the past in the sense that it does not derive its content from previous history. On the other hand, according to our reading of the "Theses," to say (as Marx does) that the dead must simply be left to bury their dead would be to relinquish precisely that which is the revolutionary moment in historical reflection. In this respect, Benjamin must repudiate the idea that the revolution may draw its poetry not from the past but from the future. What hope there is comes not from the future but from a vanquished past that resists domination by the victorious enemy. Therefore it is the duty of the historian to continue "fanning the spark of hope in the past" (thesis VI).

II

What, then, distinguishes historical materialist historiography? Benjamin discusses this in many of the theses, above all in theses XVI-XVII. First of all, historical materialism does not assume a reverential attitude to history, contemplating the flow of historical occurrence with the complacent assurance of continual progress. The latter approach to history is what Benjamin refers to as historicism, the political counterpart to which is the German Social Democratic Party, against which he polemicizes in theses XI-XIII. In theses VI and XII, Benjamin sets up two opposing models of historiography: the first, represented by Ranke, seeks to contemplate "the way it really was." The second,
associated with Nietzsche, proclaims: "We need history, but not the way a spoiled loaf in the garden of knowledge needs it." Ranke's view corresponds to what Benjamin calls historicism, whereas historical materialism is firmly aligned with the conception of historical knowledge ascribed to Nietzsche. According to the outlook of historicism, the truth of history is always "there," awaiting our contemplation. This is the view, cited in thesis V, that "The truth will not run away from us" there is no urgency to historical reflection, for the facts will always be there waiting for us, whenever we find time or impulse for contemplation. This, Benjamin says, "marks the exact point where historical materialism cuts through historicism." For historical materialism, it contrast, the past must be "seized": what is required is "to seize hold of a memory as it flashes up at a moment of danger" (theses V-VI). If we miss the moment, the past is irretrievable. "The true picture of the past flits by" (thesis V). The historical materialist historian is ruled by a perception of the precariousness of the past, and this perception gives to historical reflection the urgency lacked by historicism in its contemplative outlook. As Benjamin puts it in thesis VI, even the dead are not safe from the enemy who never ceases to be victorious, and therefore historiography is an unremitting struggle on behalf of the dead.

Historicism portrays the past as something eternal. "Once upon a time" is a whore in historicism's bordello (thesis XVI). Historicism presupposes a homogeneous, empty time, which it attempts to fill with a mass of data (thesis XVII). The historian "tells the sequence of events like the beads of a rosary" (thesis A). The historical materialist, in contrast to all this, sees history as living and throbbing with revolutionary possibilities, and strives to establish a messianic relation with the past. Universal history (the culmination of historicism) is based on the flow of thoughts; materialistic historiography is based on the arrest of thoughts (thesis XVII). With the latter, historical thinking receives a "shock," and this shock stops it in its tracks. It comes face to face with the revolutionary moment, and is determined to blast the moment out of the homogeneous course of history. This is what Benjamin calls the "Jetztzeit" (now-time), in which the present and past are drawn into a messianic relation (thesis A). Through shock, arrest, and blasting, historical materialism replaces the homogeneous, empty time of historicism with the time filled by Jetztzeit (thesis XIV). Where the historicist sees an inert "chain of events," the historical materialist sees a broken vessel in need of repair, a ruined past in need of salvation, a forsaken ancestor in need of awakening (thesis IX).

What serves, above all, to differentiate materialist historiography from historicism is that the latter is based on the idea of progress (theses VIII-XIII). It was this faith in progress that enabled the Social Demo-
crats to betray the German working class (thesis XI). But historical materialists cannot share this complacent faith in progress. Their reflection on history never forgets the fate of the vanquished and therefore is governed by the tragic knowledge that the cultural treasures that are the spoils of the victors “have an origin which [one] cannot contemplate without horror”: “There is no document of civilization which is not at the same time a document of barbarism” (thesis VII). This awareness shatters any complacency on the part of the historian. Social democracy encourages the notion that one is “moving with the current” (thesis XI), whereas the historical materialist “regards it as his task to brush history against the grain” (thesis VII). Historicism finds security in the continuum of history, while historical materialism seeks those charged moments that explode the continuum of history through revolutionary action (theses XIV-XV). The French Revolution saw a revolution in historical consciousness: it was “a tiger’s leap into the past,” and thus was at the same time a “leap in the open air of history.” Benjamin tells us that this is how Marx himself understood the revolution (thesis XIV).

Benjamin believes that Fascism can only be defeated by shattering all complacency, which is fostered by the Social Democrats’ faith in progress. For this one requires a catastrophic appreciation of history: “The tradition of the oppressed teaches us that the ‘state of emergency’ in which we live is not the exception but the rule” (thesis VIII). History is a sky-high pile of debris and the assertion of progress is meant to deflect our gaze from this unredeemed debris (thesis IX). Historical materialism means that the vanquished are not forgotten, and this means that one is never deterred by the idea of progress from continuing to wage “the fight for the oppressed past” (thesis XVII).

The ever present danger, as Benjamin says in thesis V, is that the image of the past “is never seen again.” This image “flits by,” and therefore must be seized before it is gone. When Benjamin says that “every image of the past that is not recognized by the present as one of its own concerns threatens to disappear irretrievably,” the emphasis is not on “the present’s own concerns,” but on the need to save the past from the threat of irretrievable disappearance. This overriding concern of the “Theses” is expressed most decisively in thesis III: The chronicler of the past should observe the truth that “nothing that has ever happened should be regarded as lost for history.”

III

According to thesis XII, the working class, if it is to remain the bearer of historical knowledge, must keep its attention trained on “the image of
enslaved ancestors rather than that of liberated grandchildren." The transgression of this precept was the unforgivable sin of the Social Democrats, whose treachery was to depict the working class as the redeemers of future generations; by dangling before the working class the prospect that things would get better, it caused the remembrance of past generations to be overshadowed by the contemplation of generations to come. But is this not the case with all progressivist social doctrines, including Marxism? It was, after all, not only the Social Democrats who betrayed their own cause, as Benjamin makes clear enough in thesis X, it is reflection on the deeper and more blatant treachery of the Communists in 1939-40 that nearly tempts one to imitate the retreat from worldly entanglements of the monastic orders.) In its anxiety to liberate the grandchildren, the progressivist ideology risks alienating us from the sufferings of our downtrodden ancestors, who cannot be liberated, but at best, simply remembered. The historiographical task is not to anticipate better times to come, but to hold open the promise of redemption for all moments that have already been. Above all, the renunciation of historicism in favor of historiography means that remembrance shall prevail over soothsaying: "We know that the Jews were prohibited from investigating the future. The Torah and the prayers instruct them in remembrance, however" (thesis B).

Given that the "Theses" are animated by antihistorical pessimism, if not antihistorical despair, the continued adherence to Marx's authority (invoked in theses IV, XI, XII, and XIV) remains something of a puzzle. Christian Lenhardt correctly notes that in the "Theses" Benjamin asserts with carefree assurance the claim that Marx, together with Blanqui and in stark opposition to social democracy, shares his understanding of the meaning of revolution, without any effort on Benjamin's part to furnish textual substantiation of the claim. However, there is at least one passage (from the Nachlass) in which Benjamin appears to concede that his critique of the idea of progress places a measure of critical distance between his conception of historical materialism and that of Marx: He begins by quoting Marx to the effect that revolutions are the locomotive of world history. But then he suggests that maybe it is entirely otherwise, that maybe revolutions exhibit the human race reaching for the emergency brake. This recalls thesis XVI: "A historical materialist cannot do without the notion of a present which is not a transition, but in which time stands still and has come to a stop." (And in thesis XV, time is arrested by revolutionary action; thesis XVII speaks of a messianic cessation of happening.) According to Marx's metaphor, the historical process is a train journey powered by revolution, whereas it is this very
train journey that must be brought to a halt in order to realize Benjamin's vision of historical materialism. The Benjaminian historiographer wishes to get off this train because, far from being elated by the destination it promises, he continues to be troubled by the whistle-stops that have been left behind.

Despite the fragmentary and tentative character of the "Theses," it would be hard to overstate the importance of the text, not only for the understanding of Benjamin's own work, but also, as we have suggested above, for the disclosure of a unique and unprecedented concept of historiography that is of more general significance. On the other hand, it is not easy to locate the precise status of the "Theses." It appears that Benjamin was averse to the idea of their publication, for, he said, "it would leave the door wide open to enthusiastic misunderstanding." Benjamin claimed that he kept the ideas of the "Theses" to himself for 20 years, but it is not quite true that he strictly kept them to himself: Some of the key formulations of the "Theses" were already stated in his essay on "Edward Fuchs, Collector and Historian" (1937), and the redemptive conception of history goes all the way back to the "Theologico-Political Fragment" (1920-21). As for the question of the further elaboration of the "Theses," there are indications that Benjamin intended to develop the "Theses" in the direction of a more general critique of the idea of progress.

It is clear that Benjamin himself was convinced of the vital methodological role of the "Theses." He describes the work as a "theoretical armature" for one of the Baudelaire essays that he had done for the Institute for Social Research (whereas, according to thesis XVII, universal history—the mode of historiography practised by historicism—is incapable of being furnished with a theoretical armature). The "method" that the "Theses" adumbrate is as follows: "to blast a specific era out of the homogeneous course of history—blasting a specific life out of the era or a specific work out of the lifework" (thesis XVII). The result of this method is the Aufhebung in this work of the lifework; in the lifework, the era; and in the era, the entire course of history. "A historical materialist approaches a historical subject only where he encounters it as a monad."

In letters to Scholem and Adorno, Benjamin spoke of the need for an epistemological underpinning for his most ambitious project, the major work on the Paris Arcades, and several commentators view the "Theses" as supplying the required epistemology. In the words of Susan Buck-Morss, "it was intended as a methodological introduction to the 'Arcades' project," and as such, "it instructs the reading of his own work." And Adorno goes so far as to claim that the "Theses" constitute one of the few completed portions of the sprawling and unconsummated
Arcades project. Assuming that these conjectures are correct, the "Theses" should ultimately be read in the context of the work on the Arcades (the Nachlass of which has recently been published). Here Benjamin is himself writing as a cultural historian. He must therefore address the questions: What is cultural history? What political justification can it have? How can the activity of the historian be reconciled with any kind of revolutionary political commitment? How can preoccupation with a past that cannot be changed contribute anything to the task of changing the world? Above all, Benjamin must clarify to himself why a historical materialist should concern himself at all with writing the cultural history of nineteenth-century bourgeois Paris.

Perhaps the "Theses" do not shed very much light on what it means, in general, to be a historical materialist, but they do at least clarify what it meant for Benjamin to be a historical materialist. To the question "Why does a revolutionary write history?" Benjamin is able, on the basis of the "Theses," to answer: "To save the dead from oblivion." In one of the notes from the Arcades project we read: "Those who are alive at any given time see themselves in the midday of history. They are obliged to prepare a banquet for the past. The historian is the herald who invites those who are departed to the table." The "Theses on the Philosophy of History" were the very last of Benjamin's writings. He left the manuscript of the "Theses" with Hannah Arendt, who relayed it to Adorno in America for eventual publication: in the mimeographed memorial volume produced by the Frankfurt School's Institute for Social Research in 1942; in a French version (translated by Benjamin himself) in 1947; and finally in a German periodical in 1950. A recent biography of Arendt tells the story of how the "Theses" were borne, like a precious relic, from Lisbon to New York. Arendt's biographer recounts how the "Theses" were read aloud among a group of refugees in Lisbon while awaiting the ship that would carry them away from Nazi-overrun Europe. Accordingly, the "Theses" are worthy of reflection, not only as a literary text, but also as a historical document.

Jürgen Habermas has described the "Theses" as "one of the most moving testimonies of the Jewish spirit." Perhaps the "Theses" succeed in capturing this spirit because they were, almost literally, produced in flight. The "Theses" were written in early 1940, after Benjamin had been released from an internment camp in France. Only several months later Benjamin would be on the run from the Gestapo, as the Nazis occupied France. Benjamin failed to make his escape: His flight from Europe was blocked at the Franco-Spanish border, where he took his life rather than risk the possibility of being handed over to the Gestapo. The story of his suicide, as related by Scholem and Arendt, is unspeakably tragic. When
Benjamin wrote his “Theses,” the problem of how and by what means to flee from a Europe being swept by the Nazis was all consuming; the Hitler-Stalin pact was still in force. Benjamin’s fate was not unlike that of the angel of history, chased by the storm of progress away from a time piled high with catastrophe.

NOTES

1. Although the work is commonly known by the title “Theses on the Philosophy of History” (Geschichtsphilosophische Thesen), the more authentic title seems to be: “On the Concept of History” (Über den Begriff der Geschichte). The English translation is in Illuminations, ed. Hannah Arendt (Fontana/ Collins, 1973), pp. 255-266. For the original texts and accompanying notes, see Gesammelte Schriften, eds. Rolf Tiedemann and Hermann Schweppenbauer (Frankfurt: Suhrkamp Verlag, 1972-1982), Bd. 1, 2, pp. 691-704 and Bd. 1, 3, pp. 1223-1266.


4. Ibid., p. 231.

5. Ibid., p. 235.

8. See Arendt, Introduction to Illuminations, 1973, pp. 12-13; see also Scholem, 1976, pp. 233-234, on the kabbalistic idea of tikkun (the messianic restoration rendered necessary by the "breaking of the vessels"). In this essay I take for granted, without further explicit discussion, that much of the imagery of the "Theses" is drawn from the theological concepts of Jewish mysticism. Elisabeth Young-Bruehl has claimed that the "Theses" were actually written in response to Scholem's book, Major Trends of Jewish Mysticism. See Young-Bruehl, Hannah Arendt: For Love of the World (New Haven: Yale University Press, 1982), p. 161.

9. Theodor Adorno, Minima Moralia, no. 98 (London: New Left Books, 1974), p. 151. The quotation continues: "What transcends the ruling society is not only the potentiability it develops but also all that which did not fit properly into the laws of historical movement. Theory must needs deal with cross-grained, opaque, unassimilated material, which as such admittedly has from the start an anachronistic quality, but is not wholly obsolete since it has outwitted the historical dynamic."


11. This repudiation of universal history is succinctly expressed by Adorno. "No universal history leads from savagery to humanitarianism, but there is one leading from the slingshot to the megaton bomb." Negative Dialectics (New York: Seabury Press, 1973), p. 320; quoted by Richard Wolin, 1982, p. 270.

12. There are important analogies to be drawn between Benjamin's philosophy of history and Hannah Arendt's idea of judging, as formulated in the texts collected in Lectures on Kant's Political Philosophy, ed. Ronald Beiner (Chicago: University of Chicago Press, 1982). Arendt, too, was committed to anti-historiographic historiography. Although her reflections were presented as a general account of judging as a mental faculty, what she really offers is a theory of historical judgment. Arendt was concerned with the judgment of the political spectator reflecting on "what has been," a capacity of reflective judgment exemplified preeminently in the activity of the historian. An adequate theory of historical judgment, she implies, depends upon defeating the assumptions, which she associates with Hegel and Marx, that there is such a thing as progress of the human race and that all things should be measured by the criterion of success. Against such historicist assumptions, she appeals to the autonomy of the judging spectator. Autonomous judgment is identified with what she calls the "backward glance" of the historian (as opposed to Hegel's Weltgeschichte as Weltgericht: judgment pronounced by the course of world history). Historiography redeems those who are left behind by the historical process. This Benjaminian conception is expressed perfectly in the epigram she quotes from Cato the Elder: "The victorious cause pleased the gods, but the defeated one pleases Cato" (Beiner, 1982, pp. 4-5). For specific discussion of some of the parallels between Benjamin and Arendt, see my interpretive essay included in the volume, pp. 155-156.

13. For a critique of mainstream Marxism from the point of view of thesis XII, see Christian Lenhardt, "Anamnetic Solidarity: The Proletariat and its Manes," Telos, 25 (Fall 1975), pp. 133-154. Lenhardt's citations from Horkheimer are also relevant in this context.

14. Cf. Tiedemann and Schwenkenhauser, 1972-1982, 1, 3, p. 1228, on Brecht's misunderstanding of the import of thesis X, and Tiedemann, 1983-84, pp. 71, 90, and 103n. (note 60), where Tiedemann argues convincingly that the main, if unspoken, target of
thesis X is not the Social Democrats, as the subsequent theses would lead one to believe, but rather the Communists.

15. Lenhardt, "Anamnestic Solidarity," pp. 137, 137n. (note 7), pp. 146-148 (which includes discussion of the passages from the Eighteenth Brumaire cited above). Tiedemann says that "Benjamin is inconclusive regarding the relationship of his concept of history to that of Marx," but Tiedemann leaves little doubt about how he believes the equivocation is resolved. Whereas Blanqui is—rather implausibly—paired with Marx in thesis XII, it is clear that in the formulation of Benjamin's concept of revolution, Blanqui wins out over Marx. Despite what Benjamin says in thesis XIV, for Marx revolution is not a "leap in the open air of history." See Tiedemann, 1983-84, pp. 93, 95-96, and 103n. (note 67).


17. For a similar judgment of the significance of the "Theses," see Richard Wolin, 1982, chapter eight.


23. Adorno, 1982, p. 239.


