

Analysis of counts with two latent classes, with application to risk assessment based on physician-visit records of cancer survivors

Supplementary Materials

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1. SECTION A. APPLICATION OF EM ALGORITHM

This section presents the EM algorithm to compute the MLE in Section 3.1, adapted via the “full data” as $\{(N_i, \eta_i, T_i, Z_i) : i = 1, \dots, n\}$ and the log-likelihood function based on the full data

$$l(\alpha, \beta, \theta; \mathbf{N}, \boldsymbol{\eta} | \mathbf{T}, \mathbf{Z}) = l_1(\alpha; \boldsymbol{\eta} | \mathbf{Z}) + l_2(\beta; \mathbf{N}, \boldsymbol{\eta} | \mathbf{T}, \mathbf{Z}) + l_3(\theta; \mathbf{N}, \boldsymbol{\eta} | \mathbf{T}, \mathbf{Z}), \quad (1.1)$$

where

$$l_1(\alpha; \boldsymbol{\eta} | \mathbf{Z}) = \sum_{i=1}^n \left[\eta_i \log p(Z_i; \alpha) + (1 - \eta_i) \log [1 - p(Z_i; \alpha)] \right], \quad (1.2)$$

$$l_2(\beta; \mathbf{N}, \boldsymbol{\eta} | \mathbf{T}, \mathbf{Z}) = \sum_{i=1}^n \eta_i \log P(N_i | \eta_i = 1, T_i, Z_i; \beta), \quad (1.3)$$

and

$$l_3(\theta; \mathbf{N}, \boldsymbol{\eta} | \mathbf{T}, \mathbf{Z}) = \sum_{i=1}^n (1 - \eta_i) \log P(N_i | \eta_i = 0, T_i, Z_i; \theta). \quad (1.4)$$

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The EM algorithm iteratively alternates between an E-step and an M-step until convergence. The M-step maximizes separately (1.2), (1.3), and (1.4) to update the estimates of (α, β, θ) using the most recent estimates of the η_i 's. The computational advantage of this application is obvious, since the full-data log-likelihood is the summation of (1.2), (1.3), and (1.4), each of which depends on only one of the three parameter vectors.

Let the initial values be $\alpha^{(0)}$, $\beta^{(0)}$, and $\theta^{(0)}$. At the l th iteration of the algorithm with $l \geq 1$ and the $(l-1)$ th estimates $\alpha^{(l-1)}$, $\beta^{(l-1)}$, and $\theta^{(l-1)}$, the algorithm updates the estimates as follows:

E-Step. For $i = 1, \dots, n$, calculate $\eta_i^{(l)} = E\{\eta_i | T_i, N_i, Z_i; \alpha^{(l-1)}, \beta^{(l-1)}, \theta^{(l-1)}\}$ by

$$E\{\eta | T, N, Z; \alpha, \beta, \theta\} = \frac{P(N|\eta = 1, T, Z; \beta)p(Z; \alpha)}{P(N|\eta = 1, T, Z; \beta)p(Z; \alpha) + P(N|\eta = 0, T, Z; \theta)[1 - p(Z; \alpha)]}.$$

M-Step. Obtain $\alpha^{(l)}$, $\beta^{(l)}$, and $\theta^{(l)}$ by separately maximizing $l_1(\alpha; \boldsymbol{\eta}^{(l)} | \mathbf{Z})$, $l_2(\beta; \mathbf{N}, \boldsymbol{\eta}^{(l)} | \mathbf{T}, \mathbf{Z})$, and $l_3(\theta; \mathbf{N}, \boldsymbol{\eta}^{(l)} | \mathbf{T}, \mathbf{Z})$ in (1.1) with respect to α, β , and θ , respectively.

Under mild regularity conditions, the **M-Step** is equivalent to solving each of the estimating equations:

$$\begin{aligned} \frac{\partial l_1(\alpha; \boldsymbol{\eta}^{(l)} | \mathbf{Z})}{\partial \alpha} &= \sum_{i=1}^n [\eta_i^{(l)} - p(Z_i; \alpha)] \frac{\partial p(Z_i; \alpha) / \partial \alpha}{p(Z_i; \alpha)[1 - p(Z_i; \alpha)]} = 0, \\ \frac{\partial l_2(\beta; \mathbf{N}, \boldsymbol{\eta}^{(l)} | \mathbf{T}, \mathbf{Z})}{\partial \beta} &= \sum_{i=1}^n \eta_i^{(l)} [N_i - \Lambda_1(T_i, Z_i; \beta)] \frac{\partial \Lambda_1(T_i, Z_i; \beta) / \partial \beta}{\Lambda_1(T_i, Z_i; \beta)} = 0, \\ \frac{\partial l_3(\theta; \mathbf{N}, \boldsymbol{\eta}^{(l)} | \mathbf{T}, \mathbf{Z})}{\partial \theta} &= \sum_{i=1}^n (1 - \eta_i^{(l)}) [N_i - \Lambda_0(T_i, Z_i; \theta)] \frac{\partial \Lambda_0(T_i, Z_i; \theta) / \partial \theta}{\Lambda_0(T_i, Z_i; \theta)} = 0. \end{aligned}$$

2. SECTION B. ASYMPTOTICS OF PSEUDO-LIKELIHOOD ESTIMATOR FOR THE LATENT CLASS MODEL

This section outlines a derivation of the consistency and the asymptotic normality for the pseudo-MLE with the latent class model presented in (3.3) of the main paper.

Denote by $FI(\alpha, \beta, \theta)$ the Fisher information matrix of the likelihood function $L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z})$ in (3.4) of the paper. Suppose that $\tilde{\theta}$ is a consistent estimator from a set of supplementary data of size m and $\sqrt{m}(\tilde{\theta} - \theta) \xrightarrow{d} N(0, AV_{\tilde{\theta}}(\theta))$ as $m \rightarrow \infty$. For example, the MLE of θ based on the supplementary data for the *not-at-risk* group satisfies the assumptions.

Assuming that the primary and supplementary data are independent, the conventional regularity conditions ensure the limiting joint distribution:

$$\left(\frac{1}{\sqrt{n}} \frac{\partial \log L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z})}{\partial(\alpha, \beta, \theta)} \right) \xrightarrow{d} N \left(0, \begin{pmatrix} FI(\alpha, \beta, \theta) & 0 \\ 0 & AV_{\tilde{\theta}}(\theta) \end{pmatrix} \right). \quad (2.5)$$

Partition $FI(\alpha, \beta, \theta)$ as follows:

$$\begin{bmatrix} I_{11} & I_{12} \\ I_{21} & I_{22} \end{bmatrix} = -E \begin{bmatrix} \frac{\partial^2 \log P(N|T, Z; \alpha, \beta, \theta)}{\partial(\alpha, \beta)^2} & \frac{\partial^2 \log P(N|T, Z; \alpha, \beta, \theta)}{\partial(\alpha, \beta) \partial \theta} \\ \frac{\partial^2 \log P(N|T, Z; \alpha, \beta, \theta)}{\partial \theta \partial(\alpha, \beta)} & \frac{\partial^2 \log P(N|T, Z; \alpha, \beta, \theta)}{\partial \theta^2} \end{bmatrix}. \quad (2.6)$$

Recall that the pseudo-MLE $(\tilde{\alpha}, \tilde{\beta})$ maximizes $L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z})$ and is the solution to $\partial \log L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta) = 0$ almost surely. Thus, the first-order Taylor expansion of the partial derivation of the log-likelihood function yields

$$\frac{\partial \log L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z})}{\partial(\alpha, \beta)} + (\tilde{\alpha} - \alpha, \tilde{\beta} - \beta) \frac{\partial^2 \log L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z})}{\partial(\alpha, \beta)^2} \approx 0.$$

Given the continuity of $\partial \log L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta)$ and $\partial^2 \log L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta)^2$ with respect to θ , as $n \rightarrow \infty$ and $m \rightarrow \infty$, we can show that $-n^{-1} \partial^2 \log L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta)^2$ converges to I_{11} a.s. and $n^{-1/2} \partial \log L(\alpha, \beta, \tilde{\theta}; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta)$ converges to $N(0, I_{11}^*)$ in distribution. Here $I_{11}^* = I_{11} + k I_{12} AV_{\tilde{\theta}}(\theta) I_{21}$, if $n/m \rightarrow k$. Following the standard arguments for the consistency and asymptotic normality of an MLE, we can then establish the consistency of the pseudo-MLE $(\tilde{\alpha}, \tilde{\beta})$ and its asymptotic normality with the variance given in (3.5) of the paper.

3. SECTION C. ASYMPTOTIC VARIANCE ESTIMATION FOR THE PSEUDO-MLE

Following the partition of (2.6), denote the blocks of $\widehat{FI}(\alpha, \beta, \theta) = -\frac{1}{n} \partial^2 \log L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z}) / \partial(\alpha, \beta, \theta)^2$ by $\hat{I}_{11}(\alpha, \beta, \theta)$, $\hat{I}_{12}(\alpha, \beta, \theta)$, $\hat{I}_{21}(\alpha, \beta, \theta)$, and $\hat{I}_{22}(\alpha, \beta, \theta)$. The following presents a consistent esti-

mator for $AV_{\tilde{\alpha}, \tilde{\beta}}(\alpha, \beta, \theta)$ in (3.5) of the paper:

$$\hat{I}_{11}^{-1}(\alpha, \beta, \theta) + \frac{n}{m} \hat{I}_{11}^{-1}(\alpha, \beta, \theta) \hat{I}_{12}(\alpha, \beta, \theta) \widehat{AV}_{\tilde{\theta}} \hat{I}_{21}(\alpha, \beta, \theta) \hat{I}_{11}^{-1}(\alpha, \beta, \theta) \quad (3.7)$$

with (α, β, θ) substituted by $(\tilde{\alpha}, \tilde{\beta}, \tilde{\theta})$ and $\widehat{AV}_{\tilde{\theta}}$ a consistent estimator of $AV_{\tilde{\theta}}(\theta)$.

Partition the variance matrix of the score function based on the primary data as follows:

$$Var \left[\begin{array}{c} \frac{\partial \log P(N|T, Z; \alpha, \beta, \theta)}{\partial(\alpha, \beta)} \\ \frac{\partial \log P(N|T, Z; \alpha, \beta, \theta)}{\partial \theta} \end{array} \right] = \begin{bmatrix} \Pi_{11} & \Pi_{12} \\ \Pi_{21} & \Pi_{22} \end{bmatrix}.$$

Denote the block in the sample moment estimator for the variance matrix corresponding to Π_{11} by $\hat{\Pi}_{11}(\alpha, \beta, \theta)$. It yields a robust variance estimator for $AV_{\tilde{\alpha}, \tilde{\beta}}(\alpha, \beta, \theta)$ replacing $\hat{I}_{11}^{-1}(\alpha, \beta, \theta)$ in (3.7) by

$$\hat{I}_{11}^{-1}(\alpha, \beta, \theta) \hat{\Pi}_{11}(\alpha, \beta, \theta) \hat{I}_{11}^{-1}(\alpha, \beta, \theta). \quad (3.8)$$

In fact, (3.8) is the Huber sandwich variance estimator for the MLE of (α, β) with a known θ .

4. SECTION D. ADDITIONAL SIMULATION RESULTS

Table 1 presents a summary of the simulation outcomes in Cases (i) and (ii) of the *Robustness Study*. The simulation results in the further robustness study are summarized in Table 2.

(Table 1 is about here.)

(Table 2 is about here.)

5. SECTION E. ADDITIONAL ANALYSES OF CAYACS DATA

We conducted the quasi-Poisson regression analysis separately for each set of physician records from the survivor cohort and the general population. To allow us to compare the analysis outcomes, together with the ln-transformed time length, we included in the regression analyses as explanatory variables the mutual factors in the data sets: *sex*, *age at study entry*, and *SES*. Table 3 presents the estimates of the regression model parameters along with their standard error

estimates.

(Table 3 is about here.)

The likelihood function for (α, β, θ) based on the data of the survivor cohort combined with the data of the population sample is

$$L(\alpha, \beta, \theta; \mathbf{N}|\mathbf{T}, \mathbf{Z}) \times L_{supp}(\theta) = \prod_{i=1}^n P(N_i|T_i, Z_i; \alpha, \beta, \theta) \times \prod_{j=1}^m P(N_j|\eta_j \equiv 0, T_j, Z_j; \theta). \quad (5.9)$$

In addition to the MLE with only the data from the survivor cohort and the pseudo-MLE, we evaluated the MLE with the CAYACS data derived from (5.9) along with the corresponding sandwich standard error estimates. For comparison, Table 4 presents the estimates together with the MLE for the primary data and the pseudo-MLE.

(Table 4 is about here.)

Based on the three sets of parameter estimates and the corresponding standard error estimates, Figs. 1 and 2 show the estimated risk probabilities and the means of the visit counts over time, respectively.

(Fig. 1 is about here.)

(Fig. 2 is about here.)

Table 1. *Simulation Outcomes: Robustness Study (repetition number = 250)*

parameter	α_0	α_1	α_2	β_0	β_1	β_2	β_3	θ_0	θ_1	θ_2	θ_3
true value	1	-1	-0.8	1.8	-0.6	-0.5	1	0.5	-0.3	-0.25	1
Case (i). Primary Data ($n = 500$): mixed Poisson ($\eta = 1$) & mixed Poisson ($\eta = 0$); $\gamma = 1$											
MLE of (α, β, θ)											
sm^\dagger	-0.864	-0.114	-0.129	2.510	-0.707	-0.528	0.950	0.614	-0.692	-0.537	1.012
sse^\ddagger	0.385	0.416	0.648	0.326	0.214	0.376	0.220	0.346	0.213	0.379	0.236
sm_{se}^\dagger	0.216	0.218	0.347	0.041	0.018	0.025	0.029	0.087	0.040	0.055	0.061
$sm_{sw,se}^\dagger$	0.328	0.411	0.612	0.307	0.207	0.308	0.217	0.339	0.226	0.336	0.232
Supp. Data ($m = 5000$): mixed Poisson											
pseudo-MLE of (α, β)											
sm	-0.704	-0.544	-0.450	2.453	-0.557	-0.425	0.952	0.498	-0.299	-0.252	1.002
sse	0.282	0.299	0.449	0.274	0.165	0.287	0.195	0.062	0.033	0.052	0.044
sm_{pse}	0.220	0.234	0.369	0.041	0.020	0.026	0.029	0.067	0.032	0.050	0.047
$sm_{sw,pse}$	0.266	0.288	0.459	0.259	0.156	0.254	0.191	0.067	0.032	0.050	0.047
Supp. Data ($m = 5000$): Poisson											
GEE estimate of θ											
Case (ii). Primary Data ($n = 500$): mixed Poisson ($\eta = 1$) & Poisson ($\eta = 0$); $\gamma = 1$											
MLE of (α, β, θ)											
sm	-0.877	-0.787	-0.645	2.499	-0.496	-0.389	0.963	0.703	-0.430	-0.343	0.996
sse	0.301	0.353	0.526	0.333	0.189	0.296	0.244	0.199	0.114	0.177	0.139
sm_{se}	0.236	0.262	0.409	0.046	0.024	0.029	0.032	0.081	0.035	0.053	0.057
$sm_{sw,se}$	0.301	0.346	0.546	0.297	0.180	0.285	0.219	0.200	0.110	0.174	0.142
Supp. Data ($m = 5000$): Poisson											
pseudo-MLE of (α, β)											
sm	-0.723	-0.880	-0.704	2.430	-0.464	-0.372	0.973	0.499	-0.301	-0.249	1.000
sse	0.256	0.303	0.445	0.302	0.170	0.256	0.220	0.030	0.014	0.022	0.020
sm_{pse}	0.229	0.256	0.398	0.043	0.023	0.027	0.030	0.029	0.014	0.022	0.021
$sm_{sw,pse}$	0.267	0.297	0.472	0.272	0.163	0.264	0.201	0.029	0.014	0.022	0.021

\dagger The sample means of the parameter estimates (sm), the conventional standard error estimates (sm_{se}), and the sandwich standard error estimates ($sm_{sw,pse}$).

\ddagger The sample standard errors of the parameter estimates.

Table 2. *Simulation Outcomes: Additional Robustness Study*

(Primary Data $n = 500$; Repetition Number = 250)

parameter	α_0	α_1	α_2	β_0	β_1	β_2	β_3	θ_0	θ_1	θ_2	θ_3
true value	1	-1	-0.8	1.8	-0.6	-0.5	1	0.5	-0.3	-0.25	1
Case (iii.A1): Two Components in Stratum ($\eta = 0$) with Portion Ratio = 9:1											
MLE of (α, β, θ)											
sm^\dagger	1.039	-0.975	-0.783	1.796	-0.605	-0.498	1.000	0.472	-0.303	-0.242	1.003
sse^\ddagger	0.257	0.297	0.438	0.077	0.053	0.062	0.055	0.195	0.099	0.140	0.140
sm_{se}^\dagger	0.245	0.296	0.421	0.083	0.055	0.068	0.059	0.200	0.096	0.143	0.135
$sm_{sw.se}^\dagger$	0.252	0.311	0.440	0.082	0.057	0.069	0.058	0.206	0.101	0.151	0.139
Supplementary Data ($m = 5000$)											
pseudo-MLE of (α, β)						GEE estimate of θ					
sm	1.030	-1.002	-0.795	1.799	-0.601	-0.495	0.998	0.514	-0.306	-0.248	1.000
sse	0.245	0.250	0.406	0.077	0.046	0.060	0.055	0.031	0.014	0.023	0.024
sm_{pse}	0.233	0.251	0.383	0.083	0.051	0.066	0.059	0.031	0.015	0.023	0.022
$sm_{sw.pse}$	0.234	0.251	0.384	0.081	0.049	0.064	0.058	0.031	0.015	0.023	0.022
Case (iii.A2): Two Components in Stratum ($\eta = 0$) with Portion Ratio = 5:5											
MLE of (α, β, θ)											
sm	1.217	-0.744	-0.552	1.775	-0.628	-0.524	1.000	0.335	-0.338	-0.318	1.034
sse	0.285	0.353	0.467	0.091	0.055	0.077	0.059	0.316	0.162	0.264	0.218
sm_{se}	0.256	0.288	0.426	0.075	0.046	0.059	0.053	0.240	0.110	0.164	0.163
$sm_{sw.se}$	0.299	0.362	0.526	0.083	0.059	0.077	0.058	0.309	0.175	0.268	0.208
Supplementary Data ($m = 5000$)											
pseudo-MLE of (α, β)						GEE estimate of θ					
sm	1.147	-1.020	-0.827	1.792	-0.585	-0.490	0.993	0.621	-0.339	-0.269	0.997
sse	0.244	0.272	0.362	0.089	0.045	0.065	0.060	0.034	0.018	0.028	0.024
sm_{pse}	0.245	0.261	0.401	0.081	0.050	0.065	0.058	0.037	0.018	0.028	0.026
$sm_{sw.pse}$	0.245	0.257	0.398	0.081	0.046	0.064	0.058	0.037	0.018	0.028	0.026
Case (iii.A3): Two Components in Stratum ($\eta = 0$) with Portion Ratio = 2:8											
MLE of (α, β, θ)											
sm	1.408	-0.006	0.142	1.759	-0.705	-0.586	1.002	-0.034	-0.919	-0.941	1.309
sse	0.516	0.573	0.842	0.098	0.068	0.093	0.062	1.161	0.659	0.968	0.778
sm_{se}	0.289	0.304	0.474	0.065	0.032	0.048	0.046	0.384	0.199	0.252	0.268
$sm_{sw.se}$	0.484	0.621	0.975	0.101	0.073	0.113	0.064	1.084	0.622	0.970	0.674
Supplementary Data ($m = 5000$)											
pseudo-MLE of (α, β)						GEE estimate of θ					
sm	1.170	-0.990	-0.773	1.792	-0.591	-0.497	0.992	0.795	-0.385	-0.292	1.000
sse	0.271	0.277	0.430	0.088	0.051	0.071	0.060	0.036	0.017	0.029	0.024
sm_{pse}	0.267	0.295	0.447	0.083	0.053	0.067	0.059	0.037	0.018	0.027	0.026
$sm_{sw.pse}$	0.265	0.288	0.443	0.081	0.048	0.067	0.058	0.037	0.018	0.027	0.026

† The sample means of the parameter estimates (sm), the conventional standard error estimates (sm_{se}), and the sandwich standard error estimates ($sm_{sw.pse}$).

‡ The sample standard errors of the parameter estimates.

Table 3. *Quasi Poisson Regression for the Population Sample and the Survivor Cohort*

Factors	General Population			Survivor Cohort		
	<i>estimate</i>	<i>s.e.</i>	<i>p-value</i>	<i>estimate</i>	<i>s.e.</i>	<i>p-value</i>
intercept	0.751	0.038	< .001	2.159	0.095	< .001
male (vs female)	-0.362	0.011	< .001	-0.360	0.038	< .001
age at study entry	0.306	0.019	< .001	0.148	0.058	0.011
SES high (vs low)	-0.047	0.011	< .001	-0.009	0.038	0.816
ln(time length)	1.263	0.013	< .001	0.882	0.035	< .001
dispersion parameter	$\hat{\phi} = 23.40$			$\hat{\phi} = 31.90$		

Table 4. *Analysis Outcomes of the CAYACS Data with Latent Class Model[†]*

Factor	MLE with Primary Data		MLE with Combined Data		Pseudo-MLE with Combined Data		
	<i>estimate</i>	<i>se.sw</i>	<i>estimate</i>	<i>se.sw</i>	<i>estimate</i>	<i>pse.sw</i>	
<i>In the Risk Model</i>							
intercept	-0.322	(0.204)	-0.681	(0.188)	-0.683	(0.188)	
male (vs female)	-0.355	(0.207)	-0.320	(0.129)	-0.315	(0.130)	
age at study entry	-0.041	(0.324)	-0.098	(0.218)	-0.111	(0.219)	
SES high (vs low)	-0.092	(0.232)	0.023	(0.131)	0.028	(0.132)	
relapse/second cancer (vs not)	1.200	(0.215)	1.255	(0.177)	1.253	(0.177)	
diagnosis period 1990s (vs 1980s)	-0.964	(0.167)	-0.551	(0.129)	-0.545	(0.129)	
treatment (vs other)	chemo only	0.107	(0.158)	0.147	(0.151)	0.145	(0.151)
	rad only	0.663	(0.229)	0.520	(0.224)	0.515	(0.224)
	both	0.362	(0.163)	0.392	(0.162)	0.392	(0.162)
<i>In the Frequency Model for the At-Risk Group</i>							
intercept	3.656	(0.187)	3.390	(0.111)	3.386	(0.111)	
male (vs female)	-0.206	(0.070)	-0.179	(0.047)	-0.180	(0.047)	
age at study entry	0.095	(0.099)	0.122	(0.075)	0.125	(0.076)	
SES high (vs low)	-0.005	(0.072)	-0.022	(0.046)	-0.023	(0.046)	
ln(time length)	0.476	(0.066)	0.591	(0.041)	0.592	(0.041)	
GEE estimates Based on Supp. Data							
<i>In the Frequency Model for the Not-At-Risk Group</i>							
intercept	1.560	(0.137)	0.782	(0.033)	0.751	(0.038)	
male (vs female)	-0.353	(0.070)	-0.364	(0.011)	-0.362	(0.011)	
age at study entry	0.162	(0.103)	0.291	(0.020)	0.306	(0.019)	
SES high (vs low)	0.028	(0.077)	-0.045	(0.012)	-0.047	(0.011)	
ln(time length)	0.897	(0.053)	1.252	(0.012)	1.263	(0.013)	

[†]Significant effect with p-value ≤ 0.05 in **boldface**.

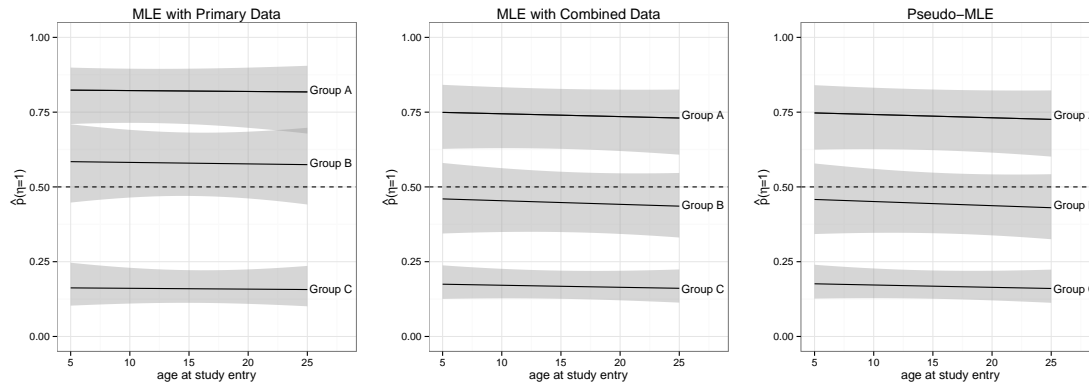


Fig. 1. Estimated risk probabilities with approximate 95% confidence intervals for three groups: Group A. Female, diagnosed in 80s, with relapse/second cancer, and treated with radiation therapy; Group B. Female, diagnosed in 80s, no relapse/second cancer, and treated with radiation therapy; Group C. Male, diagnosed in 90s, no relapse/second cancer, and treated without chemo/radiation therapy.

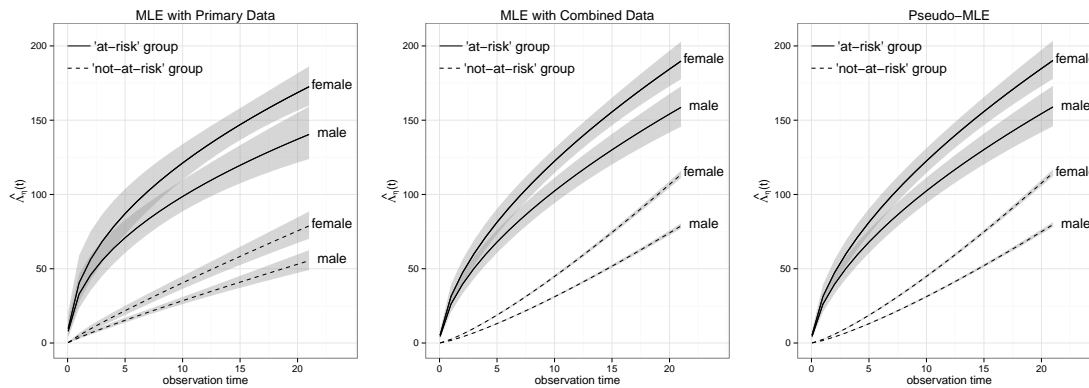


Fig. 2. Estimated mean functions of cumulative physician-visit counts with approximate 95% confidence intervals, for survivors with low SES and average age at entry.

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201X]