

# Gregory K. Dow

June 2023

## Contact Information

Department of Economics  
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Pronouns: he, him, his

## Education

PhD in economics, 1981, University of Michigan

MPP, 1977, Institute of Public Policy Studies, University of Michigan

BA in sociology, 1975, Amherst College

## Research Fields

Economic prehistory, labor-managed firms, microeconomic theory, institutions.

## Employment History

May 2022 - present	Emeritus professor, Simon Fraser University
July 1995 - Apr 2022	Professor of economics, Simon Fraser University
July 1990 - June 1995	Professor of economics, University of Alberta
July 1986 - June 1990	Associate professor of economics, University of Alberta
July 1981 - June 1986	Assistant professor of economics, Yale University; joint appointment with Institution for Social and Policy Studies
July 1980 - June 1981	Lecturer in economics, Yale University

### **Administrative Positions**

Sep 2001 - Aug 2006	Chair, Department of Economics, Simon Fraser University
Sep 1999 - Aug 2000	Associate chair, Department of Economics, Simon Fraser University
Sep 1996 - Aug 2000	Undergraduate chair, Department of Economics, Simon Fraser University
Jan 1989 - June 1990	Associate chair and director of graduate program, Department of Economics, University of Alberta
July 1981 - June 1985	Director of undergraduate studies, joint major in economics and political science, Yale University

### **Other Administrative Activities**

July 2015 - June 2017	Trustee, and chair starting in July 2016, Academic Pension Plan, SFU
Feb 2012 - Mar 2012	Internal member, external review committee for the SFU Department of Archaeology
Apr 2010 - Dec 2010	Member of SFU Dean Search Committee, elected from Faculty of Arts and Social Sciences
June 2008 - May 2011	Member of SFU Senate, elected from Faculty of Arts and Social Sciences
June 2009 - May 2011	Member of SFU Senate Committee on University Priorities
June 2008 - May 2011	Member of SFU Senate Committee on Enrolment Management and Planning
June 2008 - May 2010	Member of SFU Senate Nominations Committee
May 2008 - June 2008	Chair of Dean's budget task force
Nov 2007 - Apr 2008	Chair of Dean's committee on curriculum overlap

Jan 2005 - Apr 2005      Member of Vice President-Research's task force to develop SFU strategic research plan

Jan 2005 - June 2005      Member of Dean's committee for new curriculum development and hiring at SFU Surrey campus

### **Visiting Appointments**

Jan 2019 - Mar 2019      Visiting research fellow, Institute of Advanced Studies, University College London, UK

Sep 2018 - Dec 2018      Visiting scholar, Department of Economics, and visitor of the Standing Committee of Archaeology, Harvard University, Cambridge, Massachusetts

Apr 2001      Visiting professor, School of Economics, University of New South Wales, Sydney, Australia

Oct 2000 - Nov 2000      Visiting professor, Erasmus Institute for Philosophy and Economics; Faculty of Economics; and Faculty of Business; at Erasmus University, Rotterdam, The Netherlands

Sep 1992 - Dec 1992      Visiting research fellow, Swedish Collegium for Advanced Study in the Social Sciences, University of Uppsala, Sweden

July 1984 - Aug 1984      Visiting research scientist, Survey Research Center, University of Michigan

June 1983 - July 1983      Assistant research scientist, Survey Research Center, University of Michigan

### **Books**

*Economic Prehistory: Six Transitions That Shaped The World* (with Clyde G. Reed), Cambridge University Press, New York, 2022 (ebook published Feb 2023, hard cover published March 2023), xxiii + 585.

*The Labor-Managed Firm: Theoretical Foundations*, Cambridge University Press, New York, 2018 (hard cover), 2019 (paperback and ebook), xvi + 413.

Co-editor (with Andrew Eckert and Douglas S. West), *Industrial Organization, Trade, and Social Interaction: Essays in Honour of B. Curtis Eaton*, University of Toronto Press, Toronto, 2010 (hard cover and ebook), viii + 300.

*Governing the Firm: Workers' Control in Theory and Practice*, Cambridge University Press, New York, 2003 (hard cover, paperback, and ebook), xv + 323.

## Refereed Articles

The economics of early inequality (with Clyde G. Reed), *Philosophical Transactions of the Royal Society B: Biological Sciences* 378(1883), August 14, 2023, published online June 26, 2023, DOI 10.1098/rstb.2022.0293.

The labor-managed firm, Oliver Williamson, and me, *Journal of Institutional Economics* 18(2), April 2022, 219-236; published online June 7, 2021, 1-18, DOI 10.1017/S1744137421000448.

The labor-managed firm, Jaroslav Vanek, and me, *Journal of Participation and Employee Ownership* 3(2/3), 2020, 123-134; DOI 10.1108/JPEO-07-2020-0020.

The theory of the labor-managed firm: Past, present, and future, *Annals of Public and Cooperative Economics* 89(1), March 2018, 65-86; published online January 31, 2018, DOI 10.1111/apce.12194.

The economics of early warfare over land (with Leanna Mitchell and Clyde G. Reed), *Journal of Development Economics* 127, July 2017, 297-305; published online April 25, 2017, DOI 10.1016/j.jdeveco.2017.04.002.

The economics of exogamous marriage in small-scale societies (with Clyde G. Reed and Simon Woodcock), *Economic Inquiry* 54(4), October 2016, 1805-1823; published online February 23, 2016, DOI 10.1111/ecin/12321.

The origins of sedentism: Climate, population, and technology (with Clyde G. Reed), *Journal of Economic Behavior and Organization* 119, November 2015, 56-71; published online August 27, 2015, DOI 10.1016/j.jebo.2015.07.007.

Partnership markets with adverse selection, *Review of Economic Design* 18(2), June 2014, 105-126; published online June 20, 2013, DOI 10.1007/s10058-013-0145-y.

The origins of inequality: Insiders, outsiders, elites, and commoners (with Clyde G. Reed), *Journal of Political Economy* 121(3), June 2013, 609-641.

Stagnation and innovation before agriculture (with Clyde G. Reed), *Journal of Economic Behavior and Organization* 77(3), March 2011, 339-350.

Climate reversals and the transition to agriculture (with Clyde G. Reed and Nancy Olewiler), *Journal of Economic Growth* 14(1), March 2009, 27-53.

Collective choice and control rights in firms (with Gilbert L. Skillman), *Journal of Public Economic Theory* 9(1), February 2007, 107-125.

The firm as a nexus of strategies, *International Game Theory Review* 6(4), December 2004, 525-554.

The ultimate control group, *Journal of Economic Behavior and Organization* 49(1), September 2002, 39-49.

Allocating control over firms: Stock markets versus membership markets, *Review of Industrial Organization* 18(2), March 2001, 201-218.

Why capital suppliers (usually) hire workers: What we know and what we need to know (with Louis Putterman), *Journal of Economic Behavior and Organization* 43(3), November 2000, 319-336.

On the neutrality of asset ownership for work incentives, *Journal of Comparative Economics* 28(3), September 2000, 581-605.

Replicating Walrasian equilibria using markets for membership in labor-managed firms, *Economic Design* 2(1), 1996, 147-162.

Stable social conventions in fluctuating payoff environments, *Structural Change and Economic Dynamics* 5(2), December 1994, 243-272.

Monitoring costs in Chinese agricultural teams (with Xiao-yuan Dong), *Journal of Political Economy* 101(3), June 1993, 539-553.

Does free exit reduce shirking in production teams? (with Xiao-yuan Dong), *Journal of Comparative Economics* 17(2), June 1993, 472-484.

Why capital hires labor: A bargaining perspective, *American Economic Review* 83(1), March 1993, 118-134.

The organization as an adaptive network, *Journal of Economic Behavior and Organization* 14(2), October 1990, 159-185.

Knowledge is power: Informational precommitment in the capitalist firm, *European Journal of Political Economy* 5(2/3), 1989, 161-176.

Non-cooperative bargaining in the theory of the firm: Some recent developments, *Review of Radical Political Economics* 20(2/3), Summer-Fall 1988, 171-176.

The evolution of organizational form: Selection, efficiency, and the new institutional economics, *Economic Analysis and Workers' Management* 22(3), 1988, 139-167.

Information, production decisions, and intra-firm bargaining, *International Economic Review* 29, February 1988, 57-79.

Configurational and coactivational views of organizational structure, *Academy of Management Review* 13(1), January 1988, 53-64.

The function of authority in transaction cost economics, *Journal of Economic Behavior and Organization* 8(1), March 1987, 13-38.

Stability analysis for profit-responsive selection mechanisms, *Mathematical Social Sciences* 12(2), October 1986, 169-183.

Control rights, competitive markets, and the labor management debate, *Journal of Comparative Economics* 10(1), March 1986, 48-61.

Internal bargaining and strategic innovation in the theory of the firm, *Journal of Economic Behavior and Organization* 6(3), September 1985, 301-320.

Myopia, amnesia, and consistent intertemporal choice, *Mathematical Social Sciences* 8(2), October 1984, 95-109.

Pools and streams: A stochastic process model of applied research, *Journal of Economic Dynamics and Control* 8(1), October 1984, 117-133.

A theoretical framework for the measurement of well-being (with F. Thomas Juster and Paul N. Courant), *Review of Income and Wealth* 27(1), March 1981, 1-31.

## **Book Chapters**

Workers' control in action (I) and (II), in John Pencavel, ed., *The Economics of Worker Cooperatives*, Edward Elgar Publishing Ltd, Cheltenham, UK, 2013 (originally in *Governing the Firm: Workers' Control in Theory and Practice*, chs. 3-4, Cambridge University Press, New York, 2003, 45-91).

Introduction (with Andrew Eckert and Douglas S. West), ch. 1 in Gregory K. Dow, Andrew Eckert, and Douglas S. West, eds., *Industrial Organization, Trade, and Social Interaction: Essays in Honour of B. Curtis Eaton*, University of Toronto Press, Toronto, 2010, 3-15.

Worker participation and adverse selection, ch. 10 in Gregory K. Dow, Andrew Eckert, and Douglas S. West, eds., *Industrial Organization, Trade, and Social Interaction: Essays in Honour of B. Curtis Eaton*, University of Toronto Press, Toronto, 2010, 203-222.

Signalling risk tolerance: Nuclear arsenals and alliance formation in the Cold War (with Cliff T. Bekar, Clyde G. Reed, and Joshua Stine), ch. 11 in Gregory K. Dow, Andrew Eckert, and Douglas S. West, eds., *Industrial Organization, Trade, and Social Interaction: Essays in Honour of B. Curtis Eaton*, University of Toronto Press, Toronto, 2010, 223-242.

The function of authority in transaction cost economics, ch. 13 in Claude Menard, ed., *Institutional Dimensions of the Modern Corporation*, vol. 5 of *The International Library of the New Institutional Economics*, Edward Elgar, Cheltenham, UK, 2005 (originally in *Journal of Economic Behavior and Organization* 8(1), March 1987, 13-38).

The appropriability critique of transaction cost economics, ch. 15 in Claude Menard, ed., *Controversies and Challenges in the New Institutional Economics*, vol. 7 of *The International Library of the New Institutional Economics*, Edward Elgar, Cheltenham, UK, 2004, 239-270 (originally in Christos Pitelis, ed., *Transaction Costs, Markets and Hierarchies*, ch. 6, Blackwell, Oxford, 1993, 101-132).

The function of authority in transaction cost economics, ch. 57 in Nicolai J. Foss, ed., *The Theory of the Firm: Critical Perspectives on Business and Management*, vol. 4, Routledge, Taylor and Francis Group, London and New York, 2000, 399-424 (originally in *Journal of Economic Behavior and Organization* 8(1), March 1987, 13-38).

Does free exit reduce shirking in production teams? (with Xiao-yuan Dong), in Justin Lin, *Institutions, Technology, and China's Agricultural Development* (in Chinese), Beijing University Press, Beijing, China, 2000, 327-344 (originally in *Journal of Comparative Economics* 17(2), June 1993, 472-484).

Employee ownership: An empirical exploration (with Avner Ben-Ner, W. Allen Burns, and Louis Putterman), ch. 6 in Margaret M. Blair and Thomas A. Kochan, eds., *The New Relationship: Human Capital in the American Corporation*, Brookings Institution, Washington, DC, 2000, 194-233.

Why capital (usually) hires labor: An assessment of proposed explanations (with Louis Putterman), ch. 1 in Margaret M. Blair and Mark J. Roe, eds., *Employees and Corporate Governance*, Brookings Institution, Washington, DC, 1999, 17-57.

The new institutional economics and employment regulation, ch. 2 in Bruce E. Kaufman, ed., *Government Regulation of the Employment Relationship*, Industrial Relations Research Association, Madison, WI, 1997, 57-90.

Control rights, competitive markets, and the labor management debate, ch. 27 in David L. Prychitko and Jaroslav Vanek, eds., *Producer Cooperatives and Labor-Managed Systems Volume I*, Edward Elgar, Cheltenham, UK, 1996 (originally in *Journal of Comparative Economics* 10(1), March 1986, 48-61).

Authority relations in the firm: Review and agenda for research, ch. 9 in John Groenewegen, ed., *Transaction Cost Economics and Beyond*, Kluwer, Boston, 1996, 171-188.

The appropriability critique of transaction cost economics, ch. 6 in Christos Pitelis, ed., *Transaction Costs, Markets and Hierarchies*, Blackwell, Oxford, 1993, 101-132.

Democracy versus appropriability: Can the labor-managed firm flourish in a capitalist world? ch. 11 in Samuel Bowles, Herbert Gintis, and Bo Gustafsson, eds., *Markets and Democracy: Participation, Accountability and Efficiency*, Cambridge University Press, New York, 1993, 176-195.

A conceptual framework for the analysis of time allocation data (with F. Thomas Juster and Paul N. Courant), ch. 6 in F. Thomas Juster and Frank P. Stafford, eds., *Time, Goods and Well-Being*, Institute for Social Research, University of Michigan, Ann Arbor, MI, 1985, 113-131.

Goods, time, and well-being: The joint dependence problem (with F. Thomas Juster), ch. 16 in F. Thomas Juster and Frank P. Stafford, eds., *Time, Goods and Well-Being*, Institute for Social Research, University of Michigan, Ann Arbor, MI, 1985, 397-413.

The theory and measurement of well-being: A suggested framework for accounting and analysis (with F. Thomas Juster and Paul N. Courant), ch. 2 in F. Thomas Juster and Kenneth C. Land, eds., *Social Accounting Systems*, Academic Press, New York, 1981, 23-94.

## **Book Reviews**

Review of M. Aoki, Toward a Comparative Institutional Analysis, *Journal of Economic Behavior and Organization* 52(4), 2003, 598-601.

Review of B. Jossa and G. Cuomo, The Economic Theory of Socialism and the Labour-Managed Firm, *Journal of Economic Literature* 36(2), June 1998, 992-994.



Review of D. Prychitko and J. Vanek, eds., *Producer Cooperatives and Labor-Managed Systems*, 2 vols., *Review of Social Economy* 56(2), Summer 1998, 221-227.

Review of M. Rutherford, *Institutions in Economics: The Old and the New Institutionalism*, *Canadian Journal of Economics* 29(1), February 1996, 248-251.

Review of U. Maki, B. Gustafsson, and C. Knudsen, eds., *Rationality, Institutions, and Economic Methodology*, *Journal of Economic Behavior and Organization* 23(2), March 1994, 240-244.

Review of P. Rubin, *Managing Business Transactions*, *Managerial and Decision Economics* 14(1), January-February 1993, 91-93.

Review of S. Brams, *Negotiation Games*, *Journal of Economic Literature* 30(2), June 1992, 894-895.

Review of A. Stinchcombe, *Information and Organizations*, *Journal of Institutional and Theoretical Economics* 148(2), June 1992, 355-356.

Review of O. Williamson and S. Winter, eds., *The Nature of the Firm: Origins, Evolution, and Development*, *Business History Review* 65(4), Winter 1991, 990-992.

Review of M. Osborne and A. Rubinstein, *Bargaining and Markets*, *Canadian Journal of Economics* 24(2), May 1991, 494-497.

Review of G. Hodgson, *Economics and Institutions*, *Journal of Economic Behavior and Organization* 15(1), January 1991, 160-164.

Review of G. Donaldson and J. W. Lorsch, *Decision Making at the Top: The Shaping of Strategic Direction*, *Journal of Economic Behavior and Organization* 7(2), June 1986, 217-219.

## **Other Research**

Comments on Martin Weitzman's *The Share Economy*, in "The Share Economy: A Symposium," *Journal of Comparative Economics* 10(4), December 1986, 438-439, 442, 465.

Notes on the theory and measurement of well-being (with F. Thomas Juster) in *The Economic Outlook for 1980*, Department of Economics, University of Michigan, Ann Arbor, MI, 1980.

*CETA Cost Analysis: Final Report*, prepared for Office of the Assistant Secretary for Policy, Evaluation and Research, U.S. Department of Labor, contract no. B-9-D-6-4551, National Technical Information Service, 1977.

### **Reviews of “Governing the Firm: Workers’ Control in Theory and Practice”**

Doucouliaagos, Chris, 2004, *The Economic Record* 80(251), December, 469-470.

Garino, Gaia, 2004, *The Economic Journal* 114(499), November, F555-556.

Hunter, Laurie, 2004, *British Journal of Industrial Relations* 42(2), June, 404-406.

Wheeler, Hoyt N., 2003, *Comparative Labor Law and Policy Journal* 24(3), Spring, 529-531.

### **Ph.D. Theses**

Senior Supervisor:

Duman Bahrami-Rad, *The Origins and Consequences of Kin Networks and Marriage Practices*, Department of Economics, Simon Fraser University, completed 2018.

Bogdan Buduru, *The Political Economy of Transition in Eastern Europe*, Department of Economics, Simon Fraser University, completed 2007.

Ken Carlaw, *Uncertainty and Complementarity Lead to Scale Effects in the Production of Capital*, Department of Economics, Simon Fraser University, completed 2000.

Xiao-yuan Dong, *Incentives in China’s Collective Farming*, Department of Economics, University of Alberta, completed 1990.

Committee Member:

Liang Diao, *Collective Defense by Common Property: The Rise and Fall of the Kibbutz*, Department of Economics, Simon Fraser University, completed 2021.

Leanna Mitchell, *Foundations of Human Economic Institutions*, Department of Economics, Simon Fraser University, completed 2021.

Mahsa Akbari, *Three Essays on the Economics of Family Ties*, Department of Economics, Simon Fraser University, completed 2019.

Haiyun Kevin Chen, *Essays on the Economics of Linguistic Diversity and Preference for Surprise*, Department of Economics, Simon Fraser University, completed 2017.

Aidin Hajikhameneh, *Three Essays on Experimental Economics and Economic History*, Department of Economics, Simon Fraser University, completed 2016.

Nikolaus Kasimatis Robalino, *Essays on Decentralized Trade and the Biological Foundations of Economics*, Department of Economics, Simon Fraser University, completed 2015.

Ideen Riahi, *Essays on Institutions and Development*, Department of Economics, Simon Fraser University, completed 2014.

Giuseppe Danese, *Three Essays in Experimental Economics*, Department of Economics, Simon Fraser University, completed 2014.

Russell Ovans, *A Multiagent Solution to the Venue Equalization Problem*, School of Computing Science, Simon Fraser University, completed 2002.

Lana Friesen, *Improving Compliance with Environmental Regulations*, Department of Economics, Simon Fraser University, completed 1999.

Laura Meriluoto, *Negative Externalities in Communication Networks*, Department of Economics, Simon Fraser University, completed 1999.

Vlad Dvoracek, *Three Essays in Market Microstructure*, Department of Economics, Simon Fraser University, completed 1999.

Alan Kwan, *A Reference Point Theory of Decision-making Under Uncertainty*, Department of Economics, University of Alberta, completed 1994.

David Stangeland, *Issues in Corporate Control and the Performance of Corporations*, Faculty of Business, University of Alberta, completed 1994.

John Morley, *Unfairness and Deception in Appliance Markets*, Department of Economics, Yale University, completed 1986.

## **Professional Activities**

Journal editing:

Associate editor, *Journal of Economic Behavior and Organization*, July 1993 - July 2007.

Member, editorial board, *Journal of Comparative Economics*, Jan 2004 - Dec 2006.

Member, editorial board, *Canadian Journal of Economics*, July 1995 - June 1998.

Book review editor, *Journal of Economic Behavior and Organization*, Jan 1988 - June 1993.

#### Research grants:

Social Sciences and Humanities Research Council of Canada, "Economic Prehistory: Sedentism, Agriculture, Inequality, Warfare, and the State" (principal investigator, with Clyde G. Reed as co-investigator), June 2016 - May 2020.

Office of Vice President-Research, SFU, "Economic Prehistory: Early Transitions to Sedentism, Agriculture, Inequality, Warfare, and the State", 2014-2016.

Human Evolutionary Studies Program, one of seven principal investigators, funded internally by SFU Community Trust Endowment Fund, 2011-2016.

Social Sciences and Humanities Research Council of Canada, "Economic Prehistory: Foraging, Agriculture, Inequality, and Hierarchy" (principal investigator, with Clyde G. Reed as co-investigator), Apr 2007 – Mar 2011.

MacArthur Foundation, "Why Capital (Usually) Hires Labor: An Assessment of Recent Hypotheses" (with Louis Putterman), Oct 1995 - Aug 1996.

Social Sciences and Humanities Research Council of Canada, "The Economics of Organization: Coordination, Conflict, and Compliance," Apr 1996 - Mar 1999.

Social Sciences and Humanities Research Council of Canada, "Sequential Bargaining and the Business Cycle," July 1990 - Aug 1992.

Social Sciences and Humanities Research Council of Canada, "Authority Within the Firm: Why Capital Manages Labour," July 1988 - June 1989.

#### Contracts:

Brookings Institution, "Bargaining, Efficiency Wages and the Theory of the Firm," Sep 1996 - Feb 2000.

#### Journal submissions refereed for:

Administrative Science Quarterly  
Advances in the Economic Analysis of Participatory and Labor-Managed Firms  
American Economic Review  
Annals of Public and Cooperative Economics  
Archaeological and Anthropological Sciences  
Bell Journal of Economics  
Cambridge Journal of Economics  
Canadian Journal of Economics  
Comparative Economic Studies  
Current Anthropology  
Econometrica  
Economic Analysis  
Economic Inquiry  
Economic Journal  
Economic Systems  
Economica  
Economics Bulletin  
European Journal of Political Economy  
Human Systems Management  
International Economic Review  
International Journal of Industrial Organization  
Journal of Comparative Economics  
Journal of Conflict Resolution  
Journal of Development Economics  
Journal of Economic Behavior and Organization  
Journal of Economic Education  
Journal of Economic Growth  
Journal of Economic Methodology  
Journal of Economic Theory  
Journal of Economics  
Journal of Institutional Economics  
Journal of Institutional and Theoretical Economics  
Journal of Labor Economics  
Journal of Law, Economics, and Organization  
Journal of Participation and Employee Ownership  
Journal of Political Economy  
Metroeconomica  
Nature  
Politics, Philosophy and Economics  
Proceedings of the National Academy of Sciences, USA  
Quarterly Journal of Economics  
Review of Economics and Statistics  
Review of Industrial Organization  
Review of Social Economy  
Scandinavian Journal of Economics

Book manuscripts or proposals refereed for:

Academic Press  
Columbia University Press  
Oxford University Press  
Palgrave Macmillan  
Pluto Press  
Princeton University Press  
Routledge  
Springer  
University of Chicago Press  
University of Michigan Press

Grant proposals refereed for:

National Science Foundation  
Social Sciences and Humanities Research Council of Canada

External tenure/promotion referee for:

Bates College  
Claremont Graduate University  
Dalhousie University  
McMaster University  
University of Alberta  
University of Connecticut  
University of Guelph  
University of Minnesota  
University of Southern California  
University of Victoria  
Wesleyan University

Awards:

Honorable Mention, Joyce Rothschild Book Prize, 2022, for books making "a very significant contribution to the advancement of economic democracy", for "The Labor-Managed Firm: Theoretical Foundations", Cambridge University Press, New York, 2018.

Cormack Teaching Award, Faculty of Arts and Social Sciences, Full Professor category, Simon Fraser University, 2016.

Dean's Medal for Academic Excellence, Faculty of Arts and Social Sciences, Simon Fraser University, 2008.

Other:

Member, Executive Council, Canadian Economics Association, 2014-2017.

Co-organizer, Second Conference on Early Economic Developments, Simon Fraser University, Vancouver campus, July 24-26, 2009.

Co-organizer, Conference in Honour of B. Curtis Eaton, Simon Fraser University, Vancouver campus, June 4-5, 2008.

Co-organizer, Canadian Economic Theory Conference, Simon Fraser University, Vancouver campus, May 24-26, 1996.

Invited to submit nominations for Nobel Prize in Economics by Royal Swedish Academy of Sciences, 1997, 1998.